

Cosmos

Text Books :- ① Galvin
② Modern OS by Tannenbaum
③ OS by William Stallings

16.06.12

Schedule:-

1. Introduction & background
2. Process Management
 - process concept
 - CPU Scheduling
 - Synchronisation
 - Concurrent programming
 - Deadlocks
 - Threads
3. Memory Management
 - RAM chip Implementation
 - Loading & Linking
 - Address Binding
 - paging
 - multi-level paging
 - inverted paging
 - Segmentation
 - Segmented paging
 - Virtual Memory
4. File & Device Management
5. Protection & Security

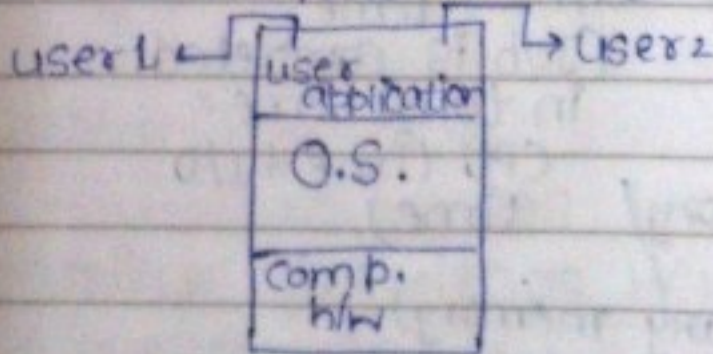
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Introduction & Background

→ What is an Operating System:-

It is an interface b/w user & computer hardware.



```
main()
{
  int x;
  printf("Hello world");
}
```

internally calls write system call in order to communicate with monitor.

System Call:- It is a request made by the user program in order to

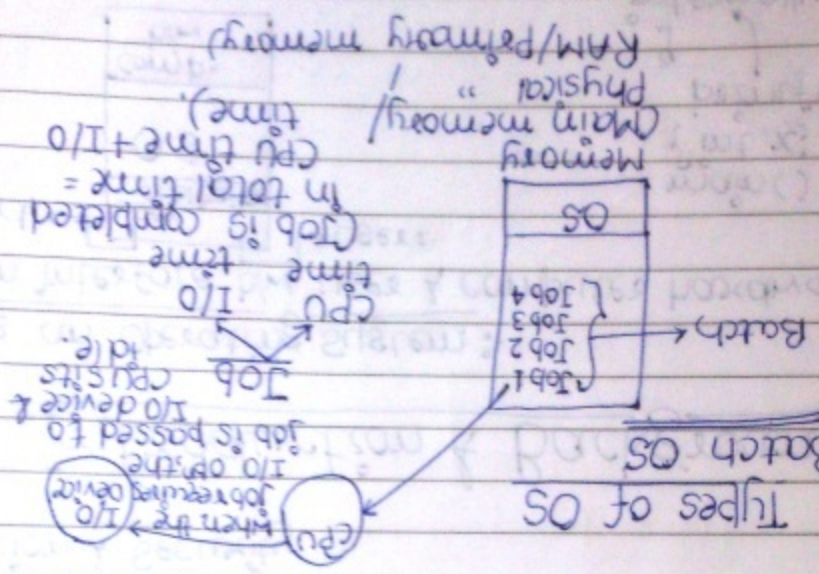
Get any kind of service from OS.
 For diff. kind of services, there are diff. types of system calls.
 Operating system can also be called as resource allocator. OS is responsible for resource allocation.

OS acts as government which manages every thing inside a computer.
 Resources
 H/W type S/W type
 e.g. printer, e.g. files
 memory

Goals of OS:-
 Primary goal:- convenience (ease of use)
 Secondary goal:- efficiency
 Windows primary goal is convenience
 Linux " " " efficiency

Types of OS

1. Batch OS



* In order to execute the job, the job must reside in the main memory.

In Batch OS, if a job requires I/O opn. In b/w, then the CPU will sit idle till that job performs its I/O opn. & comes back to the CPU, i.e. in b/w the CPU won't be allocated to other jobs.

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Page _____

* The primary responsibility is to place the job into CPU.

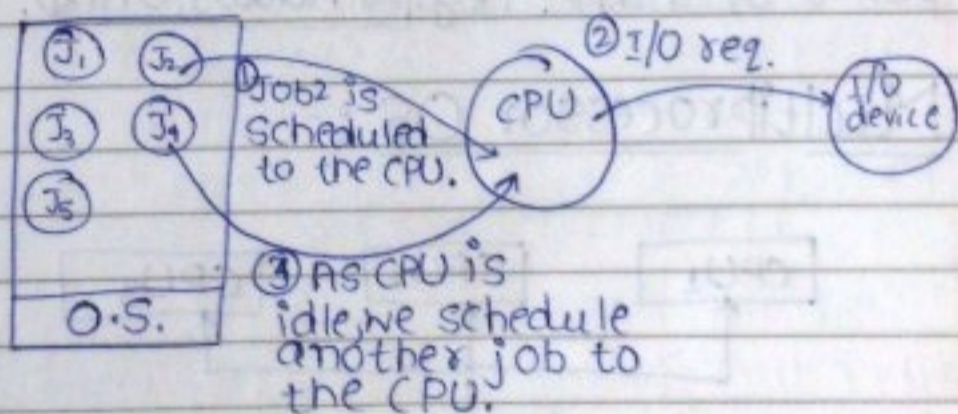
* The other job is scheduled (given to CPU) only when the job is completed completely.

Disadvantages:- CPU utilization is less, so throughput of the system will decrease.

Throughput:- The no. of jobs executed / unit time is called throughput of the system.

e.g. IBM OS/2

2. Multiprogramming OS



Advantage:- increased CPU utilization & hence increased throughput of the system.

3. Multitasking

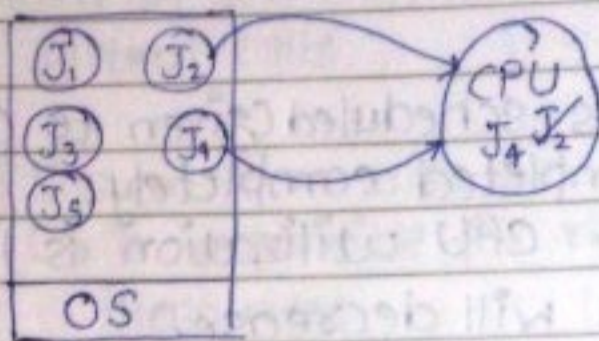
The multitasking OS is an extension to Multiprogramming OS. The jobs will be executed on the CPU in time sharing mode.

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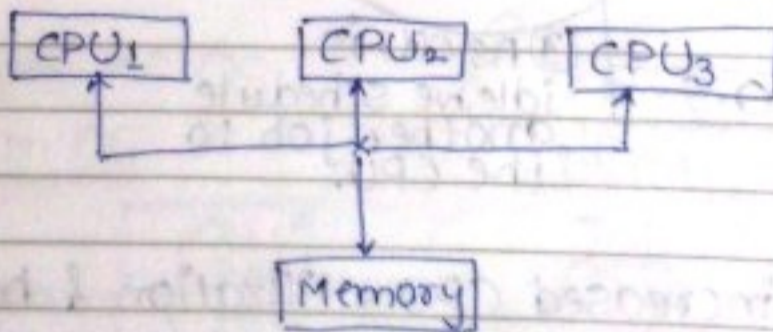
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This takes place like:-

- For a particular amount of time J_1 is executed.
- When the time expires, Job J_2 preempts J_1 & J_2 is scheduled to CPU.
- ★ Only one job is executed on the CPU at any point of time. (e.g. Windows, Unix);

Multiprocessor OS :-



★ One system with multiple processors.

- OS is required to support h/w.

Advantages:-

1. Increased Throughput of the system.
2. Reliability :- If one CPU fails, the other CPU's will take care that entire system doesn't crashes.

It is also called Fault Tolerance System.

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Date _____

Page _____

3. It is economical.

e.g. buying 1 system with n CPUs $<$ buying individual systems with 1 CPU each.

Real Time OS :-

The systems which are strict deadly time bound

if an instⁿ takes n 'ns to execute, it should execute in not more than n 'ns.

Hard Real Time

e.g. missile systems, satellite system

[there should not be any delay at all.]

e.g. no delay is accepted in missile launch.

★ e.g. RTOS

Soft Real Time

e.g. Banking System

[minor delays are accepted] e.g. some delay in updation of balance.

Process Management

Process Concept:-

Defn.:- program under execution (process):

- ① The program should reside in the main memory
- ② It has occupied the CPU to execute the instⁿ.

Process has attributes, states & is able to perform various operations.

Attributes:-

- Process id
- Process state
- Program Counter
- Priority
- General purpose reg^s
- List of open files
- List of " devices
- Protection info.

States:-

- New
- Ready
- Running
- Wait (or) Block
- Termination (or)
- Completion
- Suspend Ready state
- Suspend wait (or) suspend block

Operations:-

- Creation
- Scheduling
- executing
- killing/ termination

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Date _____

Page _____

- Process id:- It is a unique identification no. which is assigned by OS during process creation.
- Process State:- It contains the current state info. where the process is residing.
- Program Counter:- The PC contains the address of next instⁿ to be executed.
- Priority:- Priority is a parameter which is assigned by the OS at the time of process creation.

Pid	P.state
PC	Priority
General Purpose Reg.	List of Open files
List of open devices	Protection info

} → PCB

* every process has its own PCB.

Process State Diagram:-

- New:- the process is under creation, & when the process is created it moves to Ready state. under creation means it is being shifted to the main memory.
- Ready:- in ready states, there are a no. of processes, then we select one process & we schedule it to the running state.
- Running:- in this state, CPU executes the instⁿ of the running process. In this state, there is only one process. If the process requires any I/O operⁿ, the process will move to wait or block state.
- Wait/block state:- process performs I/O operⁿ. When I/O operⁿ completes, the process

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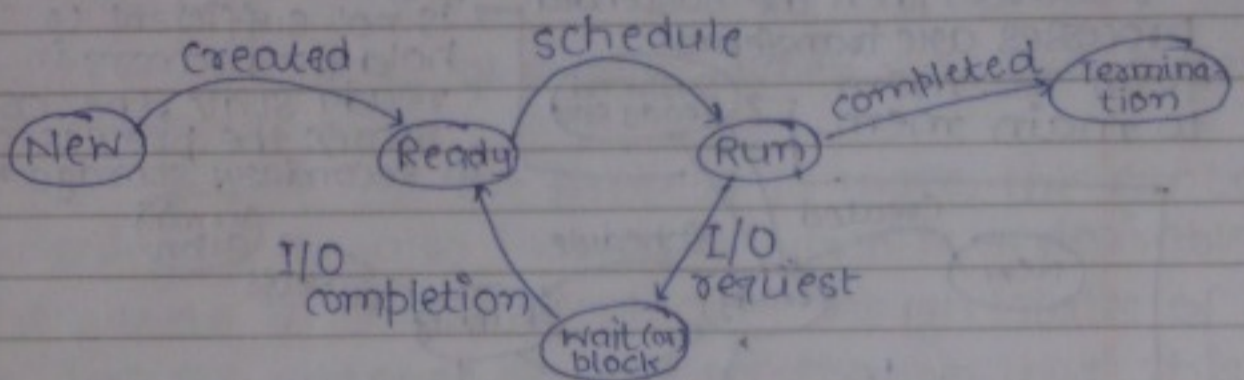
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Page _____

goes to ready state.

- If the running process completes process moves to termination or completion state.



For Non-preemptive

- In wait state also, we can have multiple no. of processes.

Multiprogramming OS → non-pre-emptive
 pre-emptive [or Multitasking or Timesharing]



This also takes place when a process's time slice expires, the new process arrives in running state.

This takes place when the currently running process is low priority process & a high priority process arrives. The low priority process is preempted.

For Pre-emptive

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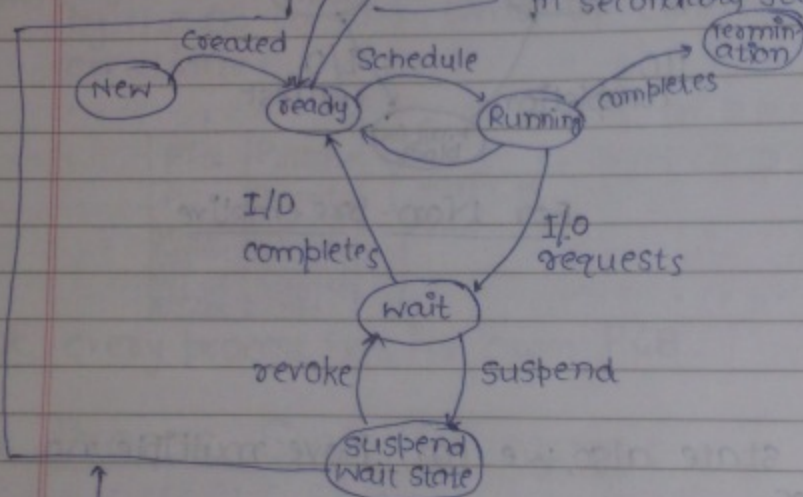
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Date _____
Page _____

* When the process is in the ready, running or wait state, it means process is in the main memory.

* 2 When the comp. OS mem. has sufficient resources, then the suspended processes are transferred from sec. mem. to main mem.

When the main memory is not sufficient to hold the processes in ready state, the OS stores the processes in secondary storage *



this takes place when the process has completed I/O, but immediately goes to suspend wait state due to lack of resources, but we can't place it into suspend wait state because it has already completed its I/O operation & must be placed into suspend ready state.

lack of resources in this context means that the RAM is full, & process can't be placed in RAM.

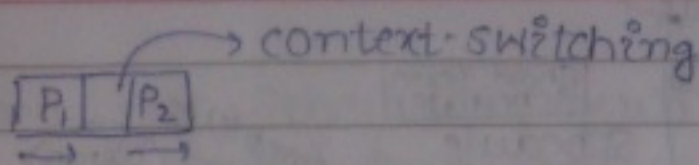
- When the process is moving from one state to other state, the context of the process will change, the context-switching will take place.

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Date _____

Page _____



Saving the context of one process & loading the context of other process is called as context switching

- ★ Context-switching takes place when we have at least two processes.
- ★ If the context of the process is more, the context switching time also increases which is undesirable.
- ★ When there is one process only, though states of the process changes, so context changes, but this is not context-switching.

Processes w.r.t. their execution time

CPU bound processes

- The processes which require more CPU time are called CPU bound processes.
- These processes will spend more time in running state.

I/O bound processes

- The processes which require more I/O time are called as I/O bound processes.
- These processes will spend more time in wait state.

★ In OS, we have 3-Schedulers:-

- ① Long-term Scheduler or Job Scheduler.
- ② Short-term " " CPU " "
- ③ Mid-term " " or Medium-term Scheduler.

→ Long-Term Scheduler :-

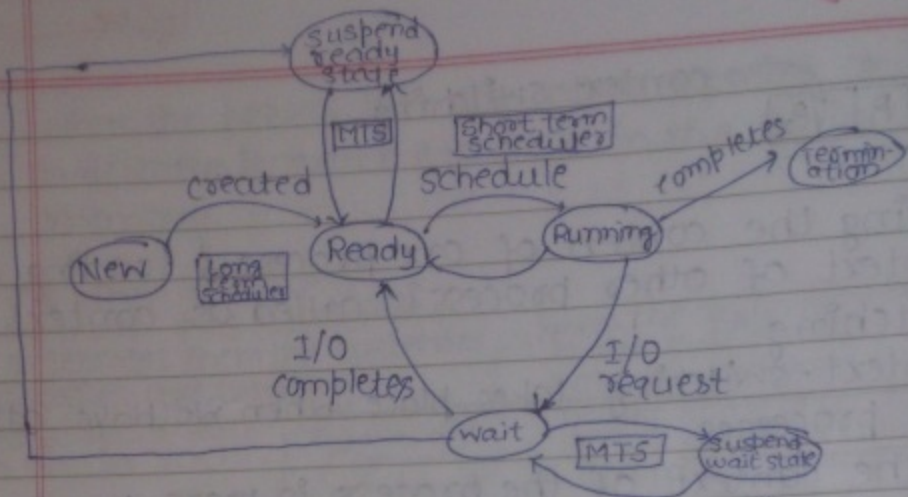
It is responsible of creating & bringing new processes into the system.

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MTS:- mid-term scheduler

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Page _____



- Short-Term Scheduler :- is responsible for selecting one of the process from ready state to scheduling onto running state.
- Mid-Term Scheduler :- is responsible for suspending & resuming the processes. The job done by mid-term scheduler is called as swapping.
- Dispatcher :- is responsible for saving the context of one process & loading the context of another process. Therefore, the context switching is done by the dispatcher.

★ If all (or majority) of the processes are I/O bound processes, then running state becomes empty & wait queue increases & hence throughput decreases.

★ If all (or majority) of the processes are CPU bound processes, then each process will take more time in running state, CPU utilization increases, but no. of processes executed per second decreases & hence throughput decreases.

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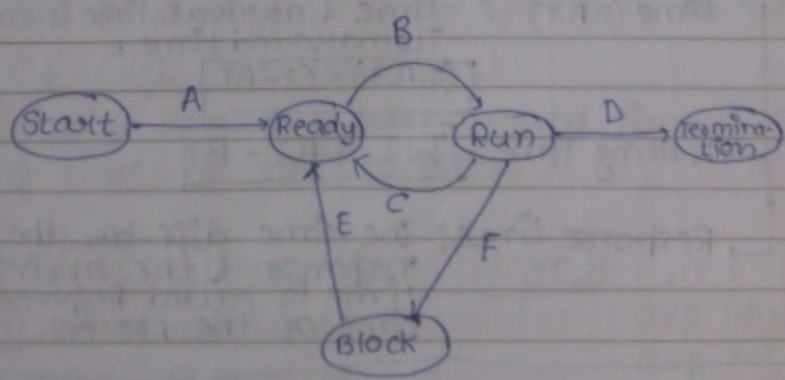
* Long term Scheduler should select good combination of CPU bound or I/O bound processes in order to get ^{good} throughput of the system.

→ Degree Of multiprogramming :- the no. of jobs present in the mem. at any pt. of time is called as degree of multiprogramming.
The Long-Term Scheduler controls degree of multiprogramming.

Q. Consider a system with 'N' CPU processors, then what is the min. & max. no. of processes that may be present in ready, running & block states

<p>Ans. <u>Running</u> Min. → 0 Max. → N</p>	<p><u>Ready</u> Min. → 0 Max. → any no. of processes</p>	<p><u>Block</u> Min. → 0 Max. → any no. of processes. [depends upon the size of main mem]</p>
--	--	---

Min. is 0 because LTS (Long-Term Scheduler) is not creating any process.



Consider the statements below:-

1. If a process makes a transition D, it would result in another process making a transition A immediately.

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Page _____

2. A process P_2 in block state can make a transition E while another process P_1 is in the running state.

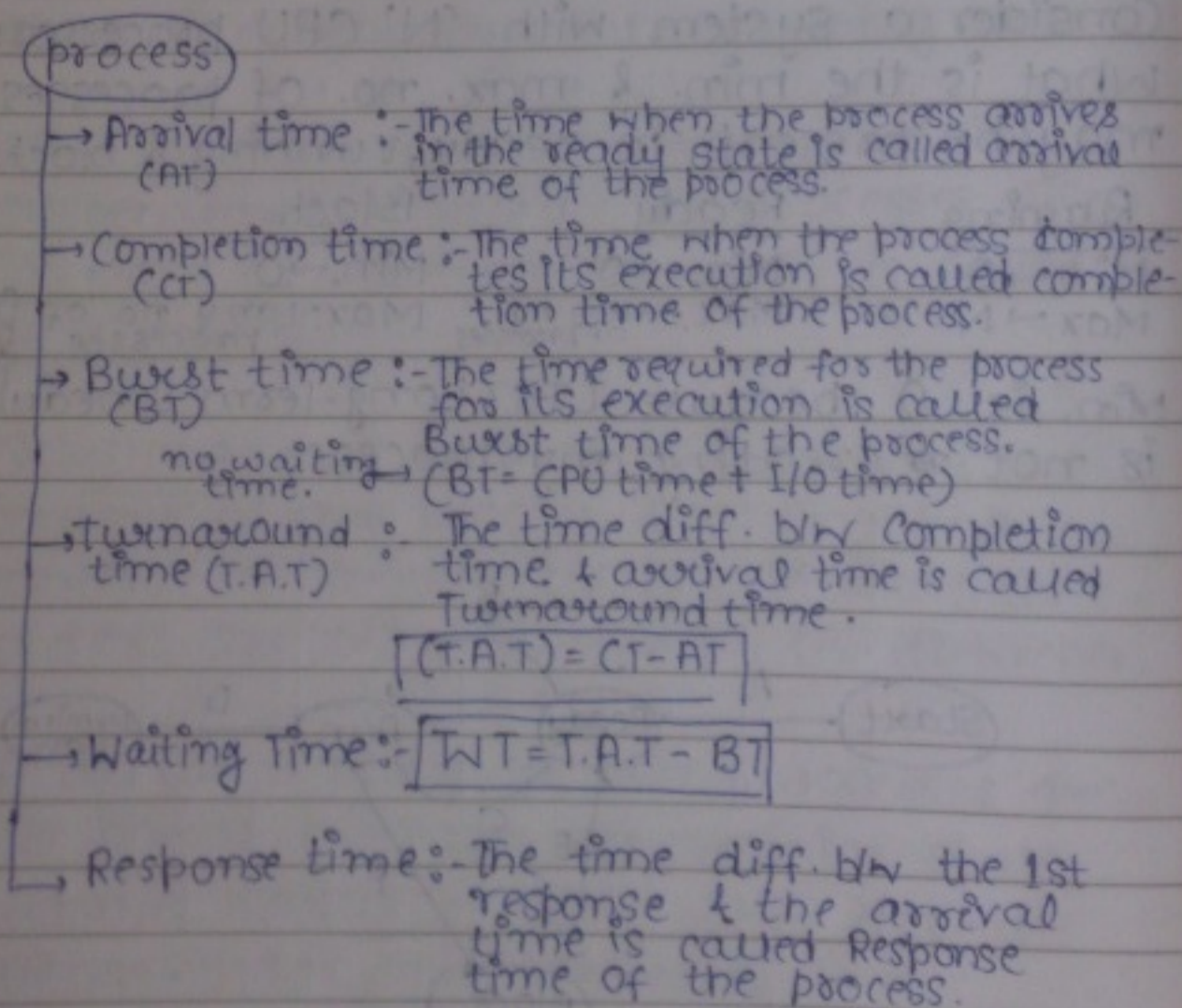
3. The OS uses pre-emptive scheduling.

4. The OS uses non-pre-emptive scheduling.

Which are true?

Ans. (2) & (3)

(1) is not true because there is no relation blw A & D.

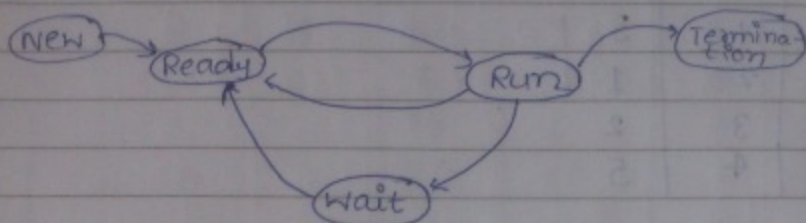


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Page _____

CPU Scheduling



The short-term scheduler will use CPU scheduling algorithm in ready state.

When we use CPU scheduling: — ① When process moves from running to termination (i.e. when process completes.)

② When process is moving from running to wait state (i.e. when process requires I/O operation.)

③ When the process is moving from running to ready (i.e. when time slice expires or the low priority is pre-empted by high priority process.)

④ When the process moves from New to ready. (This takes place because the new process can be a high priority process.)

[We have to apply CPU scheduling every time a new process is created.]

⑤ When the process moves from wait to ready state (because of similar reason of pt. 4).

• Goal of CPU Scheduling:-

To minimize the avg. turnaround time & avg. waiting time of the processes.

① FCFS (First Come First Serve)

• Criteria:- Arrival Time.

avg TAR = ?

• Mode:- non-preemptive

avg WT = ?

★★ As criteria is arrival time, when two processes with same arrival time, then schedule that process with lowest process id.

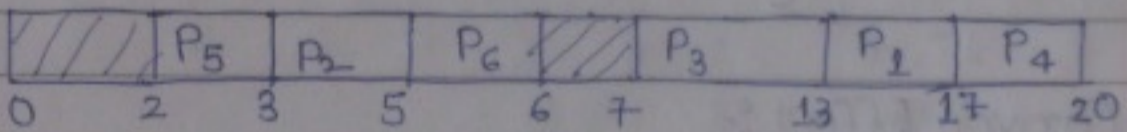
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Date _____

Page _____

Q.	P.No.	A.T.	B.T.	C.T.	T.A.T.	W.T.
	1	✓ 8	4	17	9	5
	2	✓ 3	2	5	2	0
	3	✓ 7	6	13	6	0
	4	10	3	20	10	7
	5	✓ 2	1	3	1	0
	6	✓ 3	1	6	3	2



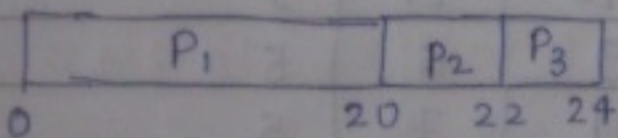
$$\text{Avg. TAT} = \frac{31}{6} = 5.16$$

$$\text{Avg. WT.} = \frac{14}{6} = 2.3$$

Note:-

★ If the arrival times of the processes are matching then schedule the process with lowest process id.

Q.	P.No.	A.T.	B.T.	C.T.	T.A.T.	W.T.
	1	0	20	20	20	0
	2	1	2	22	21	19
	3	2	2	24	22	20



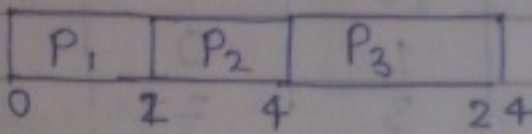
$$\text{avg. WT.} = \frac{19+20}{3} = 13$$

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Date _____
Page _____

P.No.	A.T.	B.T.	C.T.	T.A.T.	W.T.
1	0	2	2	2	0
2	1	2	4	3	1
3	2	20	24	22	2



$$\text{Avg. WT.} = \frac{3}{3} = 1$$

★★ Convoy Effect :-

In FCFS, if the 1st process is having a large burst time, then it will have a huge impact on the avg. waiting time of all the remaining process. So the effect is called as the Convoy effect.

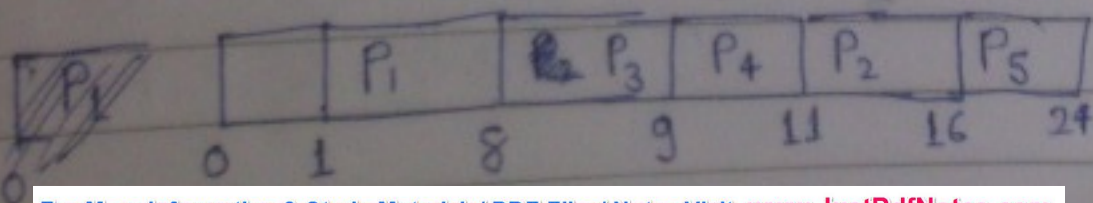
Shortest Job First

Criteria:- Burst Time

Mode:- Non-preemptive & Pre-emptive both

Non-Preemptive :-

Q.	P.No.	AT	BT	C.T.	T.A.T.	W.T.
	1	1 ✓	7	8	7	0
	2	2 ✓	5	16	14	9
	3	3 ✓	1	9	6	5
	4	4 ✓	2	11	7	5
	5	5 ✓	8	24	19	11



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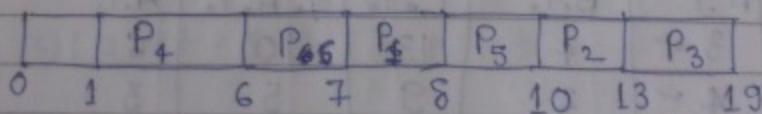
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$$\text{Avg. T.A.T.} = \frac{53}{5} = 10.6$$

$$\text{Avg. W.T.} = \frac{30}{5} = 6$$

Note:- If the burst times of the processes are matched then schedule the process with lowest arrival time.

Q.	P.No.	A.T.	B.T.	C.T.	T.A.T.	W.T.
	1	6 ✓	1	7	7	1
	2	3 ✓	3	13	10	7
	3	4	6	19	15	9
	4	1 ✓	5	6	5	0
	5	2 ✓	2	10	8	6
	6	5 ✓	1	7	7	1



$$\text{Avg. TAT} = \frac{42}{6} = 7$$

$$\text{Avg. WT} = \frac{24}{6} = 4$$

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Pre-emptive

P.No.	A.T.	B.T.	C.T.	T.A.T.	W.T.
1	0	76	19	19	12
2	1	54	13	12	7
3	2 ✓	32.1	6	4	1
4	3 ✓	1	4	1	0
5	4 ✓	2	9	5	3
6	5 ✓	1	7	2	1

0	P ₁	P ₂	P ₃	P ₄	P ₃	P ₃	P ₆	P ₅	P ₂	P ₁
1	2	3	4	5	6	7	9	13	19	

$$\text{Avg. T.A.T} = \frac{43}{6} = 7.16$$

$$\text{Avg. W.T.} = \frac{24}{6} = 4$$

Q.	P.No.	A.T.	B.T.	C.T.	T.A.T.	W.T.
1	3 ✓	4	13	10	6	
2	4 ✓	2	9	5	3	
3	5 ✓	1	7	2	1	
4	2	6	19	17	11	
5	1	87	26	25	17	
6	2 ✓	4	6	4	0	

0	P ₅	P ₆	P ₆	P ₃	P ₄	P ₁	P ₄	P ₅
1	2	3	4	5	6	7	9	13

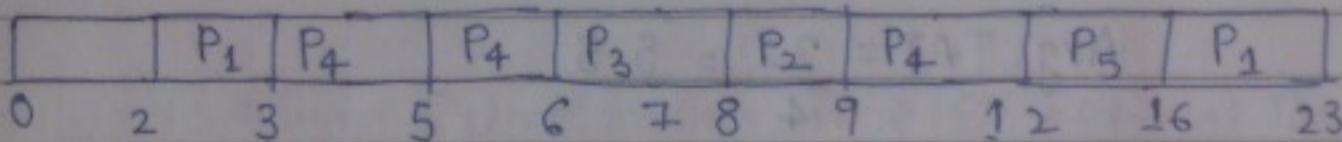
$$\text{Avg. T.A.T} = \frac{63}{6} = 10.5$$

$$\text{Avg. W.T.} = \frac{38}{6} = 6.33$$

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☆☆ If we have same burst time, then we will check the arrival time, if arrival times are also same, then choose process with lowest process id.

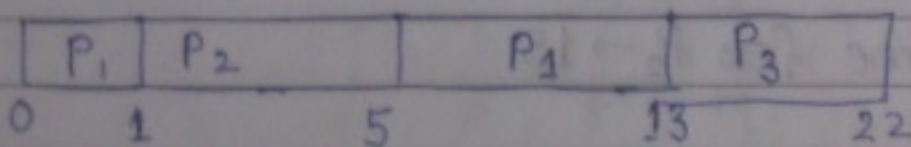
Q.	P.No.	A.T.	B.T.	C.T.	T.A.T.	W.T.
	1	2	8	23	21	13
	2	7 ✓	1	9	2	1
	3	6 ✓	2	8	2	0
	4	3 ✓	6	12	9	3
	5	5	4	16	11	7



$$\text{Avg. TAT} = \frac{45}{5} = 9$$

$$\text{Avg. WT} = \frac{24}{5} = 4.8$$

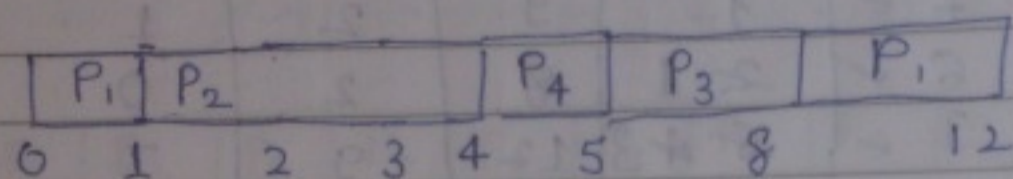
Q.	P.No.	A.T.	B.T.	C.T.	T.A.T.	W.T.
	1	0	8	13	13	4
	2	1	4	5	4	0
	3	2	9	22	20	11



$$\text{Avg. WT} = \frac{15}{3} = 5$$

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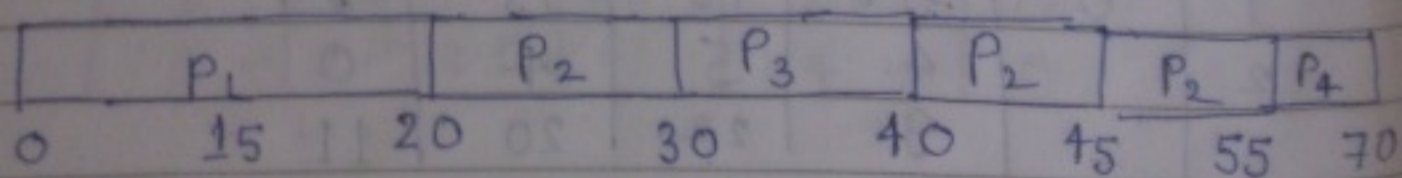
Q.	P.No.	AT	BT	C.T.	T.A.T.	W.T.
	1	0	54	12	12	7
	2	1 ✓	321	4	3	0
	3	2	3	8	6	3
	4	4 ✓	1	5	1	0



$$\text{Avg. WT.} = \frac{10}{4} = 2.5$$

$$\text{Avg. TAT} = \frac{22}{4} = 5.5$$

Q.	P.No.	AT	BT	C.T.	T.A.T.	W.T.
	1	0 ✓	205	20	20	0
	2	15 ✓	25 15 10	55	40	20 15
	3	30 ✓	10	40	10	0
	4	45	15	70	25	10



Waiting Time for P₂ → 15

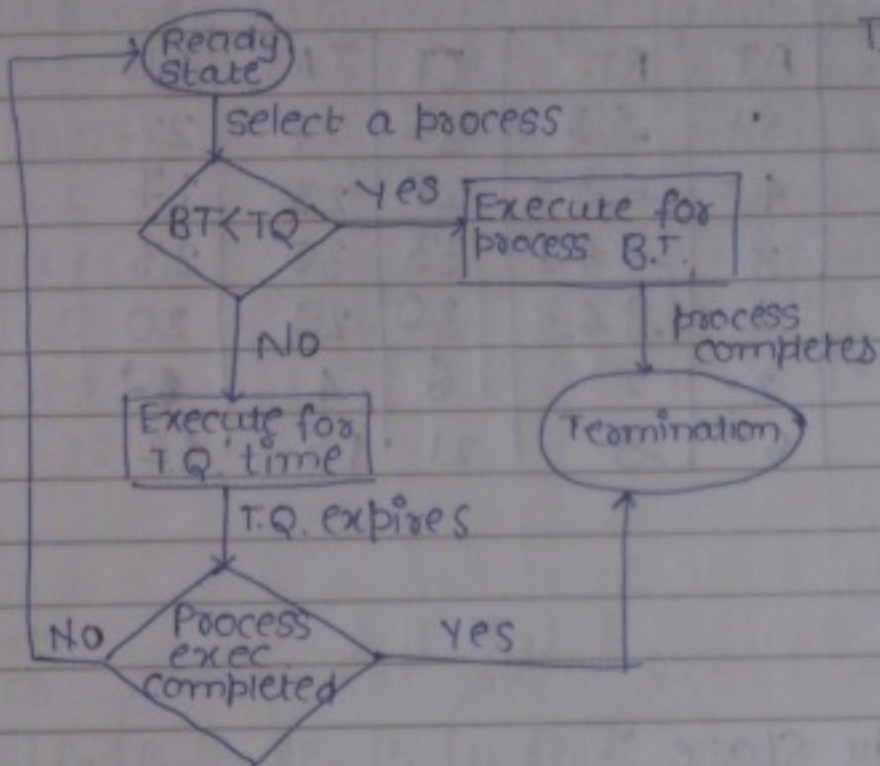
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Date _____

Page _____

Round-Robin Scheduling:



T.Q. → Time Quantum or Time slice

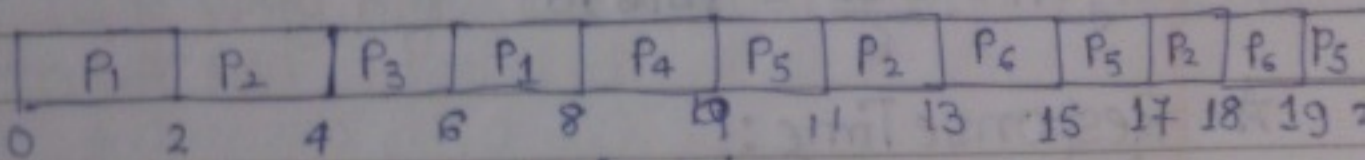
Criteria: Time Quantum or Time Slice, Arrival Time

Mode: preemptive

★ When arrival time of the processes are same, we schedule that process which has lower pid.

T.Q. = 2

P.No.	A.T.	B.T.	C.T.	T.A.T.	W.T.
1	0 ✓	4 2	8	8	6
2	1	5 3 1	18	17	12
3	2	2	6	4	2
4	3 ✓	1	9	6	5
5	4	6 2	21	17	11
6	6	3 1	19	13	12



Ready State :-

$P_1, P_2, P_3, P_1, P_4, P_5, P_2, P_6, P_5, P_2, P_6, P_5$

we write this as it is the only process at t=0. P_1 is executed for t=2. At t=2, P_2 & P_3 arrive. We write P_2 & P_3 here because P_1 doesn't get completed at t=2. We write P_1 after P_2 & P_3 .

Q. TQ=3

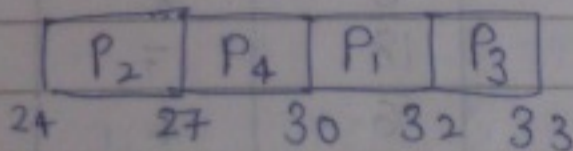
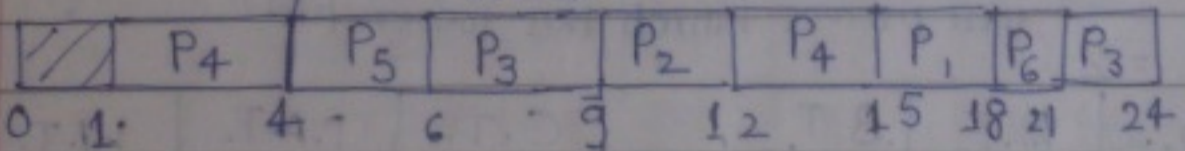
P.No.	AT	BT	CT	TAT	WT
1	5✓	5	32	27	22
2	4✓	8	27	23	17
3	3	7	33	30	23
4	1✓	8	30	29	20
5	2✓	2	6	4	2
6	6✓	3	21	15	12

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Ready State :-

$P_4 P_5 P_3 P_2 P_4 P_1 P_6 P_3 P_2 P_4 P_1 P_3$

Context Switching takes place here.



$$\text{Avg TAT} = \frac{128}{6} = 21.3$$

$$\text{Avg WT} = \frac{96}{6} = 16$$

★ Response Time :-
Time diff. b/w 1st response & arrival time.

Response

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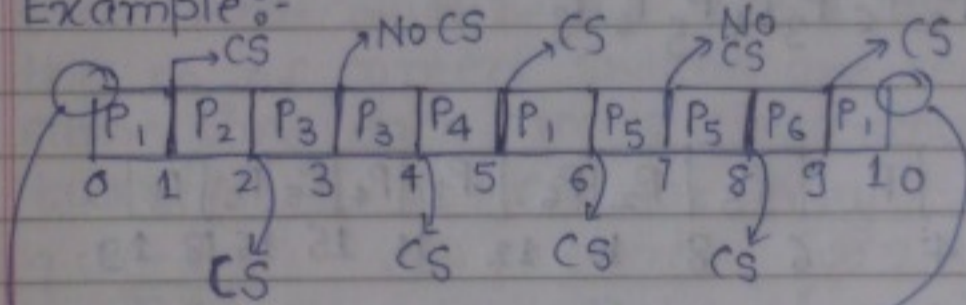
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Date _____
Page _____

Process	Response Time ^e (1st response-AT)
P ₁	15 - 5 = 10
P ₂	9 - 4 = 5
P ₃	6 - 3 = 3
P ₄	1 - 1 = 0
P ₅	4 - 2 = 2
P ₆	18 - 6 = 12

- Ready State explanation:-
- ① The process P₄ arrives at t=1 & it's 1st process, so we put it in ready queue & execute it for 3 sec (i.e. from t=1 to t=4).
 - ② From t=1 to t=4, 3 new processes arrive in order P₅, P₃ & P₂, so we place P₅, P₃ & P₂ in the ready queue, now process P₄ is not complete so we put it ~~back~~ after P₂, so now ready queue contain P₄, P₅, P₃, P₂, P₄.
 - ③ Now, we will execute P₅ from t=4 to t=6 (as BT=2) & it gets completed, so we don't put it in ready queue (as t=6 P₁ & P₆ also arrives, so we put P₁ & P₆ in ready queue).
 - ④ Now, we execute P₃ from t=6 to t=9 [but P₃ does gets completed], so we put P₃ back in the queue. Queue: P₄, P₅, P₃, P₂, P₄, P₁, P₆, P₃ & so on.

Example:-



CS:-Context Switching

Not considered for context switching

★ In Round Robin if TQ is less, then no. of context switches will increase & response time decreases. If TQ is more, no. of context switches decreases & response time increases.

★ If TQ is very high, then Round-Robin degenerates into FCFS.

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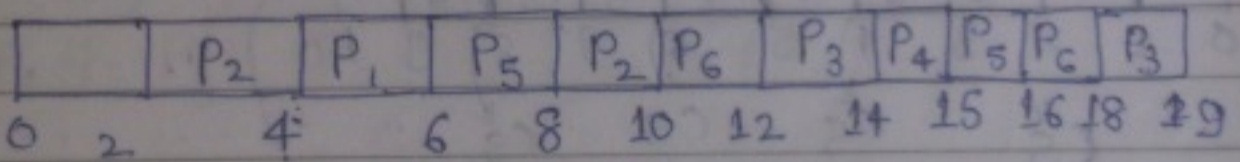
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TQ = 2

Q.	P.No.	A.T.	B.T.	CT	TAT	WT	RT
	1	3 ✓	2	6	3	1	1
	2	2	4	10	8	4	0
	3	6	3	29	13	11	6
	4	8 ✓	1	15	7	6	6
	5	4 ✓	3	16	12	3	2
	6	5 ✓	4	18	13	9	5

ready state :-

$P_2 P_1 P_5 P_2 P_6 P_3 P_4 P_5 P_6 P_3$



$$\text{Avg. TAT} = \frac{57}{6} = 9.53$$

$$\text{Avg. WT} = \frac{40}{6} = 6.6$$

$$\text{Avg. response time} = \frac{20}{6} = 3.33$$

Consider a system with 4 jobs P_1, P_2, P_3, P_4 arriving in ready queue in same order at $t=0$. The B.T. respec. are 4, 1, 8, 1. Then what is the C.T. of P_1 assuming round-robin scheduling with $TQ=1$?

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Ready State:-

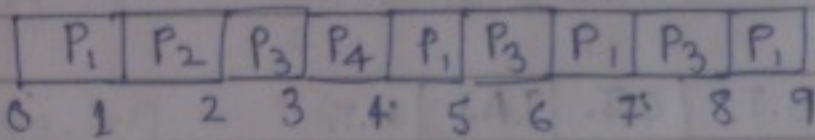
$P_1, P_2, P_3, P_4, P_1, P_3, P_1, P_3$

$P_1 \quad 4 \ 3 \ 2 \ 1$

$P_2 \quad 1 \checkmark$

$P_3 \quad 8 \ 7 \ 6 \ 5$

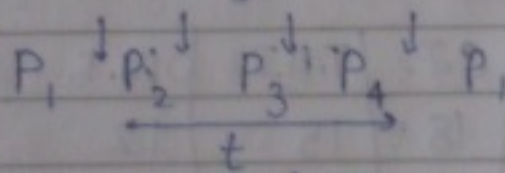
$P_4 \quad 1 \checkmark$



Q. Consider 'n' processes sharing the CPU in round robin fashion. If the context switching time is 's' or then what must be the Time Quantum "q" such that the no. of context switches are reduced, but at the same time each process is guaranteed to get its turn/job at the CPU for every 't' sec. of time

- (a) $q = \frac{t - ns}{n + 1}$ (b) $q = \frac{t + ns}{n - 1}$ (c) $q = \frac{t - ns}{n - 1}$ (d) $q = \frac{t - ns}{n + 1}$

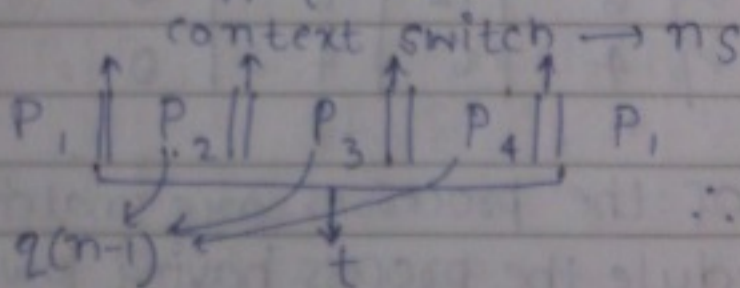
Ans. * Assume the arrival time of all processes to be 0 & Burst time to be very large.



$ns \rightarrow$ time for context switches

$$t = ns + q(n-1)$$

$$\frac{t - ns}{n - 1} = q$$



[(n-1) time quantum.]

17.06.12

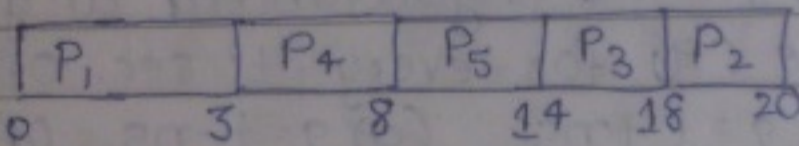
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Longest Job First

Criteria:- Burst Time

Mode:- Non-Preemptive & Pre-emptive

Q.	P.No.	A.T.	B.T.	C.T.	T.A.T	W.T.
(Non-preemptive)	1	0 ✓	3	3	3	0
	2	1 ✓	2	20	19	17
	3	2 ✓	4	18	16	12
	4	3 ✓	5	8	5	0
	5	4 ✓	6	14	10	4



$$\text{Avg TAT} = \frac{43}{5} = 10.6$$

$$\text{Avg WT} = \frac{33}{5} = 6.6$$

Q.	P.No.	A.T.	B.T.	C.T.	T.A.T.	W.T.
	1	3 ✓	3	18	15	12
	2	1 ✓	1	2	1	0
	3	2 ✓	3	15	13	10
	4	4	2	20	16	14
	5	6 ✓	6	12	6	0
	6	2 ✓	4	6	4	0

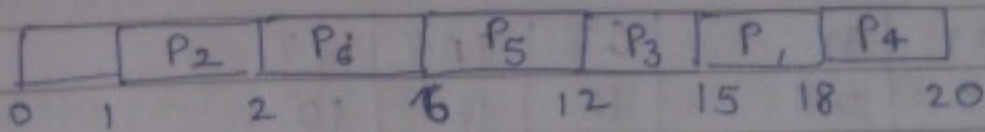
Note:- The B.T. of the processes are matching, then schedule the process having lowest arrival time.

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Page _____

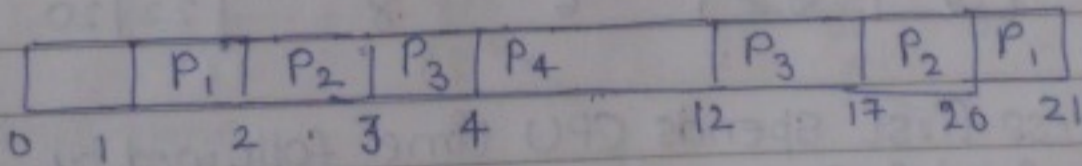


$$\text{Avg. TAT} = \frac{55}{6} = 9.1$$

$$\text{Avg. WT} = \frac{36}{6} = 6$$

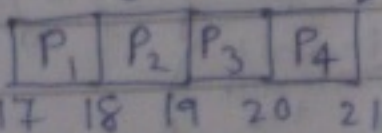
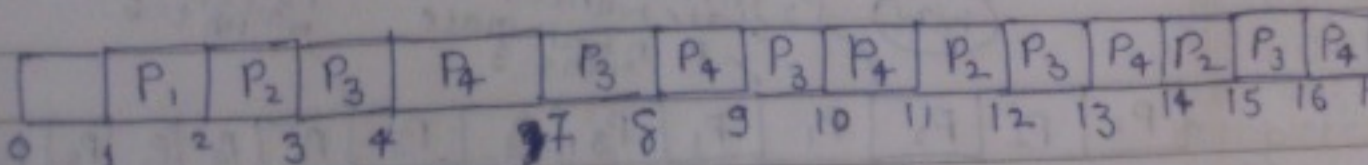
Pre-emptive

P.No.	AT	BT	CT	T.A.T.	W.T.	C.T.	TAT	W.T.
1	1	21	21	20	18	18	17	15
2	2	4	20	18	14	19	17	13
3	3	8	17	14	8	20	17	11
4	4	8	12	8	0	21	17	9



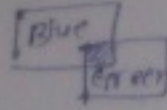
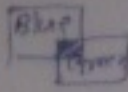
Answer:- $\text{Avg. TAT} = \frac{60}{4} = 15$

$$\text{Avg. WT} = \frac{40}{4} = 10$$

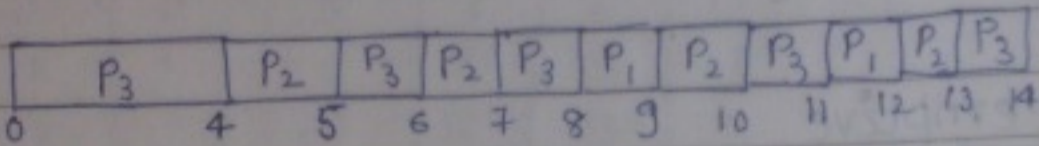


Correct Answer:- $\text{Avg. TAT} = 17$ $\text{Avg. WT} = \frac{48}{4} = 12$

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Q.	P.No.	AT	BT	C.T.	T.A.T.	W.T.
	1	0	21	12	12	10
	2	0	21	13	13	9
	3	0	21	14	14	6



Avg TAT = 13

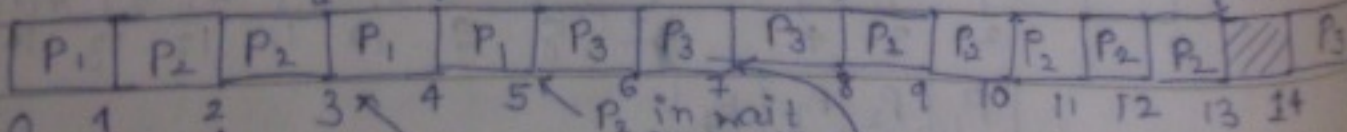
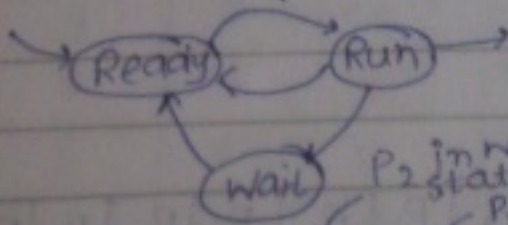
Avg. WT = $\frac{25}{3} = 8.33$

Preemptive Shortest Job First :-

Q.	P.No.	AT	Execution Time			C.T.	T.A.T.
			CPU Time	I/O Time	CPU Time		
	1	0	10	10	10	5	5
	2	1	10	4	5	13	12
	3	2	1	6	8	22	20

Note: ① Process first spends CPU time followed by I/O time & followed by CPU time again.

② I/O of the processes can be overlapped as much as possible.



at this time P₁ is in wait state with I/O time left = 1

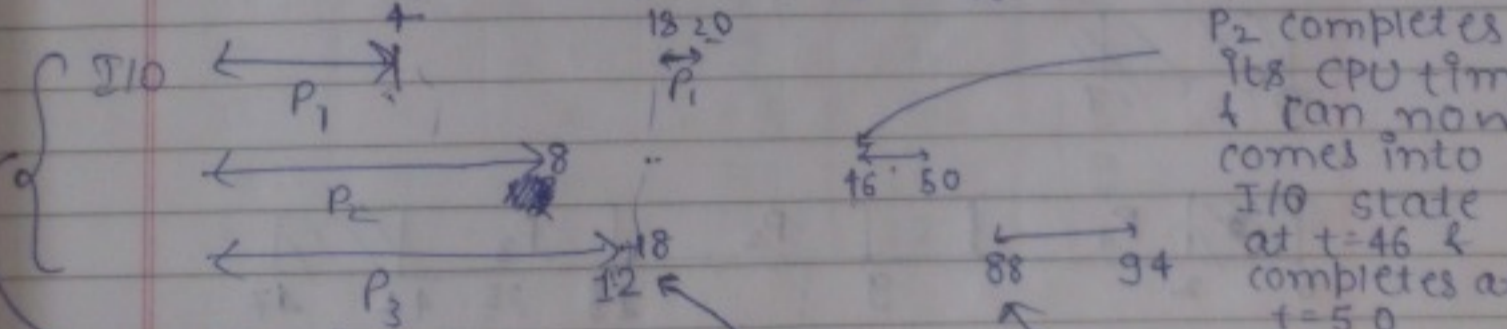
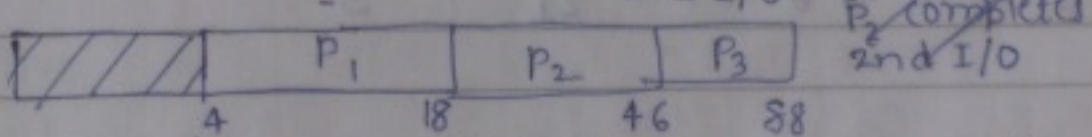
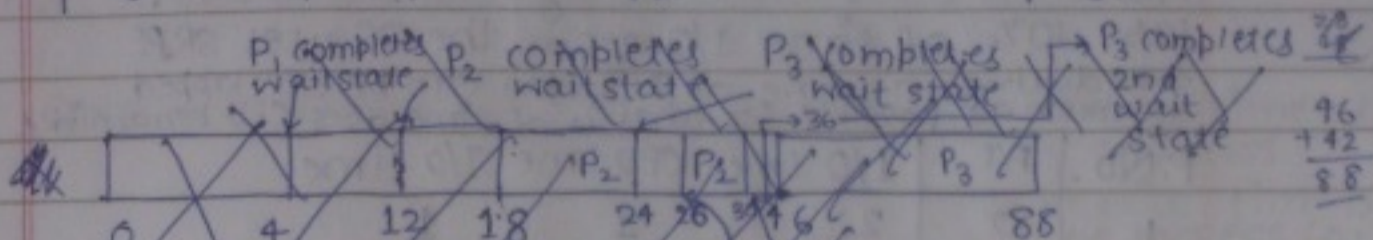
at this time P₂ completes I/O & now have CPU time t=5, so P₃ continues

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★ We don't calculate T.A.T. & W.T. in these questions

Q.

P.No.	AT	I/O Time	CPU time	I/O time	C.T.
1	0	40	140	20	20
2	0	80	280	4	50
3	0	120	420	6	94



At this time all the I/O's can be overlapped & hence, P₁ I/O time, P₂ I/O time, P₃ I/O time overlaps.

P₁ completes CPU time at t=18 & its I/O time can start at t=18 & completes at t=20

P₂ completes its CPU time & can now come into I/O state at t=46 & completes at t=50

P₃ completes its CPU time at t=88 & is ready for its I/O op at t=88 & completes at t=94.

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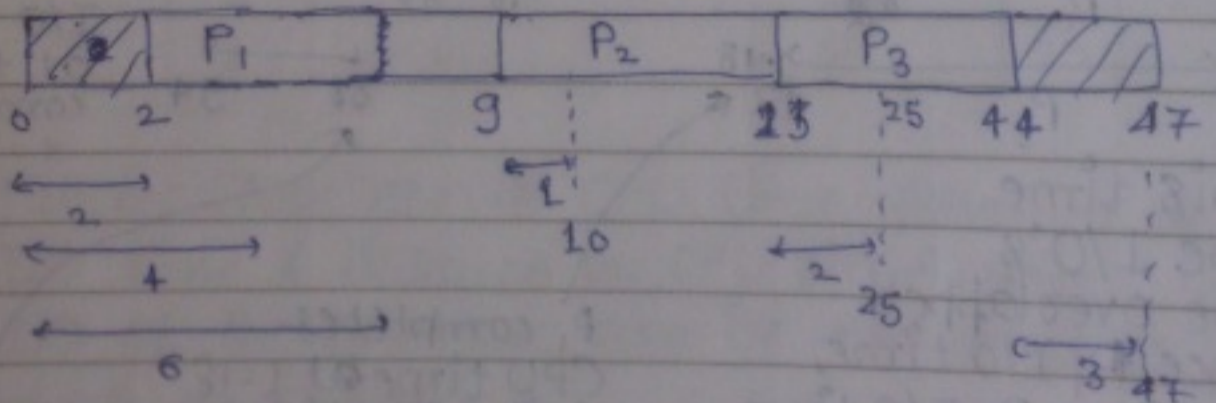
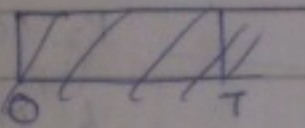
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Page _____

Q. Consider 3 processes all arriving at time $t=0$ with total execution time of 10, 20, 30 time units respec. Each process spends the 1st 20% of execution time doing I/O, the next 70% of time doing computation & last 10% of time I/O again, the OS uses SRPF scheduling, assume all I/O ops can be overlapped as much as possible, for what % of time does CPU remain idle.

P.No.	AT	I/O time	CPU time	I/O time
1	0	2	7	1
2	0	4	14	2
3	0	6	21	3



CPU % idle $\frac{5}{47} \times 100 = \frac{500}{47} \approx 10.6\%$

$$\begin{array}{r} 10.6 \\ 47 \overline{) 500} \\ \underline{-47} \\ 300 \end{array}$$

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Page _____

Highest Response Ratio Next (HRRN)

It favours the shorter jobs & also limits the waiting time of longer jobs

Criteria:- Response Ratio

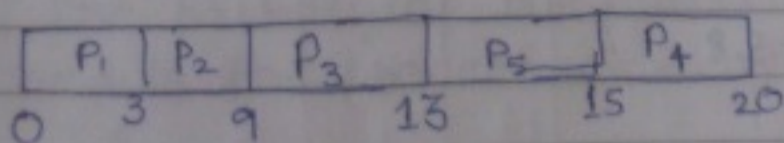
Mode:- Non-preemptive

$$\text{Response Ratio} = \frac{WT + S}{S}$$

where WT:- waiting time of the process

S:- service time of the process (or Burst time).

①	P.No.	A.T.	B.T.	C.T.	T.A.T.	W.T.
	1	0 ✓	3	3	3	0
	2	2 ✓	6	9	7	1
	3	4	4	13	9	5
	4	6	5	20	14	9
	5	8	2	15	17	5



at $t=9$ $\left. \begin{aligned} RR(P_3) &= \frac{(9-4)+4}{4} = \frac{9}{4} \end{aligned} \right\} \rightarrow WT = 9 - AT$

$RR(P_4) = \frac{(9-6)+5}{5} = \frac{8}{5}$

$$\text{Avg. TAT} = \frac{40}{5} = 8$$

$RR(P_5) = \frac{(9-8)+2}{2} = \frac{3}{2}$

$$\text{Avg. WT} = \frac{20}{5} = 4$$

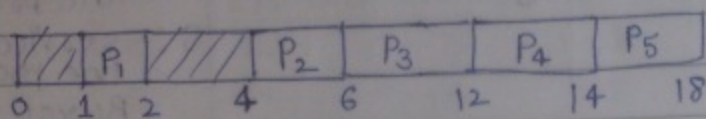
at $t=13$ $\left. \begin{aligned} RR(P_4) &= \frac{(13-6)+5}{5} = \frac{12}{5} = 2.4 \end{aligned} \right\}$

$RR(P_5) = \frac{(13-8)+2}{2} = \frac{7}{2} = 3.5$

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②

P.No.	AT	BT	CT	TAT	WT
1	1 ✓	1	2	1	0
2	4 ✓	2	6	2	0
3	5 ✓	6	12	7	1
4	6	2	14	8	6
5	7	4	18	11	7



at
t=6

$$RR(P_3) = \frac{(6-5)+6}{6} = 7 ✓$$

$$RR(P_4) = \frac{(6-6)+2}{2} = 1$$

at t=12

$$RR(P_4) = \frac{(12-6)+2}{2} = \frac{8}{2} = 4$$

$$RR(P_5) = \frac{(12-7)+4}{4} = \frac{9}{4}$$

$$\text{Avg TAT} = \frac{29}{5} = 5.8$$

$$\text{Avg WT} = \frac{14}{5} = 2.8$$

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Page _____

Priority Based Scheduling

Criterion: Priority

Mode: Non-preemptive

Priority	P.No.	A.T.	B.T.	C.T.	T.A.T.	W.T.
4	1 ✓	0	4	4	4	0
5	2 ✓	1	5	10	15	10
7	3 ✓	2	1	5	3	2
2	4 ✓	3	2	18	15	13
1	5	4	3	21	17	14
6	6 ✓	5	6	11	6	0

Assumption → Highest no. → Highest priority

P ₁	P ₃	P ₆	P ₂	P ₄	P ₅
0	4	5	10 11	16	18 21

$$\text{Avg. TAT} = \frac{60}{6} = 10$$

$$\text{Avg. WT} = \frac{36}{6} = 6$$

Note: If the priority of the process are matching, then schedule the process with lowest arrival time.

P.No.	AT	BT	Priority	CT	TAT	WT
1	4	6	4	17	13	7
2	6 ✓	3	5	11	45	2
3	3 ✓	4	6	8	4	0
4	2 ✓	2	6	4	2	0
5	1 ✓	1	7	2	1	0
6	2 ✓	2	3	19	17	15

$$\text{Avg. TAT} = \frac{30}{6} = 5$$

$$\text{Avg. WT} = \frac{213}{6} = 35.5$$

P ₅	P ₄	P ₃	P ₂	P ₁	P ₆
0	1	2	4	9	10 14 19

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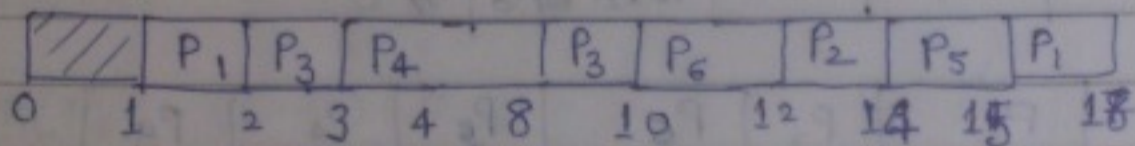
Date _____

Page _____

Now,
Preemptive

Q

P.No.	AT	BT	Priority	CT	TAT	WT
1	1	43	4	18	17	13
2 ✓	2	21	5	14	12	10
3 ✓	2	32	7	10	8	5
4 ✓	3	54	8	8	5	0
5 ✓	3	1	5	15	12	11
6 ✓	4	2	6	12	8	6

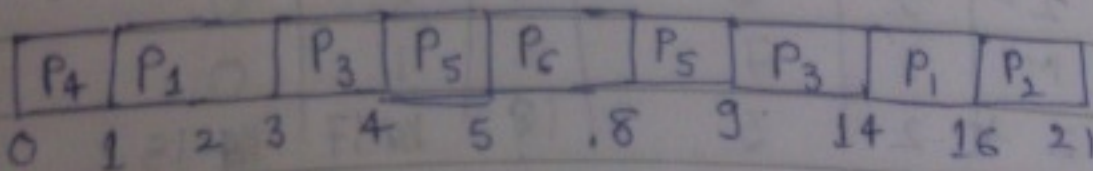


$$\text{Avg TAT} = \frac{62}{6} = 10.3$$

$$\text{Avg WT} = \frac{45}{6} = 7.5$$

Q.

P.No.	AT	BT	Priority	CT	TAT	WT
1	1	43	5	16	15	11
2	2	5	2	21	19	14
3	3	85	6	14	11	5
4	0 ✓	11	4	1	0	0
5	4 ✓	21	7	9	5	3
6	5 ✓	3	8	8	3	0



$$\text{Avg TAT} = \frac{54}{6} = 9$$

$$\text{Avg WT} = \frac{33}{6} = 5.5$$

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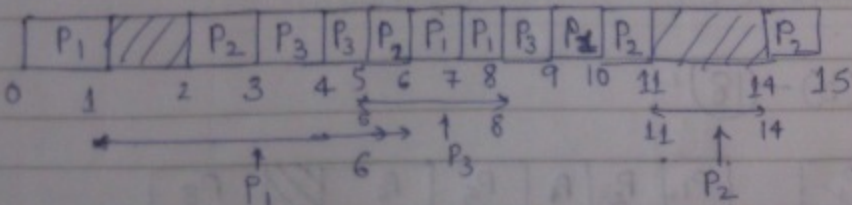
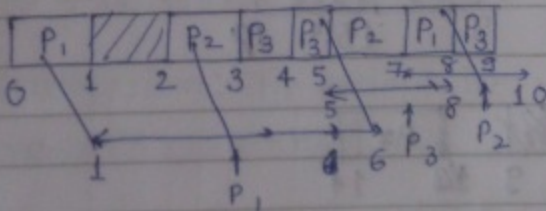
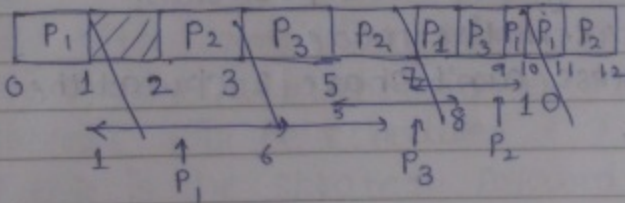
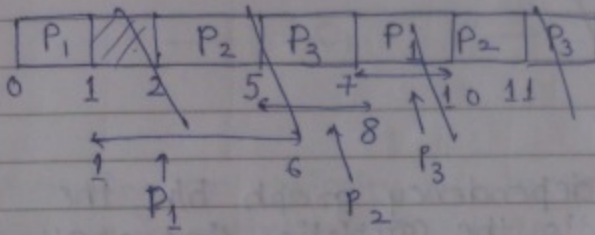
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Page _____

Burst time

Q.	P.No.	A.T.	Priority	CPU time	I/O time	CPU time	CT
	P ₁	0	2	1	5	12	10
	P ₂	2	3 → lowest	3	1	1	13
	P ₃	3	1 → highest	2	3	1	9

I/O can be overlapped as much as possible

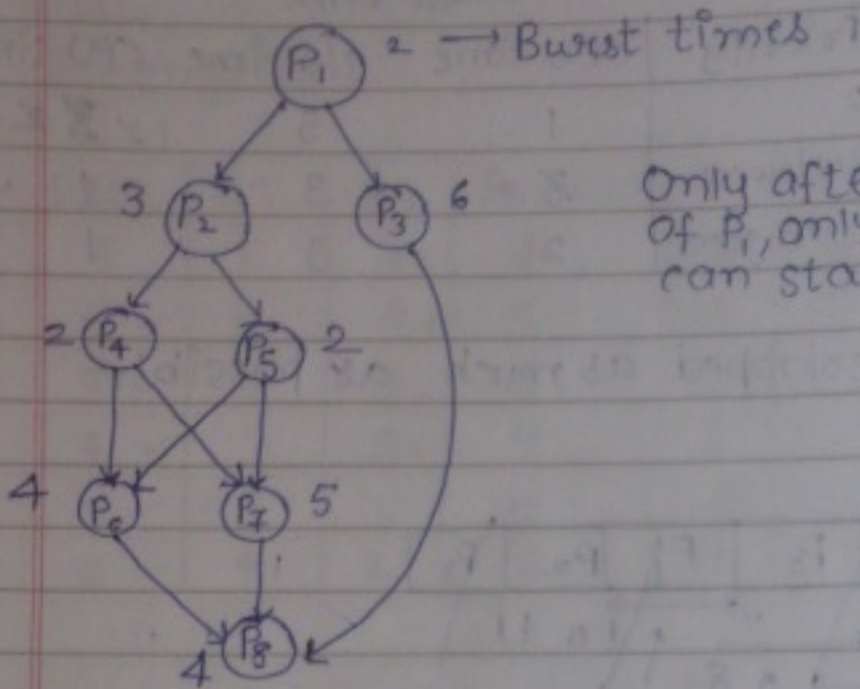


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Date _____

Page _____

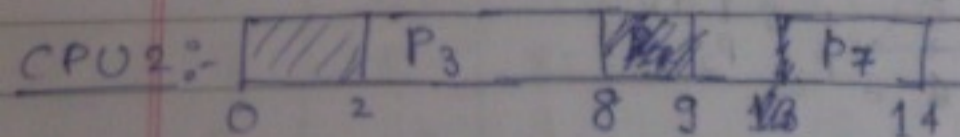
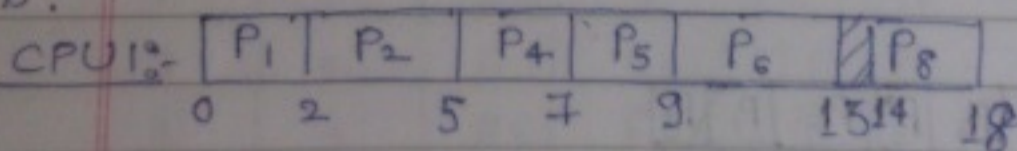


Only after completion of P_1 , only then we can start P_2 & P_3

Q. Consider the dependency graph b/w the processes what is the completion time of all the processes using two-CPU processors?

Note:- (1) Use non-preemptive mode
(2) One process can't share 2-cpu at the same time.

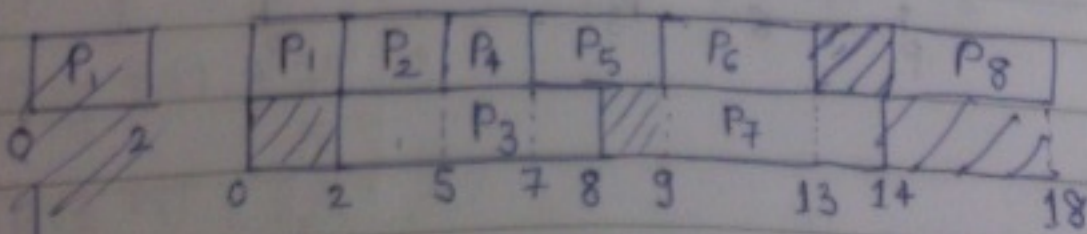
Ans.



Processors CT

P_4

Ans. \rightarrow (b) \rightarrow 18



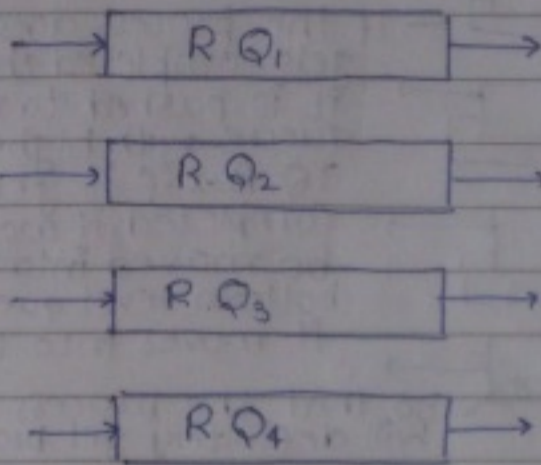
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Date _____

Page _____

Multilevel Queue Scheduling



(Distributing processes into multiple ready queues.)

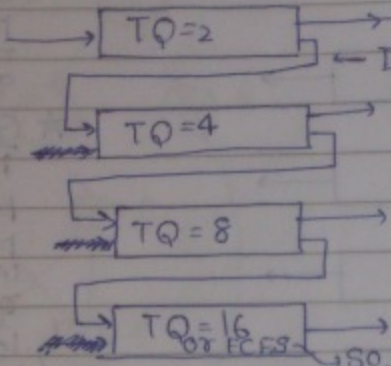
★ Generally high priority processes are placed in top level ready queue

Generally low priority processes are placed in bottom-level ready queue.

- ★ Depending on priority of process, in which particular ready queue the process has to be placed will be decided.
- ★ Only after completion of all the processes in top-level ready queue, the further level ready queue processes will be scheduled.
- ★ If this is the strategy followed, then the processes which are placed in bottom-level ready queue will suffer from starvation.
- ★ Starvation:- The indefinite waiting of a process is known as starvation.

Multilevel Feedback Queue Scheduling

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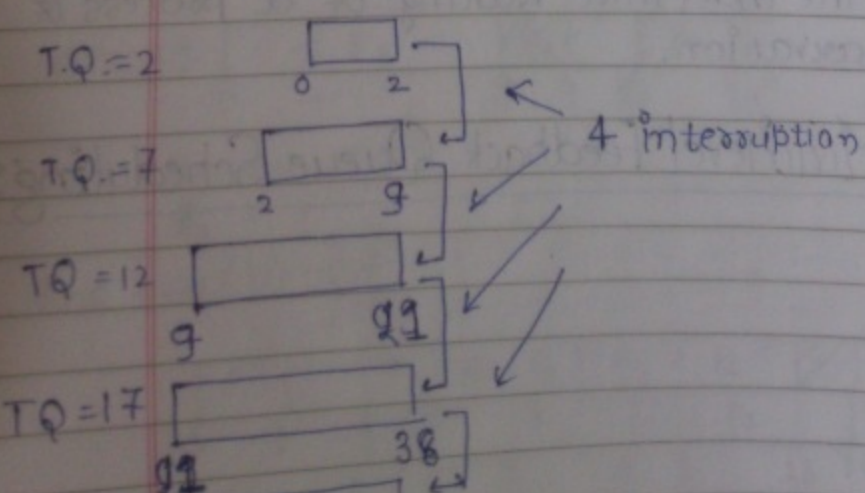


If the process doesn't get completed then it is pushed to next queue with higher TQ. But if it gets completed, it won't be moved into next bottom-level queue & it moves into termination state. So that the process will definitely get processed.

It avoids the starvation & at the same time gives pref. to high priority process.

Q. Consider a system with having process with $BT = 40$ s. If the Queue $TQ = 2$ & at each level the T.Q. increases by 5 time units. How many times the job will be interrupted & in which queue it will terminate?

- (a) 5, 4
- (b) 4, 3
- (c) 4, 5
- (d) 3, 4



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	Algorithm	Starvation
1.	FCFS	No
2.	NP → SJF	Yes
3.	SRTF (Shortest remaining time 1st)	Yes
4.	NP → LJF	Yes
5.	LRTF [P → LJF]	Yes
6.	Round Robin	No
7.	NP priority	Yes
8.	Pre-priority	Yes
9.	HRRN	No
10.	M.L.Q.	Yes
11.	M.L.F.Q.	No

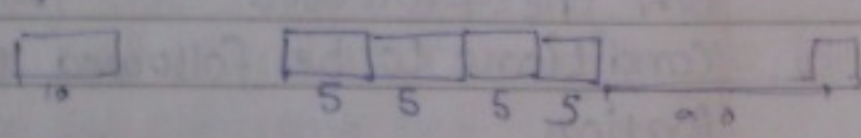
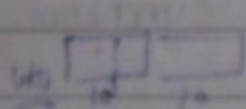
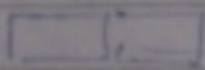
Q. Consider a system having only 2 processes, both of which alternate 10 sec of CPU burst/time with 90 sec. of I/O time. Both the processes were created ~~at~~ nearly at the same time. The I/O of both the processes can proceed in parallel. Which of the following scheduling strategy will result in least CPU utilization over a long period of time

(a) FCFS

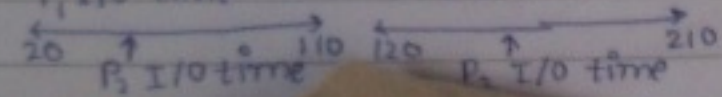
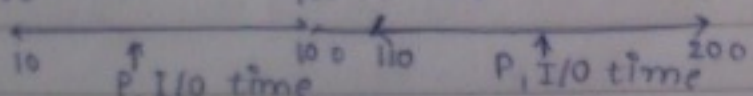
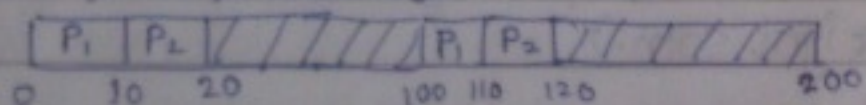
(b) SRTF

(c) Static priority scheduling with diff. priorities of the processes

(d) Round Robin, with time quantum of 5 sec.



FCFS, SRTF, Priority :-



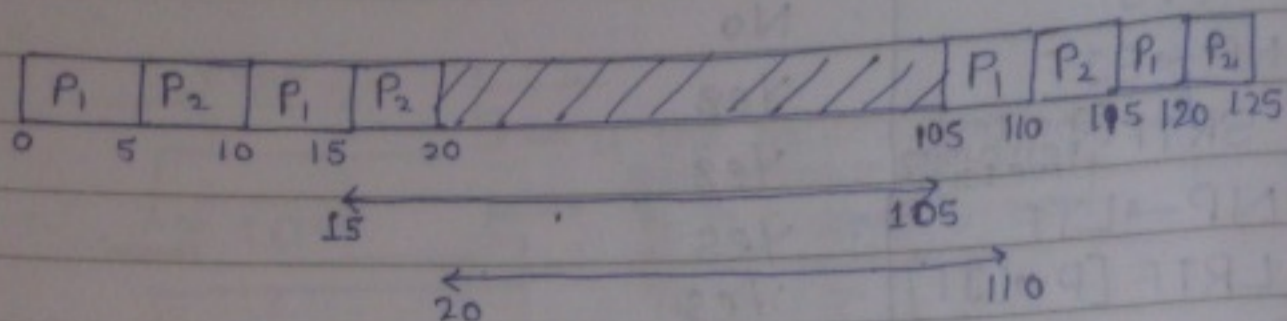
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Page

RR :-



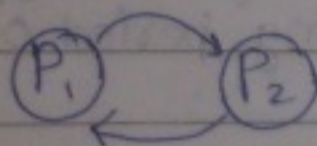
W.T. (for (a), (b), (c)) \rightarrow 80

W.T. (for (d)) \rightarrow 85

Synchronisation

Co-operative process:-

The execution of 1 process affected effects the other process or effected by the other process, then those 2 processes are said to be co-operative process, otherwise they are independent process.

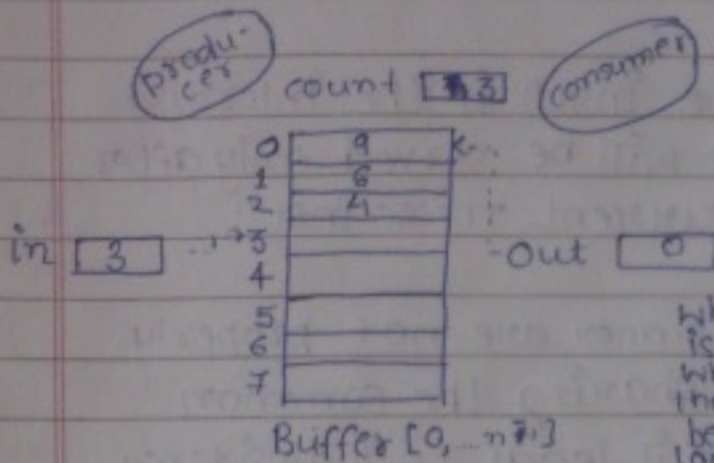


This happens when they have shared variables, shared resources, etc.

1. Problems which arises not having Synchronisation b/w the processes
2. Conditions to be followed to achieve synchronisation.
3. Solutions of for synchronisation. (wrong soln & right soln.)

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Producer - Consumer Problem :-



```
int count = 0;
void producer(void)
{
    int itemp;
    while (true)
    {
        produce_item(itemp);
        while (count == N);
        Buffer[in] = itemp;
        in = (in + 1) % N;
        count = count + 1;
    }
}
```

When buffer is full, i.e. when count = N, then this becomes infinite loop & next 3 lines are not executed, so producer can't produce any item till count remains N.

```
void consumer(void)
{
    int itemc;
    while (true)
```

```
{
    while (count == 0);
    itemc = Buffer[out];
    out = (out + 1) % N;
    count = count - 1;
    process_item(itemc);
}
```

When buffer is empty, then next lines are not executed, & hence consumer won't be able to consume anything.

```
I. R ← M[count]
II. INCR R
III. M[count] ← R
```

- ★ 'in' is a variable used by the producer in order to identify the next empty slot in the buffer.
- ★ 'out' is a variable used by the consumer to identify from where it has to consume the item.
- ★ 'count' is a variable used by the producer & consumer to identify the no. of filled slots in the buffer at any pt. of time.

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Date _____
Page _____

Shared Resources:-

1. Buffer
2. Count

★ While executing the instⁿ if the interrupt comes, the interrupt will be served only after completion of the current micro instⁿ.

★ The producer & consumer are not properly synchronised while sharing the common variable count, hence it leads to inconsistency.

R_i :- respec
process
reg.

Printer Spooler Daemon

(every
process will
have its
own
register)

Enter-File :-

1. load $R_i, M[in]$

2. Store $SD[R_i], "F-N"$

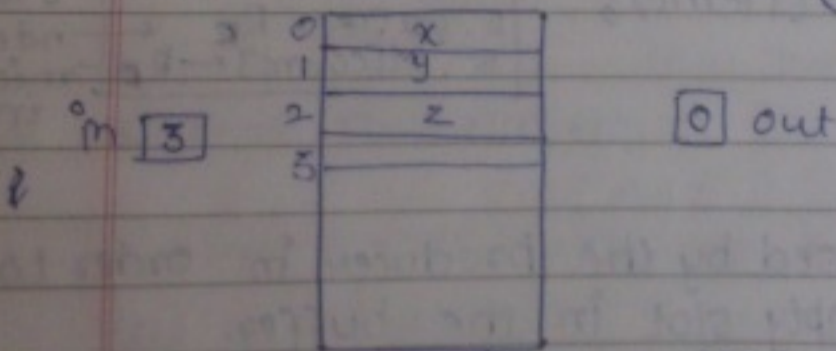
3. INCR R_i

4. Store $M[in], R_i$

loading the R_i with
the memory address
of next empty
slot in the
spooler directory.

File name

printed



Spooler-Directory [SD]

[This spooler -directory contains all the documents to be printed.]

★ 'in' is a variable used by all the processes in order to identify the next empty slot in the spooler directory.

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Date _____

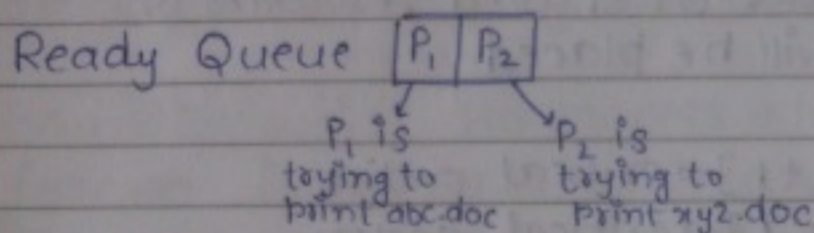
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Shared Resources :-

1. In variable
2. Spooler-Directory

★ Out is used by printer only to identify from which slot it has to print the document.

Analysis :-



→ Assume P_1 is executing 1st instn.

$$R_1 \boxed{3} \quad M[in] = 3$$

→ Now P_1 is executing 2nd instn.

$$SD[R_1] = SD[3]$$

$$SD[3] \leftarrow abc.doc$$

→ Now P_1 is executing 3rd instn.

$$R_1 \leftarrow R_1 + 1$$

$$R_1 \boxed{4}$$

→ Now, assume P_1 is preempted by P_2 at this stage

→ Now P_2 executes 1st instn.

$$R_2 \leftarrow M[in] \quad M[in] = 3$$

$$R_2 \leftarrow 3 \quad R_2 \boxed{3}$$

→ Now P_2 executes 2nd instn

$$SD[R_2] = SD[3]$$

$$SD[R_2] \leftarrow SD[3] \leftarrow xyz.doc \quad \left[\begin{array}{l} abc.doc \text{ is overwritten} \\ \text{by } xyz.doc. \end{array} \right]$$

So, loss of data occurs.

The processes are not properly synchronised while sharing common variable in, hence loss of data occurs.

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- ★ It is also possible that Deadlock will occur if processes are not properly synchronised.

Definitions:-

1. Critical Section (CS):-

The portion of program text where the shared variables or shared resources or shared data will be placed.

e.g.

count = count + 1] → critical section

{ count = count - 1] → critical section

2. Non-Critical Section:-

The portion of program text where the independent code of the process will be placed.

e.g.

$in = (in + 1) \text{ Mod } N;] \rightarrow \text{non-critical section}$

3. Race Condition:-

The final O/P of any variable depends on execution sequence of processes, so this is called as race-condition.

Condⁿ to be followed to achieve Synchronisation:-

① Mutual Exclusion:-

→ No 2 processes may be simultaneously present inside the critical section at any pt. of time.

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→ Only 1 process is allowed in critical section at any pt. of time.

② Progress :- No process running outside the critical section should block the other interested process from entering into critical section when critical section is free.

So, any process running in critical section will block other process ~~or~~ trying to enter the critical section.

③ Bounded Wait :- No process should have to wait forever from entering into critical section. There should be a bound on getting chance for entering into the critical section.

If bounded waiting is not satisfied, there is a possibility of starvation. [if there is no bound, then it can lead to starvation]

④ No assumptions related to h/w or the processor's speed. [soln. is irrespective of h/w or processor's speed]

Solutions :-

① Software type :-

(a) lock variables [No mutual exclusion, no progress]

(b) Strict alteration or Decker's algo [Mutual exclusion, no progress]

(c) Peterson soln. [Mutual exclusion, progress, bounded waiting]

② Hardware type :-

(a) TSL (Test & Set Lock) instⁿ set

③ OS type :-

(a) counting semaphore

(b) binary semaphore

④ Compiler & Programming Support Type

(a) Monitors

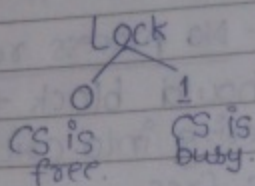
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Lock Variables

Entry-Section

1. Load $R_i, M[\text{lock}]$
2. $\text{CMP } R_i, \#0$
3. JNZ to step 1
4. Store $M[\text{lock}], \#1$
5. $\boxed{\text{CS}}$
6. Store $M[\text{lock}], \#0$



R_i → respec. process register
(every process will have its own register).

Exit-section or remainder section.

Analysis:-

Ready Queue :- $\boxed{P_1} \mid \boxed{P_2}$

→ & assume $\text{lock} = 0$

→ P_1 executing 1st instⁿ

$R_1 \leftarrow M[\text{lock}]$

$\therefore R_1 \leftarrow 0 \quad R_1, \boxed{0}$

→ P_1 executing 2nd instⁿ

$\text{CMP } R_1, 0$

$\text{ZF} = 1$

→ P_1 executing 3rd instⁿ

Since $\text{ZF} = 1, \therefore \text{Program Counter} = 4$

→ At this stage, P_2 preempts P_1

→ Now P_2 executes 1st instⁿ

$R_2 \leftarrow M[\text{lock}]$

$R_2 \leftarrow 0 \quad R_2, \boxed{0}$

→ Now P_2 executes 2nd instⁿ

$\text{CMP } R_2, 0$

$\therefore \text{ZF} = 1$

→ Now P_2 executes 3rd instⁿ

which makes $\text{PC} = 4$

→ Now P_2 executes 4th instⁿ

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$M[lock] \leftarrow 1$

1 lock

→ Now P_2 is executing 5th instⁿ [Critical Section]

→ Now if P_1 comes back to execution starting from instⁿ 4

$M[lock] \leftarrow 1$

1 lock

→ Now P_1 executes 5th instⁿ & hence enters critical section. (So, both P_1 & P_2 are in critical section).

★ We have proved that both P_1 & P_2 are simultaneously present in the critical section at same time & hence Mutual exclusion is not satisfied, so soln. is bound to be incorrect.

→ If the other process preempts just after last instⁿ of critical section & before 6th instⁿ, then the other process won't be able to enter the critical section.

When some process pre-empts a process which is executing its last instⁿ of CS, i.e. just after CS & just before 6th instⁿ, then it won't be able to enter the

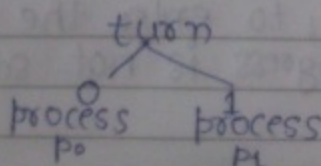
Strict alternation or Dacker's Algo :- critical section because the lock is 1 & is not reset to 0

process P_0 code :-

```
while (true)
{
    Non-CS();
    while (turn != 0);
    CS
    turn = 1;
}
```

process P_1 code :-

```
while (true)
{
    Non-CS();
    while (turn != 1);
    CS
    turn = 0;
}
```



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Analysis :-

- Assume $turn = 0$
- Now P_0 is trying to enter Critical Section
- Now $turn \neq 0$ is false,
so P_0 enters into critical section.
- Now P_0 be in critical section,
if P_1 is trying to enter critical section
then $turn \neq 1$ is true,
so P_1 is not able to enter critical
section.
- When P_0 completes critical section,
& makes $turn = 1$.
- $turn = 1$ makes
 $turn \neq 1$ false
& P_1 is able to enter critical section
& P_0 is not able to enter critical
section,
So, mutual exclusion is satisfied.
- Now, P_1 is leaving the critical section
& makes $turn = 0$
& now after sometime P_1 is trying to
enter critical section (critical section
is free).
- $turn = 0$
& hence $turn \neq 1$ is true
& P_1 is not able to enter the critical
section
but P_0 is not in the critical section
& is hindering P_1 to enter the critical
section, hence progress is not satisfied.

Cosmos

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Date _____

Page _____

★ 2nd Defⁿ of Progress :-

Which process will go next into critical section is decided by those processes that want to go into critical section.

In this decision, the process in remainder section the process which is not interested to go into critical section should not take participation.

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2.06.12

(Similar to Strict Alteration)

Q.	Method used by P_1	Method used by P_2
	$\text{while}(s_1 \neq s_2);$ \boxed{CS} $s_1 = s_2;$	$\text{while}(s_1 \neq s_2);$ \boxed{CS} $s_2 = (\text{not}) s_1;$

s_1 & s_2 are two shared (boolean) variables randomly assigned. Consider the methods used by process P_1 & P_2 , which of the below properties are satisfied:

- ✓ (a) Mutual Exclusion but not progress.
 - (b) Progress but not mutual exclusion.
 - (c) both M.E. & progress.
 - (d) neither M.E. nor progress.
- ★ s_1 & s_2 can only have values 0 & 1.

Peterson's Solution:-

```
#define N 2
#define TRUE 1
#define FALSE 0
int turn;
int interested[N];

void enter_region(int process)
{
    1. int other;
    2. other = 1 - process;
    3. interested[process] = true;
    4. turn = process;
    5. while (turn == process && interested[other] == true);
}
```

the turn is of the 'process' & not of 'other' but 'other' process is interested to enter the section

Q. Why $turn == process$?
 Ans. Suppose the circumstances are initial, so $interested[0] \& [1] = FALSE$.
 & P_0 enters into entry region & set $interested[0] = TRUE$ & $turn = 0$. Let suppose P_1
 preempts P_0 just before statement 5, \therefore it sets $\& interested[1] = TRUE$ &
 $turn = 1$ & executes 5th instⁿ, so $turn = 1$ is true & $interested[0] = TRUE$ is also
 true, $\therefore P_1$ won't be able to enter CS, now for P_0 , $turn = 0$ is false & hence
 P_0 enters CS & when it leaves CS & execute $interested[0]$
 FALSE, only then P_1 enters into CS, now let suppose P_1 enters

CS

void Leave_region (int process)

```
{ interested [process] = FALSE;
}
```

into CS & P_0 is not interested, so
 $interested[0] = FALSE$, so P_1 enters
 into entry region again & set
 $turn = 1$ & $interested[1] = TRUE$
 & executes 5th instⁿ, now $turn =$
 $= 1$ is true but $interested[0] =$
 $TRUE$ is false, so P_1 again enters
 into CS & hence satisfies
 progress.

- ★ solution works only for 2 processes.
- ★ the initial values of $interested[0]$ & $interested[1]$ equals to FALSE.

Analysis :-

Cosmos

1.1

- ① First P_0 will be in critical section (assumption)
 $\therefore process = 0$
 $\therefore other = 1$
- ② In 3rd step $interested[process] = interested[0] = true$
- ③ In 4th step $turn = process = 0$.
- ④ In 5th step
 $while (turn == process \& \& interested[other] == true)$
 $\quad \quad \quad \downarrow \quad \quad \quad \quad \quad \quad \quad \downarrow$
 $\quad \quad \quad true \quad \quad \quad \quad \quad \quad \quad false$
- ⑤ So, P_0 enters into critical section.
- ⑥ Now, let P_0 enter into CS & be in critical section, we will check whether P_1 is allowed.
- ⑦ Now for P_1 , we call enter_region.
- ⑧ For P_1 , $process = 1$ & $other = 0$.
- ⑨ In 3rd step, $interested[1] = true$.
- ⑩ In 4th step, $turn = 1$.
- ⑪ In 5th step
 $while (turn == process \& \& interested[other] == true)$
 $\quad \quad \quad \downarrow \quad \quad \quad \quad \quad \quad \quad \downarrow$
 $\quad \quad \quad true \quad \quad \quad \quad \quad \quad \quad true$
- ⑫ So, P_1 won't be able to enter critical section.
 So, Mutual Exclusion is satisfied [when P_1 1st enters
 critical section & then P_0 trying to enter. So M.E.
 is satisfied only after checking both cases.]

Cosmos

classmate

Date _____
Page _____

Checking for progress

- (13) initial values for interested[0] & interested[1] equals false.
 - (14) For P_1, P_2 etc Progress is satisfied.
 - (15) If interested[process] = FALSE is not executed, & process other process preempts, we assume that "process" is still in the critical section, & the "other" process can't enter the critical section. So progress is satisfied.
- ★★ If the no. of processes are countable or bounded, then bounded waiting is satisfied.

Hardware type Of Solutions

→ TSL instruction set :- This is similar to Lock variable but here we load & change the value of flag in same instrn.
↳ test & set Lock

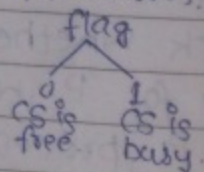
TSL Register flag :- Copies the current value of flag into the register & stores value of 1 into the flag in the single atomic cycle without any pre-emption.

★ The soln. can be used for any no. of processes.

R_i :- separate for diff processes

- Entry Section
1. TSL $R_i, M[flag]$
 2. CMP $R_i, \#0$
 3. JNZ to Step ①
 4. CS
 4. Store $M[flag], \#0$

This step takes place in 1 machine cycle.
→ These 3 instrn. are atomic, which means no process can preempt the execution in btwn.



Analysis :-

- ① Assuming CS is free & flag is 0.
- ② Assuming 2 processes in a ready queue. (P_1, P_2)
- ③ Let P_1 trying to execute.
- ④ P_1 executes 1st instrn.
 $R_1 \leftarrow 0$
& flag is changed to 1 in a

$R_1 = 0$
 $R_2 = 1$
+ flag = 1
 $R_1 = 0$

- ⑤ Now, P_1 executes 2nd instⁿ, so $R_1 = 0$, $ZF = 1$, so P_1 goes to ~~the~~ critical section, now we will check whether P_2 comes onto CS while P_1 is still in the CS. classmate
Date _____
Page _____
- ⑥ P_2 executes 1st instⁿ.
 $R_2 \leftarrow 1$ & flag becomes 1
- ⑦ P_2 executes 2nd instⁿ.
 $ZF = 0$, When it executes 3rd instⁿ, it will go back to 1st instⁿ.
[Now, when we check for other conditions, we always get only one process in CS, so Mutual Exclusion is satisfied.]
- ⑧ Checking for progress:-
Let flag = 0, let execute P_1 1st
- ⑨ P_1 executing 1st instⁿ
 $R_1 \leftarrow 0$ & flag = 1
- ⑩ Assume P_1 is preempted after 1st instⁿ by P_2 .
now, P_2 executes 1st instⁿ
- ⑪ $R_2 \leftarrow 1$ & flag = 1
Now, P_2 executes 2nd instⁿ
- ⑫ but for that $CMP R_2, \#0$, $ZF \neq 1$ & $ZF = 0$.
 $\therefore P_2$ executes 3rd instⁿ & P_2 jumps back to 1st instⁿ, so P_2 is stopped by P_1 , to enter the CS, though CS is free, but P_1 is interested in entering the CS & hence stops P_2 to enter CS.
- ⑬ Now, suppose P_2 preempts P_1 before execution of 4th step (as CS is free) but we assume that P_1 will remain in CS till 4th instⁿ gets executed.
So, progress is satisfied.
- ⑭ Bounded waiting is not satisfied, because no. of processes are not bounded.

Q. If both the processes P_0 & P_1 are trying to enter into critical section at the same time, then which process will enter into critical section first?

- (a) The process which executes statement 2 1st.
(b) " " " " " " 3 1st.
(c) " " " " " " 4 1st.
(d) We can't say anything, it all depends on situation.

Reason: Initially

- ① $interested[0] = interested[1] = false$
- ② Now, executing P_0 first.
 $\therefore other = 1$ & $process = 0$.
In 3rd step, $interested[0] = true$.
Assume P_1 executes 3rd step after it, $\therefore interested[1] = true$
- ③ Now, for 4th step
let P_1 execute it 1st
 $\therefore turn = 1$
& now, P_0 execute it
 $\therefore turn = 0$.
- ④ Now, both checking 5th instⁿ
Let P_1 execute it 1st
 $\therefore while (turn = 1 \& \& interested[other] = true);$
false as $turn = 0$

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Test & Set

- Deadlock can't occur.
- But Starvation can occur when there are yet ^{classmate} suppose 10 processes, then it may happen that process P_1 will Test & Set the flag always & hence is always executed, & hence can cause starvation.

So, P_1 is executed 1st because it executes 4th instⁿ

Using Preemption

→ For P_0 process

- When it executes 3rd instⁿ

interested [0] = true.

- Now, P_1 preempts it.

- So, P_1 executes now in 3rd instⁿ

interested [1] = true.

Now, P_1 executes 4th instⁿ

∴ turn = 1

Now, P_1 executes 5th instⁿ

but P_1 is stopped at 5th instⁿ.

- Now, P_0 comes back after 3rd instⁿ,

∴ P_0 executes 4th instⁿ

∴ turn = 0

- Now, P_0 executes 5th instⁿ & gets stuck there,

- & when P_1 executes 5th instⁿ now, & will get its CS executed.

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Soln.	M.E	Progress	Bounded Waiting
1. Lock variable	X	✓	X
2. Strict alternate	✓	X	✓
3. Peterson's ^{but applicable for 2 processes}	✓	✓	✓
4. TSL	✓	✓	X

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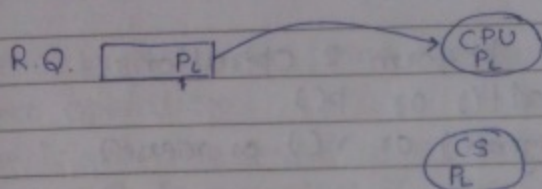
classmate

Date _____

Page _____

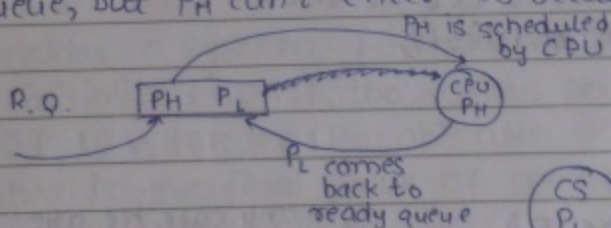
Priority Inversion Problem

1. Assume that we have only one process P_L with low priority in ready queue.



Since only one process, so it will be scheduled for CPU & P_L will be in the critical section.

2. Now, suppose a high priority process preempts P_L when P_L is executing its CS.
3. So, P_H is scheduled to CPU & P_L will come in ready queue, but P_H can't enter CS because P_L has locked it.



→ but critical section is locked by P_L & P_H can't enter the critical section.

Operating System Soln.

Semaphore :-

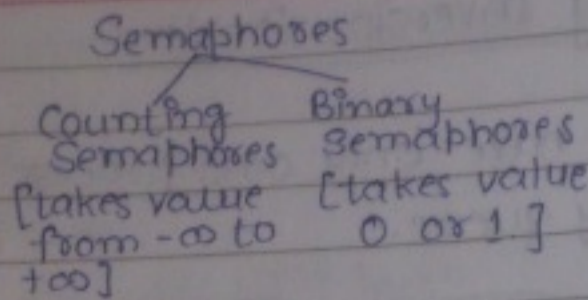
- It is an integer variable which is used by various processes in a mutual exclusive manner to achieve synchronisation.
- Improper usage of semaphore will also give improper results.

Cosmos

classmate

Date _____

Page _____



The semaphores perform 2 operations:-

1. Down() or Wait() or P()
2. Up() or Signal() or V() or release()

Counting Semaphore:-

1. Down(Semaphore S)

```
{ S.value = S.value - 1;
```

```
  if (S.value < 0)
```

```
    { Block the process &
```

```
      place its PCB in the
```

```
      suspend_list();
```

```
    }
```

```
  }
```

UP (Semaphore S)

```
{ S.value = S.value + 1;
```

```
  if (S.value <= 0)
```

```
    { select a process from
```

```
      suspend_list() & wakeup();
```

```
    }
```

```
  }
```

In any case, we are not suspending the process.

If the process is allowed to perform the remaining code of down op.

★ After performing Down operation, if process is getting suspended, then it is called as unsuccessful down operation.

If down operation is unsuccessful, the process will not continue the execution. [i.e. the remain in down() after

★ After performing down operation, if process

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is not getting suspended, then it is called as successful down operation, if it is successful down opⁿ, it will continue further execution. [i.e. the code after the if block in the down() opⁿ.]

- ★ So, the process will come in suspend-list in unsuccessful down operation.
- ★ There is no unsuccessful up operation, it is always successful.
- ★ Down opⁿ is successful if the semaphore value is greater than equal to 1 (4 not 0).
- ★ If Semaphore value is +7, this means we can perform 7 successful down opⁿ.
- ★ If Semaphore value is -7, this means we have 7 suspended processes.

Q. Consider a system where counting semaphore value is initialized to +17, the various semaphore opⁿ like 23P, 18V, 16B, 14V, 1P opⁿ are performed, then what is the final value of semaphore

Ans. $+17 - 23 + 18 - 16 + 14 - 1$
 $= +9$

the value of semaphore is 9

Binary Semaphore

Down (Semaphore S)

{ if (S.value == 1)

S.value = 0;

else

{ Block the process & place its PCB in the suspend list;

}

}

→ if semaphore value is 1, then we will continue the code after the else block.

but if it is 0, the process will be put into suspend list

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Up (Semaphore S)

```

{ if (suspended list() is empty)
  { s.value = 1;
  else
    { select a process from
      suspended list & wakeup();
    }
  }
}

```

→ if suspended list is empty, then there are no suspended processes & hence we can't wake any process.
 & if it is not empty, then we will wake any process & continue the execution.

- The down opⁿ is successful only when semaphore value is 1.
- There is no unsuccessful up operation.

Q. Each process $i=1$ to 9 , executes the below code:-

```

Repeat
  P(mutex);
  [CS]
  V(mutex);
forever

```

The process P_{10} executes below code

```

Repeat
  V(mutex);
  [CS]
  V(mutex);
forever

```

The initial value of binary semaphore $mutex=1$
 what is the max. no. of process that may be present inside the critical section at any pt. of time?

(a) 2
~~(b) 3~~

(c) 9
~~(d) 10~~

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P_1, P_{10}, P_2
 $P_1, P_2, P_3, P_4, P_{10}, P_5$

classmate
Date _____
Page _____

My Answer :- (b) 3

Reason :- Suppose 1st process enters

Correct answer :- (d) 10

- Initially P_1 is in CS,
& all the other processes P_2 to P_9 are in suspend list.
- When code for P_{10} executes
then it executes $v()$,
 $\therefore P_1, P_2$ is in CS
& P_{10} also comes in CS
 $\therefore P_1, P_2, P_{10}$ is in CS.
- Now, when P_{10} comes out of CS, & calls $v()$,
 $\therefore P_1, P_2, P_3$ is in CS.
- & now code repeats again,
& $v()$ is called again.
 $\therefore P_1, P_2, P_3, P_4$ is in CS
& P_{10} also comes into CS
 $\therefore P_1, P_2, P_3, P_4, P_{10}$ are in CS.
- \therefore similarly all the processes can come into CS.

Q. Now if change P_{10} code as:-

```
Repeat  
  v(mutex);  
  [CS]  
  p(mutex);  
forever
```

→ Now, max. no. of processes in CS are 3.

Q. Now, if we change P_{10} code as:-

```
Repeat  
  p(mutex);  
  [CS]  
  forever v(mutex);
```

then only 1 process can be in CS
at any pt. of time.

Cosmos

Q. Consider two concurrent processes 'P' & 'Q' executes their respective codes

P :-
while (true)
{
 w:
 print('0');
 print('0');
 x:
}

Q :-
while (true)
{
 y:
 print('1');
 print('1');
 z:
}

What should be the binary semaphore opⁿ on w, x, y, z & what should be the initial values of binary semaphore variables 'S' & 'T' to get o/p as 0011001100...

- (a) $w = p(T), x = v(T), y = p(S), z = v(S), S = T = 1$
- (b) $w = p(T), x = v(T), y = p(S), z = v(S), S = 1, T = 0$
- (c) $w = p(T), x = v(S), y = p(S), z = v(T), S = T = 1$
- (d) $w = p(T), x = v(S), y = p(S), z = v(T), T = 1, S = 0$

S=1
00

11001100
00110011

★ if we have $S = 1$, then P can start the o/p as well.

∴ $T = 1$ & $S = 0$.

Q. Which of the below ensures that o/p string never contain a substring of 01^n0 (or) 10^n1 where n is odd?

- (a) $w = p(S), x = v(S), y = p(T), z = v(T), S = T = 1$
- (b) $w = p(S), x = v(T), y = p(T), z = v(S), S = T = 1$
- (c) $w = p(S), x = v(S), y = p(S), z = v(S), S = 1$
- (d) $w = v(S), x = v(T), y = p(S), z = p(T), S = T = 1$

Cosmos

classmate

Date: _____

Page: _____

Ans. (a), (b), (d) are printing 010, (c) is not printing 010.

Q. Page 45, Q-20.

Case 1: Starting with P_0

$P_0 \rightarrow P_1 \rightarrow P_0 \rightarrow P_2 \rightarrow P_0$

\therefore O/p :- 000

Case 2: $P_0 \begin{cases} \rightarrow P_1 \\ \rightarrow P_2 \end{cases} P_0$ (when both P_1 & P_2 are executed parallelly)

00

$S_0 = X \emptyset X X \emptyset 0$

$S_1 = \emptyset X \emptyset 1$

$S_2 = \emptyset X \emptyset 1$

Classical problems

Producer-Consumer:-

Semaphore mutex = 1;

Semaphore empty = N;

Semaphore full = 0;

```
void producer(void)
```

```
{ int Item;
```

```
  while(true)
```

```
    { produce_item(item);
```

```
      down(empty);
```

```
      down(mutex);
```

```
      Buffer[in] = Item;
```

```
      in = (in+1) Mod N;
```

```
      up(mutex);
```

```
      up(empty);
```

```
    }
```

```
}
```

★ Mutex is a binary semaphore variable used by the producer & consumer to access the buffer in a mutual exclusive manner. classmate

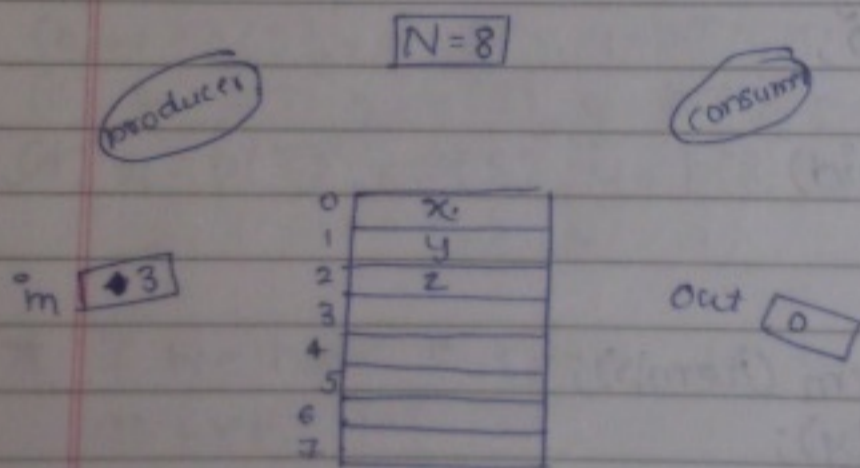
★ Empty is a counting semaphore variable representing the no. of empty slots in the buffer at any point of time. classmate

★ Full: - a counting semaphore variable representing no. of filled slots in the buffer at any point of time.

```
void consumer (void)
{
    int Itemc;
    while(true)
    {
        down (full);
        down (mutex);
        Itemc = Buffer[out];
        out = (out+1) mod N;
        up (mutex);
        up (empty);
        process_item (itemc);
    }
}
```

Cosmos

Analysis



In this example:

- empty = 5
- ↳ full = 3
- We are producing an item
 - ∴ empty = 4
 - ↳ down(mutex)
 - ∴ mutex = 0.

Cosmos

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Date _____

Page _____

- Now, $in = (n+1) \bmod N$
 $\therefore in = 4.$
- Now, up(mutex)
 $\therefore mutex = 1$
& up(full)
 $\therefore full = full + 1;$
 $\therefore full = 4.$
- Now, we execute consumer program
down(full)
 $\therefore full = 3$
& down(mutex)
 $\therefore mutex = 0.$
- Now;
 $Out = (out + 1) \bmod N$
 $\therefore out = 1$
- Now, up(mutex) $\therefore mutex = 0$
- & now, up(empty), $\therefore empty = 4$
~~now~~

★ If Buffer is full

Empty = 0

Full = 8

so, producer is not allowed to produce by statement

down(empty)

\therefore the producer will halt here.

★ If Buffer is empty

Empty = 8

Full = 0

so consumer is not allowed to consume by

down(full).

\therefore consumer will halt.

Cosmos

- Q. If we interchange $\text{down}(\text{empty})$ & $\text{down}(\text{mutex})$, then
- We encounter $\text{down}(\text{mutex})$ 1st which will be executed, & ~~goes~~ $\text{mutex} = 0$, & goes to next instⁿ.
 - $\text{down}(\text{empty})$ if $\text{empty} = 0$, then producer halts here.
 - The consumer will now executes $\text{down}(\text{full})$ which makes the exec. of next instⁿ which is $\text{down}(\text{mutex})$ but $\text{mutex} = 0$, so consumer also halts.
 - So, both producer & consumer halts & hence deadlock.

Readers-Writers Problem:-

$\text{int } rc = 0;$ → readers count: - It represents the no. of readers present in the db/at (database) any point of time.

semaphore $\text{mutex} = 1;$ → it is a binary semaphore used by the readers.

semaphore $\text{db} = 1;$ → It is also a binary semaphore used by readers & writers.

void Reader(void)

{ while(TRUE)

{ $\text{down}(\text{mutex});$

$rc = rc + 1;$

if ($rc == 1$) $\text{down}(\text{db});$

$\text{up}(\text{mutex});$

DB

$\text{down}(\text{mutex});$

$rc = rc - 1;$

if ($rc == 0$) $\text{up}(\text{db});$

{ $\text{up}(\text{mutex});$

}

Cosmos

classmate

Date _____

Page _____

(10) Now, we will check whether 2nd reader can enter the d/b.

(11) So, we execute reader's code.

down₂(mutex)

\therefore mutex = 0,

& rc = rc + 1 = 2

& (rc == 1) is false

(12) up(mutex)

mutex = 1

& hence the 2nd reader enters the d/b which is acc. to the problem.

(1) Now, 1st writer enter the d/b, so writer's code executes.

(2) \therefore down(db)

\therefore db = 0

& writer is in the d/b.

(3) Now, we will check while writer is in db, is the reader allowed? so we execute reader's code.

(4) down(mutex)

\therefore mutex = 0

& rc = rc + 1 = 1

(5) & (rc == 1) is true

& down(db)

[since db = 0 prior to down(db), \therefore the reader will be suspended.]

Cosmos

classmate

Date _____

Page _____

Q. `int R=0, W=0;`

`Semaphore mutex=1;`

`void Reader(void)`

`{ L1: down(mutex);`

`if (W == 1)`

`{ [] → ①`

`up(mutex)`

`goto L1;`

`else`

`{ R = R + 1;`

`[] → ②`

`up(mutex)`

`;`

`[DB]`

`down(mutex);`

`R = R - 1;`

`up(mutex);`

`}`

`void writer(void)`

`{ L2: down(mutex);`

`if ([] → ③`

`R ≥ 1 or W = 1`

`{ up(mutex);`

`goto L2;`

`W = 1;`

`up(mutex);`

`[DB]`

`down(mutex);`

`W = 0;`

`up(mutex);`

`}`

Q. What should be the values of blanks 1, 2, 3 to synchronise classical readers & writers?

(a) `down(mutex), up(mutex), W = 1`

(b) `up(mutex), up(mutex), W = 1`

(c) `down(mutex), up(mutex), R ≥ 1 or W = 1`

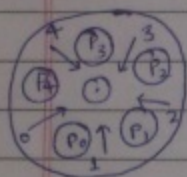
(d) `up(mutex), up(mutex), R ≥ 1 or W = 1`

Cosmos

Q. What happens if we interchange $w=1$ & $up(mutex)$ in writer's code.

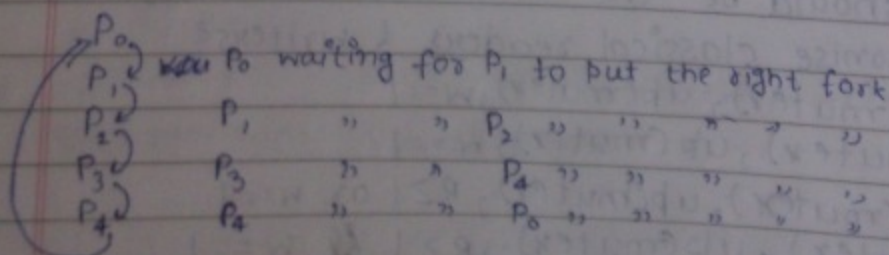
- (a) Soln works fine, no problem at all.
- (b) Only multiple writers are allowed.
- (c) readers & writers both can be in DB at same time.
- (d) None of them.

Dining Philosopher



```

void philosopher(int i)
{
    while (true)
    {
        thinking();
        take-fork(i); // pick the left fork
        take-fork((i+1)%N); // pick the right fork
        eat();
        put-fork(i); // put the left fork back on table.
        put-fork((i+1)%N); // put the right fork back on table.
    }
}
    
```



So, it's a deadlock.

Cosmos

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Date _____
Page _____

```
#define N 5
#define LEFT (i+1)%N → left philosopher
#define RIGHT ((i+1)%N) → right philosopher
#define Thinking 0
#define HUNGRY 1
#define EATING 2
```

Semaphore mutex = 1; → mutex is binary semaphore variable used by the philosophers in a mutual exclusive manner.

array of semaphores Semaphore S[N]; // all s[i]'s are initialised to 0

int state[N]; // array to keep track of everyone's state.

void philosopher(int i)

{ while (true)

{ thinking();

take_forks(i);

eat();

put_forks(i);

}

}

take_forks(int i)

{ down(mutex);

state[i] = HUNGRY;

test(i);

up(mutex);

down(s[i]);

}

put_forks(int i)

{ down(mutex);

state[i] = THINKING;

test(LEFT);

test(RIGHT);

up(mutex);

}

Q If we interchange up(mutex) & down(s[i]) then down(s[i]) will suspend the philosopher who is hungry & can't eat, & hence up(mutex) won't be executed, & those philosophers that are eating can't put the fork back because put_forks function's 1st statement is down(mutex), & the philosopher is suspended & will remain in eating state.

checking whether left philosopher can go hungry into eating state.
checking whether right philosopher into eating state.

Cosmos

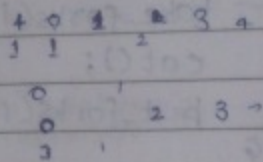
```
void test (int i)
{
    if (state[i] == HUNGRY && state[LEFT] != EATING &&
        state[RIGHT] != EATING)
    {
        state[i] = EATING;
        up(sem[i]);
    }
}
```

Q. Let P_0, \dots, P_4 be processes & M_0, \dots, M_4 be binary semaphores, mutex variable is initialised to 1.

Each process P_i executes the below code.

```
0 → 1
1 → 1
4 → 4
3
P0 → m[0]
P1 → m[1]
P2 → m[2]
P3 → m[3]
P4 → m[4]
```

```
wait (m[i]);
wait (m[(i+1) mod 4]);
[CS]
```



```
signal (m[i]);
signal (m[(i+1) mod 4]);
```

Consider the statements below-

- I. M.E. is satisfied
- II. M.E. is not satisfied
- III. It is possible for deadlock

Which of the above statements are true

- (a) only I
- (b) only I & III
- (c) only II & III
- (d) only II

* Deadlock:- When all the processes tries to enter [CS], then 1st wait statement is succesful, but 2nd wait opⁿ causes all processes to be in suspended state.

Cosmos

Concurrent Programming

- It is possible when we have multi-processors system.

★ ~~Imp~~

$S_1: a = b * c;$

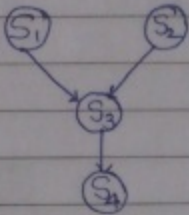
$S_2: d = e * f;$

$S_3: g = a / d;$

$S_4: h = g * i;$

S_1, S_2 are independent statements

Dependency Graph



S_1 & S_2 can be run concurrently (i.e. independently) or parallelly.

Read Set: $\{b, c, e, f, a, d, g, i\}$

Write Set: $\{a, d, g, h\}$

S_i & S_j can be run concurrently or parallelly :-

① $R(S_i) \cap W(S_j) = \emptyset$

R:- read set

② $R(W(S_i) \cap R(S_j)) = \emptyset$

W:- write set

③ $W(S_i) \cap W(S_j) = \emptyset$

parallel

concurrent

To write programs parallelly:

par begin (or) co-begin

par end (or) co-end

If we write:-

begin

$S_1:$

$S_2:$

$S_3:$

end

S_1

S_2

S_3

If we write:-

par begin

$S_1:$

$S_2:$

$S_3:$

par end

S_1

S_2

S_3

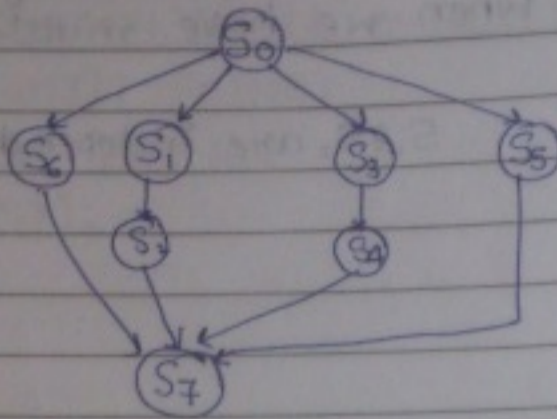
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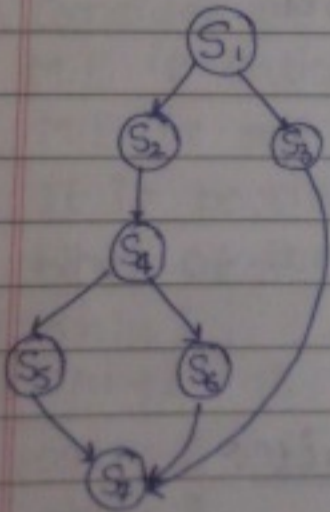
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example:- $S_0;$
 par begin₁
 begin₁
 $S_1;$
 $S_2;$
 end₁
 begin₂
 $S_3;$
 $S_4;$
 end₂
 $S_5;$
 $S_6;$
 par end
 $S_7;$



Q. Dependency Graph

begin₁
 $S_1;$
 par begin₂
 begin₂
 $S_2;$
 $S_4;$
 par begin₃
 $S_5;$
 $S_6;$
 par end₃
 end₂
 $S_3;$
 par end₂
 $S_7;$
 end₁

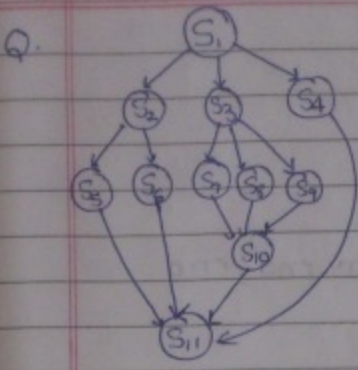


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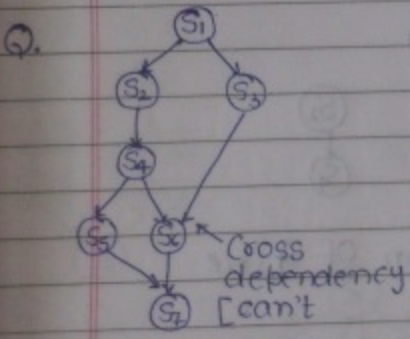
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Page _____



```

begin
  S1;
  par begin
    begin
      S2;
      par begin
        S5;
        S6;
      par end
    end
    begin
      S3;
      par begin
        S7;
        S8;
        S9;
      par end
    end
    S10;
  end
  S4;
par end;
S11;
end
  
```



```

begin
  S1;
  par begin
    S3;
  end
end
  
```

★ It is not possible to write concurrent programs for all dependency graphs using par-begin & par-end.

It is very much possible with help of semaphore Opⁿ.

★ all binary semaphores a, b, c, d, e, f, g, h are initialised to 0.

```

par begin
  begin S1: par begin v(a), v(b), par end, end
  begin p(a), S2, v(c), end
  begin p(b), S4, par begin S3, v(d), end
  begin p(c), S4, par begin v(e), v(f), par end, end
  begin p(e), S5, v(g), end
end
  
```

Cosmos

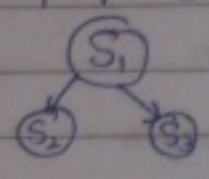
```

begin p(d), p(f), S6, v(h), end
begin p(g), b(h), S2, end
par end

```

→ In 1st instⁿ

- begin S₁: par v(a), v(b), par end, end



S₂ & S₃ can only be executed when S₁ is completed.

& S₂ & S₃ are in parallel,

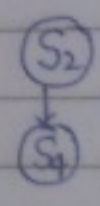
∴ we perform v(a) & v(b)

↓ ↓

for S₂ for S₃

- begin p(a), S₂, v(c), end

↓
for S₄



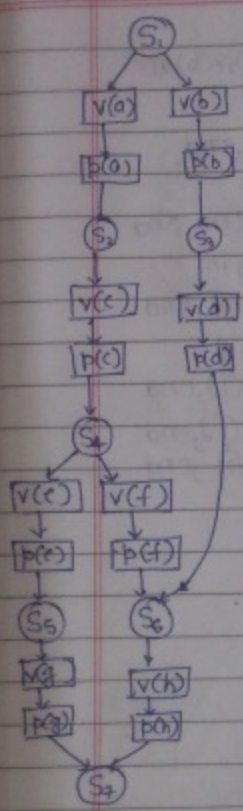
p(a) → means only after completion of S₁, we can execute S₂.

v(c) → we are upping semaphore c, which means S₄ can only be executed when S₂ is executed.

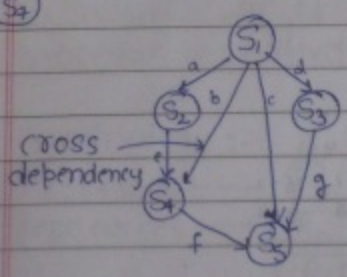
• & so on...



Cosmos



Q.



pas begin

begin: S₁; pas begin: v(a), v(b), v(c), v(d); pas end, en d

begin: p(a), S₂, v(e), end

begin: p(b), S₃

begin: p(c), p(b), S₄, v(f), end

begin: p(d), S₃, v(g), end

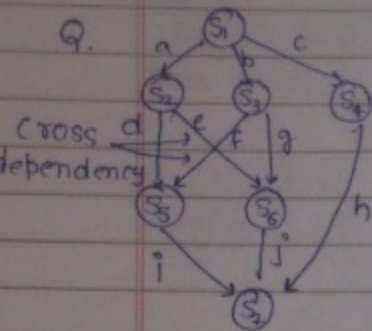
begin: p(c), p(g), p(f), S₅, end

pas end

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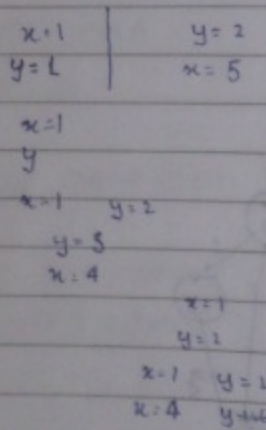
```

par begin
  begin S1: par begin v(a), v(b), v(c),
    par end, end
  begin : p(a), S2, v(d), end
  begin : p(a), par begin
    v(d), v(e), par end, end
  begin : p(b), S3, par begin
    v(f), v(g), par end, end
  begin : p(c), S4, v(h), end
  begin : p(d), p(f), S5, v(i), end
  begin : p(g), p(e), S6, v(j), end
  begin : p(i), p(j), p(h), S7, end
par end
  
```

Q. `int x=0, y=0;`

```

par begin
  begin
    x=1;
    y=y+x;
  end
  begin
    y=2;
    x=x+3;
  end
end
  
```

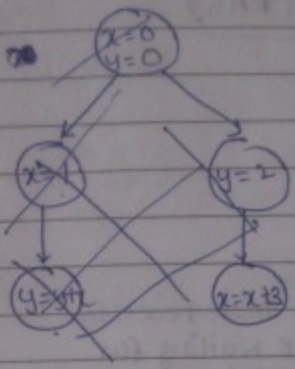


`par end`

What are the possible values of x & y after completion of program

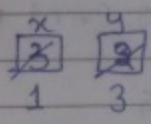
- I $x=1, y=2$ ✓
- II $x=1, y=3$ ✓
- III $x=4, y=6$ ✓

Ans. II & III

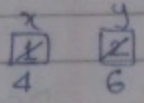


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II → ~~III~~ $y = 2$
 $x = x + 3$
 $x = 1$
 $y = y + x$



II → $x = 1$ $y = 2$
 $x = x + 3$
 $y = y + x$



Deadlock

Definition:-

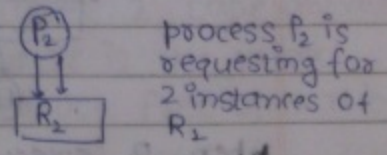
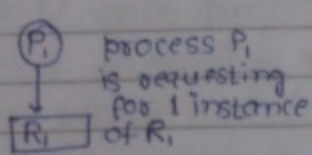
If two or more processes are waiting on some event to happen which never happen, then we say those processes are involved in deadlock.

Terminology :-

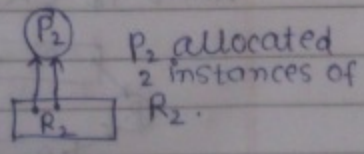
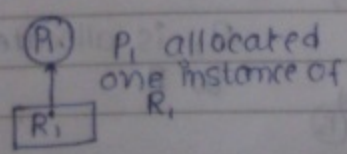
- ① Processes → P_1, P_2
- ② Resources → R_1, R_2

$R_1:2$ → means 2 instances of R_1

③ Requesting

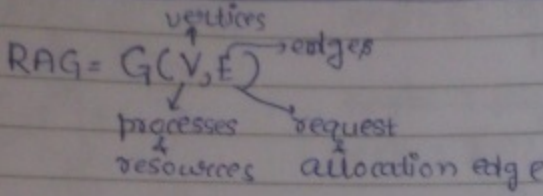


④ Allocation

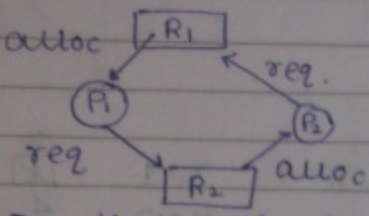


Cosmos

Resource Allocation Graph (RAG)

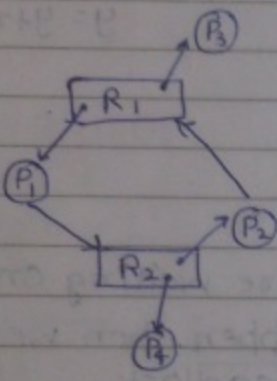


example:



1. Two or more processes
2. They are waiting for some event to happen
3. Event never happens

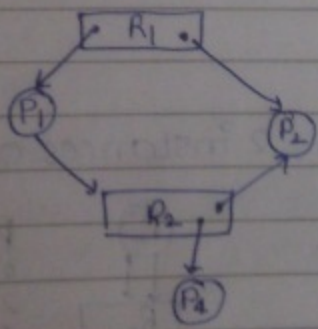
Deadlock exist in RAG.



1. Two or more processes
2. P_1 & P_2 are waiting for R_2 & R_1 respec.
3. But R_1 & R_2 can be allocated to P_2 & P_1 respec. at some pt. of time.

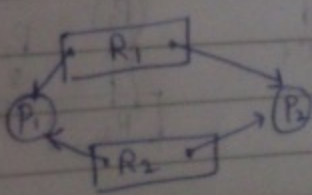
So no deadlock.

When P_3 executes



R_1 is given to P_2

When P_4 executes



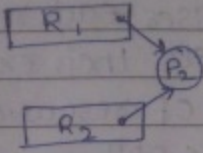
R_2 is allocated to P_1 .

Cosmos

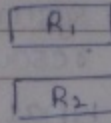
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Date _____
Page _____

When P_1 executes



When P_2 executes



Life-Cycle of Resource Request & Release :-

1. The process request for the resource.
2. O.S. validates the request of the process.
(if the resource is not available ^{present} in the system, i.e. if there is no pointer & a process is requesting pointer, then it is an invalid request & is rejected by OS.)
3. O.S. checks the availability of the resource.
4. If the resource is available, it will be allocated to the process, otherwise process has to wait.
5. The process will go into execution only if all the resources required by the process at that pt. of time are allocated.
6. The process ~~will~~ release all the resources after completion of the process execution.

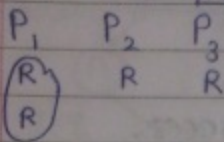
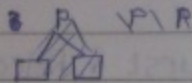
→ Dead

Q. Consider a system which has n processes & 6 tape drives. If each process requires 2 tape drives, complete their execution, then what is the max. value of n which ensures deadlock free operation

- (a) 2
- (b) 3
- (c) 4
- (d) 5

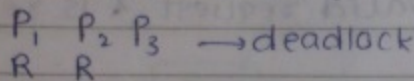
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Q. Consider a system with 3 processes each require 2 units of resource R to complete their execution. Then what is the min. no. of resources require to ensure deadlock free opⁿ.

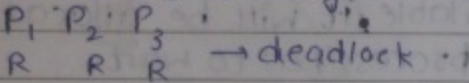


Ans. 4 resources.

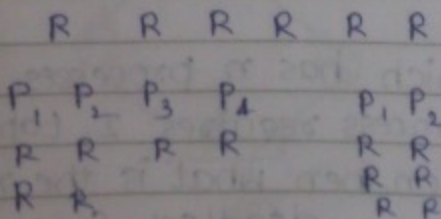
2 can't be the right answer, because



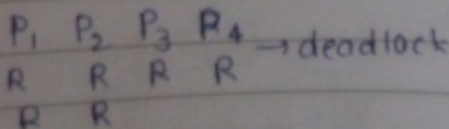
3 can't be the right answer, because



Q. Consider a system with n processes & 6 tape drives. If each process requires 3 tape drives to complete their execution, then what is the max. value of n for deadlock free opⁿ.



Ans. if we have max. 4 processes:



if we have 3 processes:-

$P_1 \ P_2 \ P_3$
 $R \ R \ R \rightarrow \text{deadlock}$
 $R \ R \ R$

Cosmos

if we have 2 processes:-

$P_1 \ P_2$
 $R \ R \rightarrow \text{no deadlock}$
 $R \ R$
 $R \ R$

\therefore ans. is ②.

Q. Consider a system with 3 processes P_1, P_2, P_3 , the peak demand of each process is 5, 9, 13 respec. for resource R. Then what is the min. no. of resources req. for deadlock free opⁿ.

(a) 22

(b) 23

(c) 24

(d) 25

P_1	P_2	P_3
5	9	13
0	8	13

$P_1 \rightarrow 5 \rightarrow$

$P_2 \rightarrow 9$

$P_3 \rightarrow 13$

if we give 4 to P_1 & 8 to P_2 & 12 to P_3 , then
 $4 + 8 + 12 = 24$

it can cause deadlock

So, if we give 1 additional resource, then there will be no deadlock

$\therefore 24 + 1 = 25$

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Date _____

Page _____

Page 48 Q11

$P_1 \rightarrow 4$
 $P_2 \rightarrow 3$
 $P_3 \rightarrow 7$
 $P_4 \rightarrow 8$

→ 20 identical resources

$P_1 \rightarrow 4$
 $P_2 \rightarrow 3$
 $P_3 \rightarrow 7$
 $P_4 \rightarrow ?$

total is 20.

$3 + 2 + 6 = 11$
 $20 - 11 = 9$

$P_1 \rightarrow 4 \rightarrow 3$

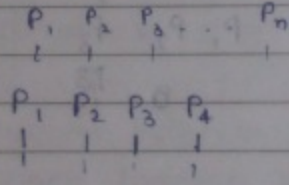
$P_2 \rightarrow 3 \rightarrow 2$

$P_3 \rightarrow 7 \rightarrow 6$

$P_4 \rightarrow 9 \rightarrow 8$
19 + 1, 1 additional resource for deadlock free opⁿ

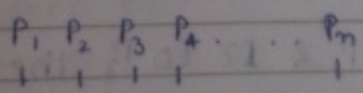
Page 49 Q18

S_i

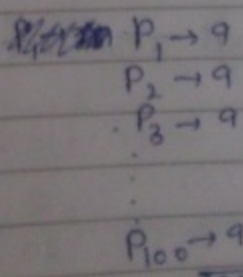


Sufficient condition :-

least upper bound which satisfy the condition



$\forall S_i < m$
 $m = 10$
 $n = 100$



$\forall S_i < n$
no meaning at all

but $m = 10$ 900
↳ deadlock

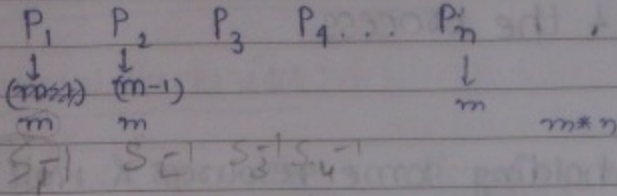
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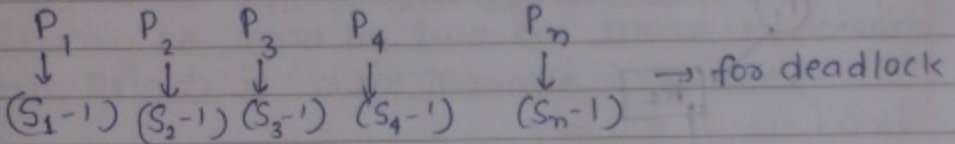
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Page _____

$$\sum_{i=1}^n S_i < m+n$$



$$(S_1 + S_2 + \dots + S_n) < -n, \leq m$$



$$(S_1-1) + (S_2-1) + \dots + (S_m-1) <$$

Q15
Page 9

$n \rightarrow$ process
 $i \rightarrow$ holding x_i of R

$$x_p = y_q = 0$$

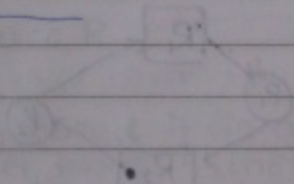
$$x_0 + x_1 + \dots + x_n = R$$

$(n-2) \rightarrow$ are competing

$$\min(x_p, x_q) < \max y_k$$

Deadlock Characteristics:-

1. Mutual Exclusion
2. Hold & Wait
3. No pre-emption
4. Circular Wait



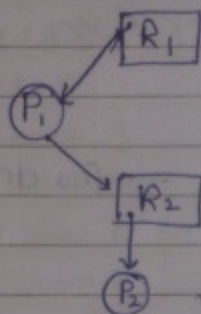
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Mutual Exclusion

- The resource has to be allocated to only one process or it is freely available.
- There should be a 1-to-1 relationship b/w the resource & the process.

Hold & Wait

- The process is holding some resources & waiting on some other resource simultaneously.

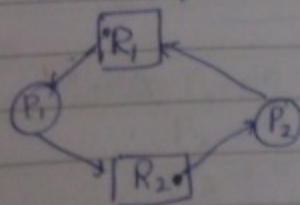


No pre-emption

- The resource has to be voluntarily released by the process after the completion of execution.
- It is not allowed to pre-empt the resource forcefully from the process.

Circular Wait

- The processes are circularly waiting on each other for the resources.



★ If ~~only~~ all the processes above & condⁿ are occurring simultaneously in the system, then

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Date _____

Page _____

definitely there exist a deadlock.

Deadlock Prevention

1. Mutual Exclusion:-

It is not possible to dissatisfy mutual exclusion always because of ~~shared~~^{sharable} & non-sharable resources.

→ File is sharable resource

it can be read by two or more processes.

→ but Printer is Non-Sharable resource.

2. Hold & Wait :-

(i) Allocate all the resources required by the process before the start of execution.

If we follow this strategy we will have 100% device utilization.

(ii) The process should release all the existing resources before making a new request.

If we follow this strategy, then it is possible for starvation.

(The process is not under execution).

3. No pre-emption:-

Process P_1 requesting resource R_1

if R_1 is available, then it will be allocated to P_1 .

if R_1 is busy, & it is allocated to other process P_2 , then

if P_2 is in execution, then P_1 will wait.

if P_2 is not in execution & it is waiting for other resource R_2 , then we will pre-empt P_2 & take R_1 from it & allocate it to P_1 .

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Date _____

Page _____

4. Circular Wait

Every resource will be assigned with a numerical no. The process can request for the resource only in the increasing order of enumeration.

e.g.

$P_1 \rightarrow R_7$ | $P_1 \rightarrow R_5$ | $P_1 \rightarrow R_9$
 granted | rejected | granted.

Deadlock Avoidance

Banker's Algorithm:

	Maximum need			Current Allocation			Current Available			Remaining Need		
	A	B	C	A	B	C	A	B	C	A	B	C
Total:-												
$P_0 \rightarrow 7$	7	5	3	0	1	0	3	3	2	7	4	3
$P_1 \rightarrow 3$	3	2	2	2	0	0				1	2	3
$P_2 \rightarrow 9$	9	0	2	3	0	2				6	0	0
$P_3 \rightarrow 2$	2	2	2	2	1	1				0	1	1
$P_4 \rightarrow 4$	4	5	3	0	0	2				4	5	1
				7	2	5						

Remaining Need = Maximum Need - Current Allocation

Current Available = Total Available - Current Allocation

★ Now, we need to identify whether system is in safe state or unsafe state, if it is in unsafe state, then deadlock can occur. (only possibility) may or may not occur.

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Date _____

Page _____

★ We can have multiple safe sequences.

- ① If we can satisfy the remaining need of all the processes with current available resources in some order then the system is said to be in safe state, otherwise system is in unsafe state.
- ② If system is in unsafe state, then deadlock may occur.
- ③ The order in which we satisfy the remaining need of all the processes is called as safe sequence.
- ④ The safe sequence can't be unique, we can have multiple safe sequences.
- ⑤ The unsafe state purely depends on behaviour of the processes.
- ⑥ Deadlock avoidance is more restrictive than deadlock prevention.

5 4 3
7 4 3
7 5 3
9 5 5

P_3
 P_1
 P_0
 P_2
 P_4

Ans. → P_1
now available resources =
current allocation + current available
= 2, 0, 0 + 3, 3, 2
= 5, 3, 2

2 1 0
1 2 2
2 0 0
5 3 2

Now, P_3
available resources = 5, 3, 2 + 2, 1, 1 = 7, 4, 3

Now P_0
available resources = 7, 4, 3 + 0, 1, 0 = 7, 5, 3

Now P_2
available resources = 7, 5, 3 + 3, 0, 2 = 10, 5, 5

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Now P_4

\therefore available resources = $10, 5, 5 + 0, 0, 2 = 10, 5, 7$

\therefore Safe Sequence $\rightarrow [P_1, P_3, P_0, P_2, P_4]$

Q.3.

P: P_1, P_0, P_2, P_3

$P_1 \rightarrow$ available

now $4, 3, 1 + 2, 1, 2 = 6, 4, 3$

Need

	A	B	C
P_0	6	2	0
P_1	1	3	0
P_2	1	0	2
P_3	2	0	4

$P_0 \rightarrow$ available

now

P_1

$4, 3, 1$
 $2, 1, 2$

 $6, 4, 3$

P_0

$6, 4, 3$
 $0, 3, 4$

 $6, 7, 7$

Q: P_1, P_2, P_3, P_0

$P_1 \rightarrow$ available

now $4, 3, 1 + 2, 1, 2 = 6, 4, 3$

P_2

$6, 7, 7$
 $0, 0, 2$

 $6, 7, 9$

$P_2 \rightarrow$ available

now $6, 4, 3 + 0, 0, 2 = 6, 4, 5$

P_3

$4, 3, 1$
 $2, 1, 2$

 $6, 3, 3$

$6, 3, 5$

Q: P_1, P_2, P_3, P_0

R:

$4, 3, 1$
 $2, 1, 2$

 $6, 4, 3$

P_1 P_3

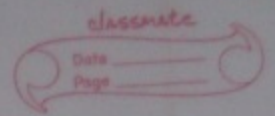
P_1	P_2	P_3	P_4	P_5	P_6
1	1	1	1		
1	1	1	1		
1	1	1			

2
3

5

10

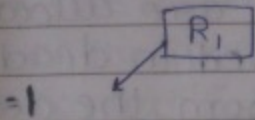
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Deadlock Detection

2/3/20
5

- If all the resources are of single ^{instance} resource type
- If Cycle exists in RAG, then a deadlock exists



- If all the resources are single instance, then cycle in RAG is necessary & sufficient condⁿ for occurrence of deadlock.
- If all the resources aren't single instance, then cycle in RAG is necessary but not sufficient condⁿ for occurrence of deadlock.
- If ^{all} the resources are of multiple instance type, then the Banker's algo is used. Whether we can satisfy the remaining need of all the processes with current available resources.
- If we can satisfy, we can say that the deadlock doesn't exist, otherwise it exist in the system.

Deadlock Recovery :-

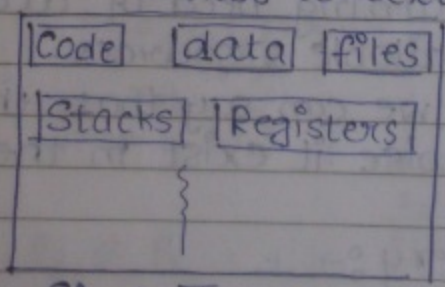
- Killing the process.
 - Kill all the processes involved in the deadlock.
 - Kill one after the other. Criteria:-
 - Priority (generally low priority process is selected first to be killed)
 - % of process completion:-
(the process which is more completed is not killed)
 - no. of resources the process is holding
(kill those processes which is holding more no. of resources)

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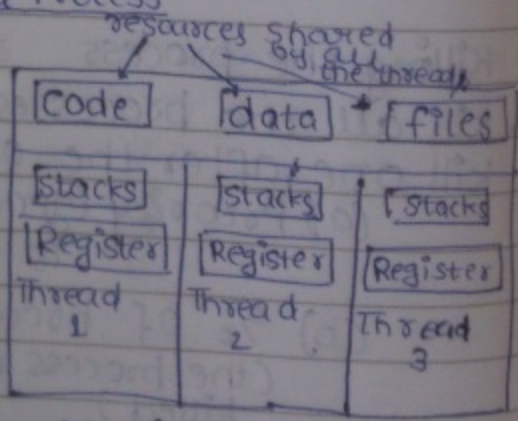
- 2. Resource Pre-emption:-
The resource will be pre-empted from the process involved in deadlock.
The pre-empted resources are allocated to other processes involved in deadlock to recover the system from the deadlock.
- 3. Ostzich Algorithm
→ Ignore the deadlock.

Threads

defn :- Light weight process.
↳ less context

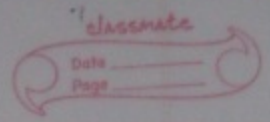


Single Threaded Process



Multi-threaded process

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Advantages Of Thread :-

1. **Responsiveness:-** The thread will improve the responsiveness. If one thread has completed the execution, the o/p will be responded early as compared to the completion of the process.
2. **Less Context Switching time:-** The thread has less context, ∴ less context switching time.
The context switching time will be less in threads compared to the process.
3. **Resource Sharing:-** The resources of the process will be shared among all the threads within the process.
4. **Effective utilization of multiprocessor systems:-** If multiprocessor system is used then diff threads of a process can be scheduled onto diff processors, so that the process execution will be faster.
5. **Implementing the threads is very economical.** These are various programming languages which supports implementing the thread. e.g. Java
6. **Enhanced throughput of the system:-** If the thread is considered as a job, then the no. of jobs completed/unit time increase, hence throughput of the system is enhanced.

Threads → User-level Threads
 → Kernel-level Threads

- The OS can't recognize User-level threads

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Date _____
Page _____

User level Threads	Kernel level Threads
1. The OS can't recognise user-level threads. The OS views user-level thread as a process only. (if one user level thread wants to perform I/O op ⁿ , then the entire process is moved into wait state)	1. These threads are created by the OS & recognised by the OS. (if one kernel level thread is performing blocking system call, then another thread will continue the execution)
2. These threads are dependent threads.	2. Kernel level are designed as independent threads.
3. Less context	3. More context.
4. Doesn't require h/w support.	4. They require h/w support.

William Stallings

Memory Management

Main Memory / Physical Mem / Primary Mem. / RAM

Functionality:- Allocation & Deallocation of memory to the processes.

Goal:- The efficient utilization of the memory by minimizing internal & external fragmentation

$$2^{10} \approx 10^3 \rightarrow 1 \text{ K}$$

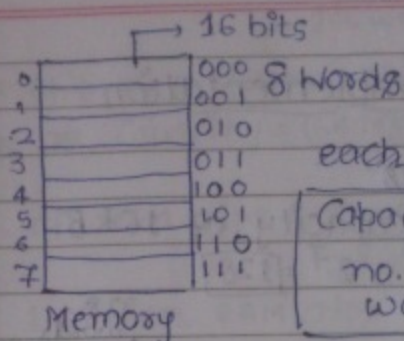
$$2^{20} \approx 10^6 \rightarrow 1 \text{ M}$$

$$2^{30} \approx 10^9 \rightarrow 1 \text{ G}$$

$$2^{40} \approx 10^{12} \rightarrow 1 \text{ T}$$

Memory $\begin{cases} \rightarrow \text{Byte Addressable} \\ \rightarrow \text{Word Addressable} \end{cases}$

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Capacity of memory = 8×16 bits
 $= \frac{8 \times 16}{8}$ bytes
 $= 16$ bytes

Capacity of memory =
 no. of words in memory \times
 word size

Q. Consider a system which has 128K words, where each word is having size of 64 bits, then what is the capacity of memory in Bytes?

Ans. Capacity = $128K \times 8$ Bytes
 $= 1024$ KBytes [$2^{10} \times 2^{10}$ Bytes]
 $= 1$ M Bytes [2^{20} Bytes]

$2^9 \times 2^{10} \times 2^4$

Q. Consider a system having 512 M words, each word is having size of 16 bytes

Ans. $2^9 \times 2^{20} \times 2^4$ Bytes
 $= 2^{30} \times 2^3$ Bytes $\rightarrow 1$ G Bytes
 $= 8$ G Bytes [8×2^{30} Bytes]

Q. Consider a system where 25 bits are used to represent the words of the mem, each word has the size of 32 bits

Ans. $= 2^{25} \times 4$ Bytes
 $= 2^{27}$ Bytes
 $= 2^7 \times 2^{20}$ Bytes
 $= 128$ M Bytes

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RAM chip implementation

RAM chip size = 128 Bytes

organize the mem. capacity of 16 KB

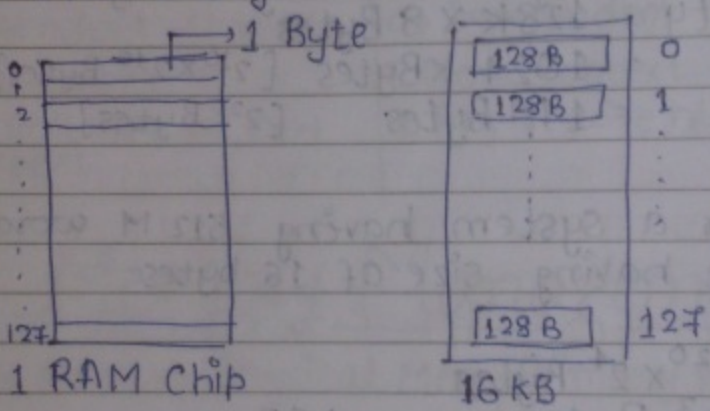
$$\frac{2^4 \times 2^{10}}{2^7 \times 2^3} = 2^7 = \boxed{128}$$

(a) How many RAM chips are req.

$$\frac{2^4 \times 2^{10} \text{ B}}{2^7 \text{ B}} = \boxed{128} \text{ RAM chips}$$

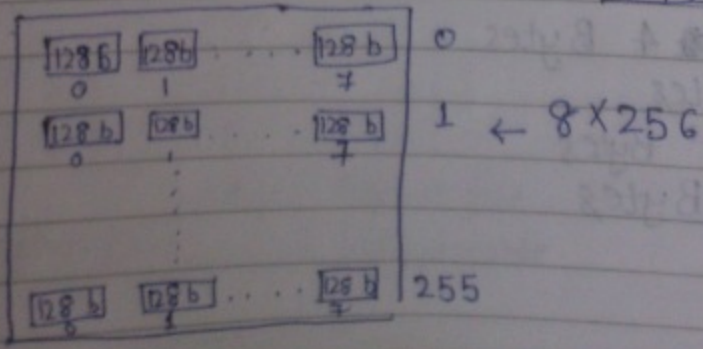
↑ 128 words each word of 1 Byte
 RAM chip size

(b) Draw Mem. org. map.



RAM chip size = $(128 \times 1 \text{ bit})$ → each word of 1 bit
 Mem. cap. = (32 KB) → each word of 1 byte

$$\frac{2^5 \times 2^{10} \times 2^3}{2^7} = \frac{2^{18}}{2^7} = 2^{11} = \boxed{2048} \text{ RAM chips}$$



Cosmos

0.06.12

Loading & Linking :-

Static dynamic

```

main()
{
  int x;
  x = add();
  ...
  f()
  ...
}

int add()
{
  return total;
  ...
}
  
```

linking

Static:- Loading the entire program into memory before the starting of execution.

- Static loading suffers from inefficient utilization of memory.
- The program execution will be faster.

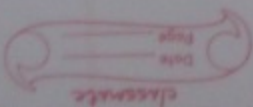
Linking :- Linking all modules/methods of the program.

- Linking is done during compilation.
- If static loading is used, accordingly, the static linking will be applied.

Dynamic:-

- Loading the program into memory on demand (whenever it is required).
- Efficient utilization of memory.
- Program execution will be slower.
- If the Dynamic loading is used acc., the dynamic linking will be applied.

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The majority of the OS uses dynamic loading & dynamic linking concept.

Address Binding :-

Association of program instⁿ & data to the actual physical mem. locations is called as address binding.

There are 3 types of address binding :-

① Compile time Address Binding :-

If the compiler takes the responsibility of associating instⁿ & data, then it is called as

compile time A.B.

This type of A.B. is done before loading the prog. into memory.

The compiler needs to interact with OS memory

manager to perform compile time A.B.

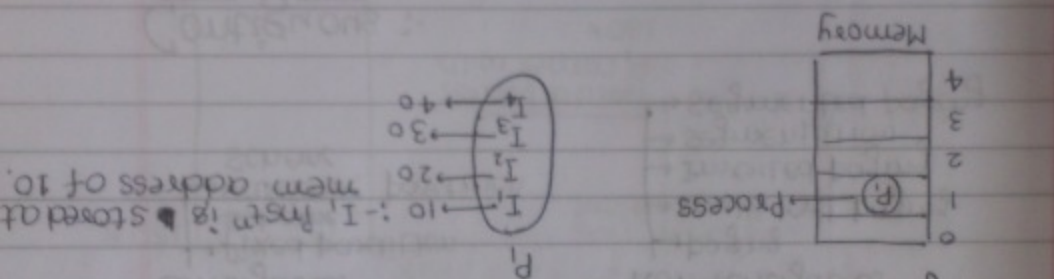
② Load Time A.B. :-

It is done after loading the program into main memory.

This type of A.B. will be done by the OS memory manager (loader).

③ Run time A.B. :-

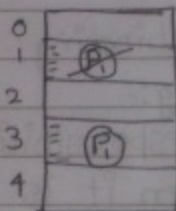
The A.B. is postponed till even after loading the prog. in the main mem.



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- This is done at the time of execⁿ.
- The A.B. is done by processor (or CPU).
- Majority of the OS follows Run time or Dynamic A.B.

for run time



when the program is moved from block 1 to block 3 during run time due to paging, etc., the corresponding to block 1 we have diff. binded addresses of the instⁿ than from block 3, ∴ A.B. is done during run time.

Memory Management Techniques:-

Contiguous

- fixed partition Scheme
- variable partition Scheme

Non-Contiguous

- paging
- multi-level paging
- Inverted paging
- segmentation
- segmented paging

Contiguous :-

Fixed partition Scheme :-

- Memory is divided into fixed no. of partitions.

0	100K
1	70K
2	150K
3	200K
4	300K
5	30K
6	50K
7	220K

- No. of partitions are fixed, but not their size.

eg. we have 8 partitions here, which are fixed.

- In every partition, we can only store 1 process,
- no. of processes are restricted by no. of partitions in the memory. (or degree of multiprog. is restricted by no. of partitions in mem)

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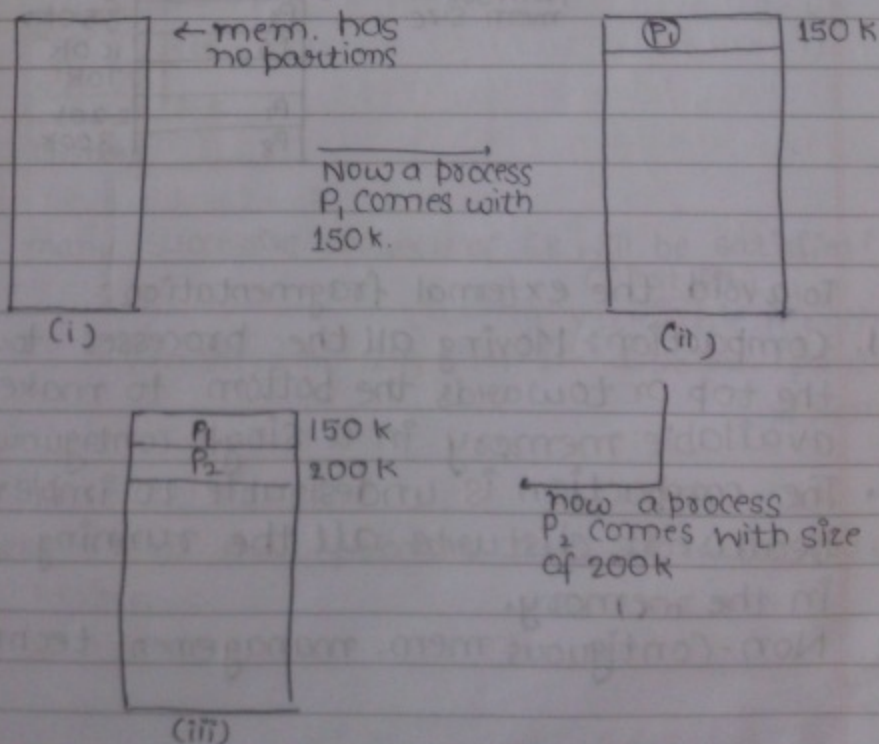
Page _____

- The max. size of the process is restricted by max. size of the partition.
e.g. we can't place 350k process in our example memory.
- If we accommodate 20k process in partition of 30k, then 10k is wasted. This wastage of memory is called internal fragmentation.
- Every partition is associated with limit registers, so lower limit contains starting address of the partition & upper limit contains ending address of partition.

Variable Partition Scheme

- In variable partition scheme, initially the memory will be full contiguous free block.

So, initially:-



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P ₁	150k
P ₂	200k
P ₃	50k
P ₄	350k
P ₅	100k
P ₆	70k
P ₇	220k
P ₈	300k

(iv)

Now P₃ has completed the execⁿ, as well as P₆

P ₁	150k
P ₂	200k
	50k
P ₄	350k
P ₅	100k
	70k
P ₇	220k
P ₈	300k

(v) 120k available

but this process P₉ won't be allocated because we don't have 100k contiguous memory, this is called external fragmentation.

Now, if a process P₉ comes with size of 100k [less than available free memory]

Now a process P₁₀ comes with size of 40k

divide 40k ← [from 50k mem. size]
10k ← []

P ₁	
P ₂	
P ₁₀	2 → 50k
P ₄	350k
P ₅	100k
	70k
P ₇	220k
P ₈	300k

To avoid the external fragmentation:-

1. Compaction: Moving all the processes towards the top or towards the bottom to make free available memory in a single contiguous block. The compaction is undesirable to implement because it disturbs all the running processes in the memory.
2. Non-Contiguous mem. management techniques.

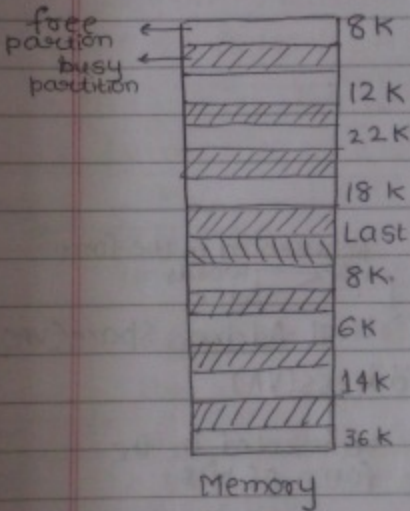
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Date _____
Page _____

Partition Allocation Techniques:-

- If more than one partition is freely available to accommodate the process, then the decision making will be done with the help of partition allocation techniques.
 - First Fit** :- Allocate the process in a partition which is 1st sufficient partition from the top.
 - Best Fit** :- Allocate the process in a partition which gives least internal fragmentation.
 - Worst Fit** :- Allocate the process in a partition which gives most internal fragmentation.
 - Next Fit** :- It also works like 1st fit, but it will search from last allocation point.



(1) P, comes with 16K.

First Fit :- in ~~12K~~ 22K

Best Fit :- in 18K

Worst Fit :- in 36K

Next Fit :- in 36K

(standing from last allocation pt., the First fit for 16K is 36K)

Q. How many successive requests of 6K will be satisfied? (First fit)

(a) 17

(b) 18

(c) 19

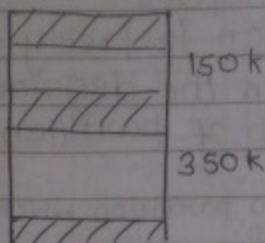
(d) 20

[Using variable length partitioning scheme]

1 in 8K, 2 in 12K, 3 in 22K & so on.

Q. Request from the processes are 300k, 25k, 125k, 50k respec.

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The above request could be satisfied with? (Variable partition scheme)

Best fit:-

Ans.:- First Fit but not Best Fit

Non-Contiguous:-

Paging:-

1. Logical Address Space (LAS) or Virtual Address Space (VAS)
2. Logical Address (LA) or Virtual Address (VA)
3. Physical Address Space (PAS)
4. Physical Address (PA)

Represented in the form of words or bytes

represented in the form of bits.

represented in the form of words or bytes

e.g. • LA = 39 bits
LAS = 2^{39} words = 512 G words (if it is word addressable)

• LAS = 256 M words = $2^8 \times 2^{20}$ words = 2^{28} words
• LA = 28 bits

• RA = 26 bits
PAS = 64 M words

• PAS = 128 k words = 2^{17} words
• PA = 17 bits

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Date _____
Page _____

- ★ Actual process is available in Physical Address Space
- ★ CPU generates Logical Address.
- ★ CPU can't directly access the process with the logical address.
- ★ Mapping Logical address to physical address is called paging technique.

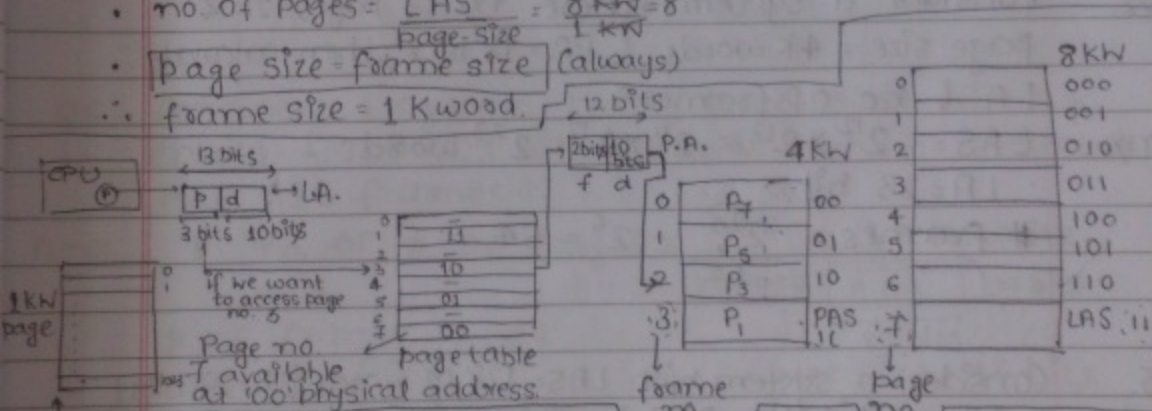
Let L.A. = 13 bits LAS = 8K words } assuming word addressable memory
& P.A. = 12 bits PAS = 4K words

• page size = 1K words (assume)

• no. of pages = $\frac{\text{LAS}}{\text{Page-size}} = \frac{8\text{Kw}}{1\text{Kw}} = 8$

Page size = frame size (always)

∴ frame size = 1K word.



- The LAS is divided into equal size pages.
- The PAS is divided into equal size frames.

Some of the pages from LAS is brought into PAS.

- ★ No. of entries in a page table = no. of pages in the LAS
 - The page table entry contains the frame no.
 - ★ p = no. of bits req. to represent the pages of LAS or page no.
 - ★ d :- to represent particular words in a page or no. of bits req. to represent the page size of LAS or word no. of the page or page offset.
 - ★ f :- no. of bits req. to represent the frames of PAS or frame no.
- so, f will tell the frame no. & d is the frame offset in that particular frame.

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Q1. Consider a system with LA = 27 bits & Physical Address = 21 bits & page size = 4K words, calculate no. of pages & no. of frames.

Ans. # pages = $\frac{2^{27} \text{ words}}{2^{12} \text{ words (in 1 page)}} = 2^{15} = 32 \text{ K}$

frames = $\frac{2^{21} \text{ words}}{2^{12} \text{ words (in 1 frame)}} = 2^9 = 512$

Q2. Consider a system where no. of pages = 2K & page size = 4K words & PA = 18 bits, then calculate LA & no. of frames?

Ans. LAS = $2^{12} \times 2^{13} = 2^{11} \times 2^{12} = 2^{23} \text{ words}$

∴ LA = 23 bits

frames = $\frac{2^{18}}{2^{12}} = 2^6 = 64$

Q3. Consider a system with LAS = 128M words [LA = 27 bits & PA = 24 bits], the PAS is divided into 8K frames. What is the page size & how many pages in the LAS?

Ans. page size = $\frac{8 \times 2^{10}}{2^{13}} = \frac{2^{24}}{2^{13}} = 2^{11} = 2 \text{ K words}$
(or frame size) $2^{13} \rightarrow \text{no. of frames}$

pages = $\frac{2^{27}}{2^{11}} = 2^{16} = 64 \text{ K}$

Q4. Consider a system with LA = 32 bits & PAS = 64MB (PA = 26), page size = 4KB, the mem. is byte addressable, the page table entry size is 2 bytes, what is the approx. page table size in bytes

(a) 1 MB (c) 4 MB

(b) 2 MB (d) 8 MB

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Date _____

Page _____

Ans. page table entry size = 16 bits

\therefore # of pages = ~~2³⁶~~

LA = 32 bits

LAS = 2³² Bytes

of frames =

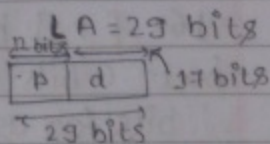
$$\# \text{ of pages} = \frac{2^{32}}{2^{12}} = 2^{20} = 1 \text{ M}$$

\therefore page table size = 2B x 1 M = 2 MB

no. of occupied page table entries = no. of frames (max.)

25. Consider a system having page table with 4K entries & LA = 29 bits, what is the PA if system has 512 frames?

Ans. # of pages = 2¹² (4K pages)

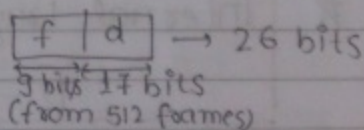


of frames = 512

$$PA = \frac{PAS}{\# \text{ of frames}}$$

$$\therefore PA = 26 \text{ bits}$$

\therefore page size = 17 bits



26. Consider a system with LAS = 256 MB [LA = 28 bits] & PA = 22 bits, the PAS is divided into 8KB frames (page size). The mem. is byte addressable, what is the # of pages?

$$\# \text{ of pages} = \frac{2^{28}}{2^{13}} = 2^{15} = 32 \text{ K}$$

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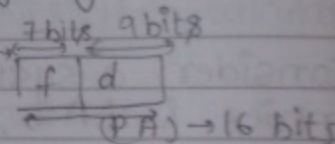
- Q. Consider a system with $LAS = PAS = 2^{16}$ Bytes.
 The page size = 512 Bytes. The page table entry size is 2 Bytes. The mem. is byte addressable.
 The page table entry contains besides other info
 1 bit \rightarrow valid/invalid
 1 bit \rightarrow reference
 3 bits \rightarrow page protection
 1 bit \rightarrow dirty bit

How many bits are still available in the page table entry to store ageing info.

Ans.

$PA = LA = 16$ bits.

of frames = $\frac{2^{16}}{2^9} = 2^7$



\therefore 7 bits are req. for frameno.

$16 - 7 = 9$ bits

but 6 bits for above info.

\therefore bits for ageing = $9 - 6 = 3$ bits.

★ Internal Fragmentation = $\frac{P}{2}$
 P :- page size

- Q. Consider a system with $LAS = PAS = S$ bytes.
 The page size = p bytes, page table entry size = e bytes. What is the optimal value of page size by minimizing the memory overhead of page table size + internal fragmentation in the paging.

(a) $P = \sqrt{2Se^2}$

(b) $P = \sqrt{2(Se)^2}$

(c) $P = \sqrt{2Se}$

(d) $P = \sqrt{2S^2e}$

★ All the page table of the processes are placed in the main memory.

classmate
Date _____
Page _____

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Ans LAS = PAS = S bytes

of pages =

of frames = $\frac{S}{p}$ = # of pages

page table entry size = e bytes

So, the total size of page table = $\frac{S}{p} \cdot e$ bytes.

memory overhead = page table size + Internal frag.
= $\frac{S \cdot e}{p} + \frac{p}{2}$

$$\frac{d}{dp} \left(\frac{S \cdot e}{p} + \frac{p}{2} \right) = 0$$

$$S \cdot e \cdot \log p + \frac{1}{2} = 0 \quad S e \left(-\frac{1}{p^2} \right) + \frac{1}{2} = 0$$

$$S e \log p = -\frac{1}{2} \quad 2 S e = p^2 \Rightarrow p = \sqrt{2 S e}$$

★ Main mem. access time = 'm'

[If page tables are kept in main mem.]

Effective memory Access time = m + m = 2m
↑ to access page table ↑ to access the page

If TLB is added to improve the performance The TLB contains the frequently referenced page nos. & corresponding frame nos., the TLB is implemented with the help of associative register

TLB access time = 'c'

TLB hit ratio = 'x'

∴ Effective mem. access time =

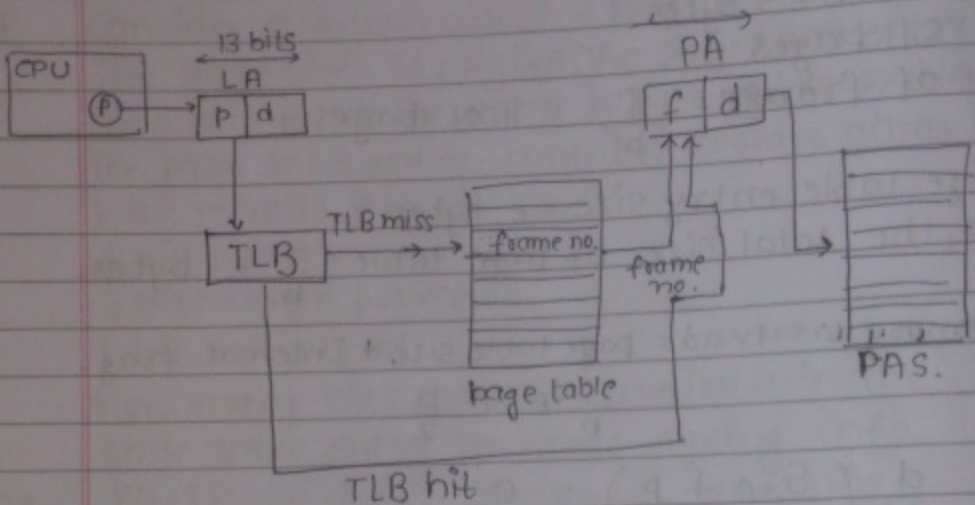
$$[x(c + m)] + [(1-x)(c + m + m)]$$

TLB access when hit PAS access
TLB access when miss TLB access page table access

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Date
Page



Q. Consider a system with main mem. access time 100 ns & TLB access time = 20 ns & TLB hit ratio = 95%, what is effec. mem. access time with & w/o TLB.

Ans. W/o TLB = $2 \times m = 200 \text{ ns}$
 with TLB = $0.95 \times (20 + 100) + 0.05 \times (20 + 100 + 100)$
 $= 0.95 \times 120 + 0.05 \times 220$
 $= 114 + 11$
 $= 125 \text{ ns.}$

Q. What hit ratio is req. to reduce effec. mem. access time from 300 ns (w/o TLB) to 250 ns (with TLB), the TLB access time = 60 ns

Ans. $2m = 300 \text{ ns}$
 $m = 150 \text{ ns}$
 $250 = x \cdot (210) + (1-x) [360]$
 $250 = 210x + 360 - 360x$
 $110 = 150x$
 $x = \frac{110}{150} = 0.73 \text{ or } 73\%$

★ Every process has its own page table. To avoid the overhead of maintaining the large page tables, the multilevel paging will be implemented.

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Date _____
Page _____

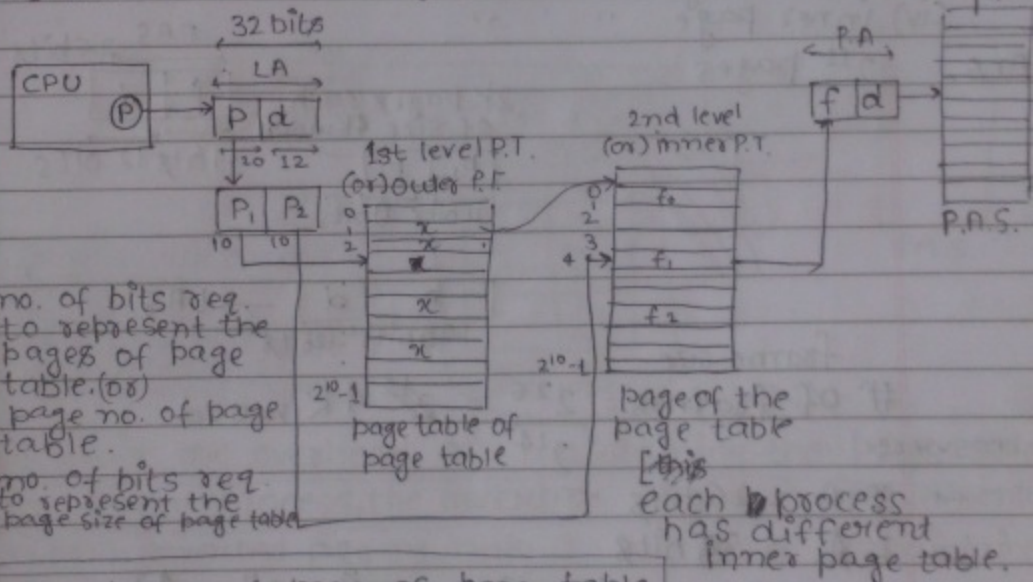
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Multilevel Paging

Q. Consider a system with $LA = 32$ bits & page size = 4K words. The page table entry size = 4B, what is the page table size?

Ans # of pages = $\frac{2^{32}}{2^{12}} = 2^{20} = 1M$

$1M \times 4B = 4MB$:- page table size



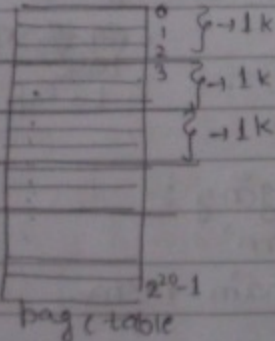
p_1 :- no. of bits req. to represent the pages of page table. (or) page no. of page table.

p_2 :- no. of bits req. to represent the page size of page table.

each process has different inner page table.

x :- address of page of page table
page size of page table 4KW

single level paging :-



We are dividing the page table with page size of 1k

∴ # of pages in page table = $\frac{2^{20}}{2^{10}} = 2^{10}$ pages in 2^{10} page table.

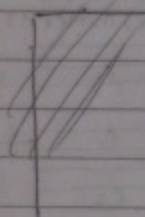
table = $\frac{2^{20}}{2^{10}} = 2^{10}$ pages in 2^{10} page table.

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Q. Consider a system with 2 level paging applicable the page table is divided into 2K pages each of size 4K words, if PAS is 64M words which is divided into 16K frames. The page table entry size is 4B, then calculate

- (i) length of L.A.
- (ii) length of P.A.
- (iii) outer page table size
- (iv) inner page " "

Ans. 2^{11} pages



frame size

of frames = $\frac{2^{26}}{2^{14}} = 2^{12}$ 4K word

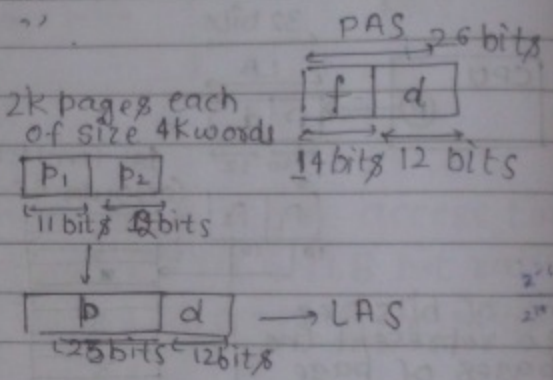
My answer:-

→ P.A. = 26 bits ✓

→ L.A. = 35 bits

→ outer page table size = $2^{12} \times 2^{11} = 2^{23}$ 8K words

→ inner page table size = $2^{12} \times 2^2 = 2^{14}$ 16K B



★ Performance of 2-level paging :-
Main mem. access time = 'm'
If the P.T.s are kept in main mem.
Effec. main mem. = 3M

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Date _____

Page _____

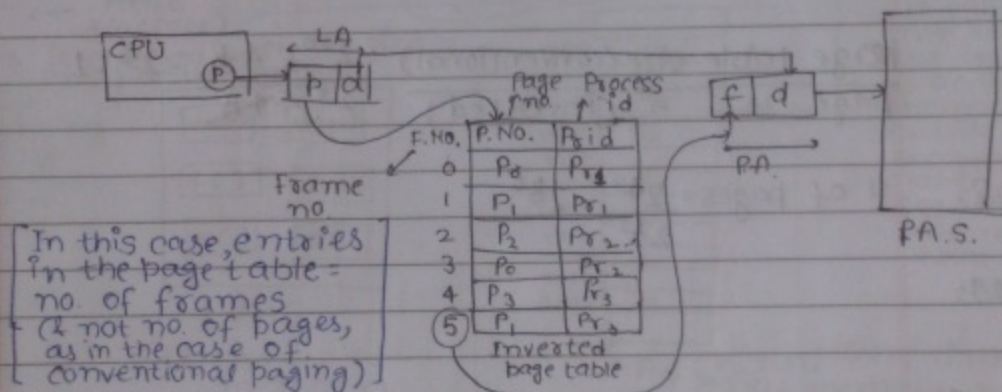
If TLB is added,
the performance:-

TLB access time = 'c'

TLB hit ratio = 'x'

$$\therefore E_{MAT} = x(c+m) + (1-x)(c+3m)$$

Inverted Paging



★ To avoid the overhead of maintaining the page table for every process, the inverted paging is implemented. In the inverted paging, only 1 page table is maintained for all the processes.

★ No. of entries in the page table is same as the no. of frames in the P.A.S.

Q Consider a system with LA = 34 bits & P.A = 29 bits & page size is 16 KB. The page table entry size = 8B. Calculate the page table size in conventional paging & inverted paging.

of pages = $\frac{2^{34}}{2^{14}} = 2^{20}$ Page table size = $8B \times 1M = 8MB$ (in conventional)

of frames = $\frac{2^{29}}{2^{14}} = 2^{15}$ Page table size = $32K \times 8B = 256K$ (in inverted)

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Date _____
Page _____

Page 53
Q 31

L.A. = 32 bits
Page size = 4 KB
P.A.S = 128 KB

conventional pagetable:-

$$\# \text{ of pages} = \frac{2^{32}}{2^{12}} = 2^{20}$$

invested

$$\# \text{ of frames} = \frac{2^{17}}{2^{12}} = 2^5$$

$$\frac{\text{page table size (conventional)}}{\text{page " " (invested)}} = \frac{2^{20} \times 4B}{2^5 \times 4B} = 2^{15} : 1$$

Q 28. $\# \text{ of pages} = \frac{2^{48}}{2^{12}} = 2^{36}$

Q 29.

Page 51
Q 5.

Page size = 4 KB
LA = 32 bit
PA = 30 bit

$$\# \text{ of pages} = 2^{20}$$

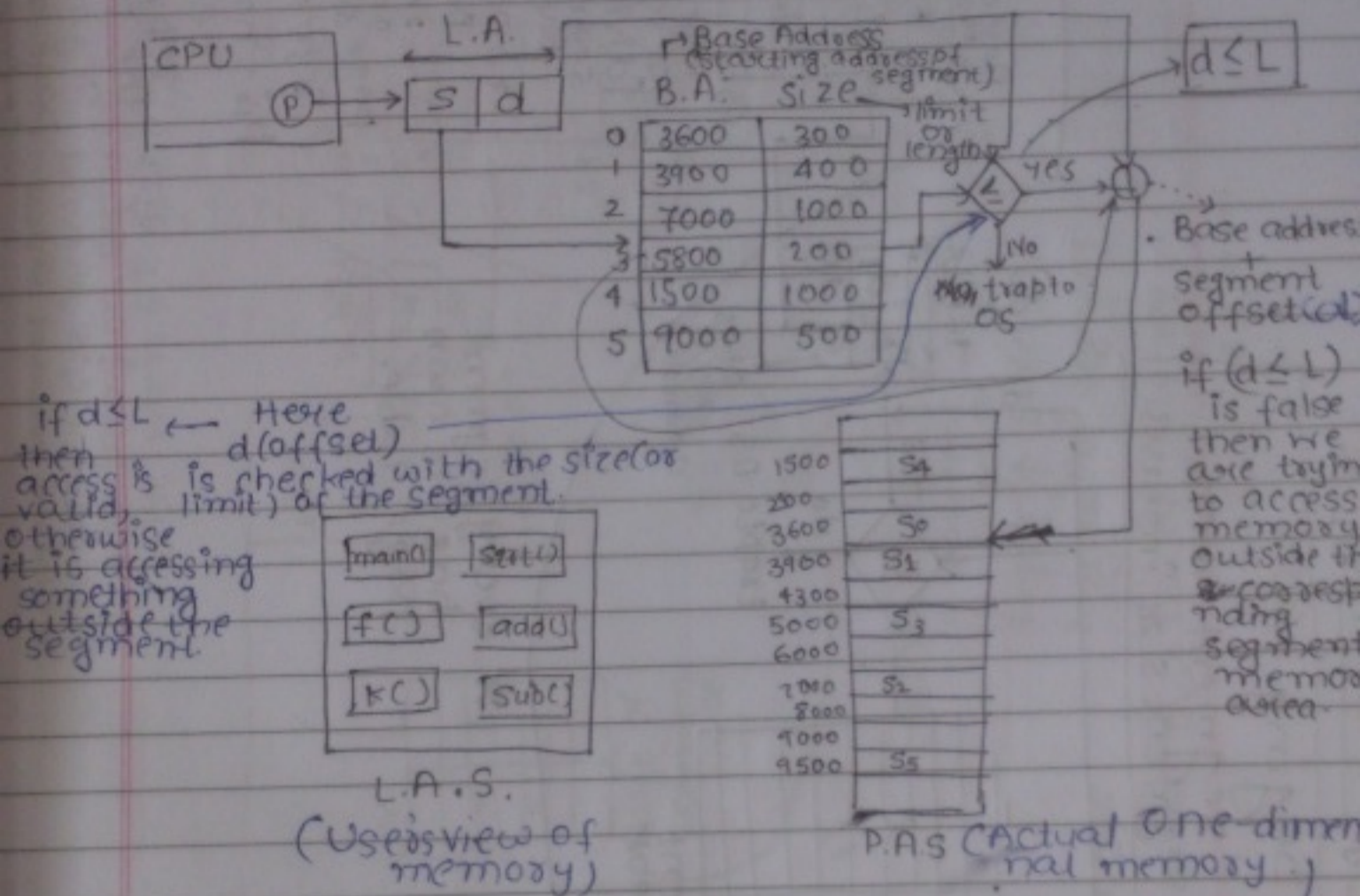
$$\# \text{ of frames} = \frac{2^{30}}{2^{12}} = 2^{18}$$

$$\begin{aligned} \therefore \text{page table size (invested)} &= 2^{18} \times (18 + 12) \text{ bits} \\ &= 2^{18} \times (30) \text{ bits} \\ &= 2^{23} \text{ bits} \\ &= 2^{20} \text{ B} \end{aligned}$$

bits for no. of pages frames
(= no. of pages in invested page table)

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Segmentation



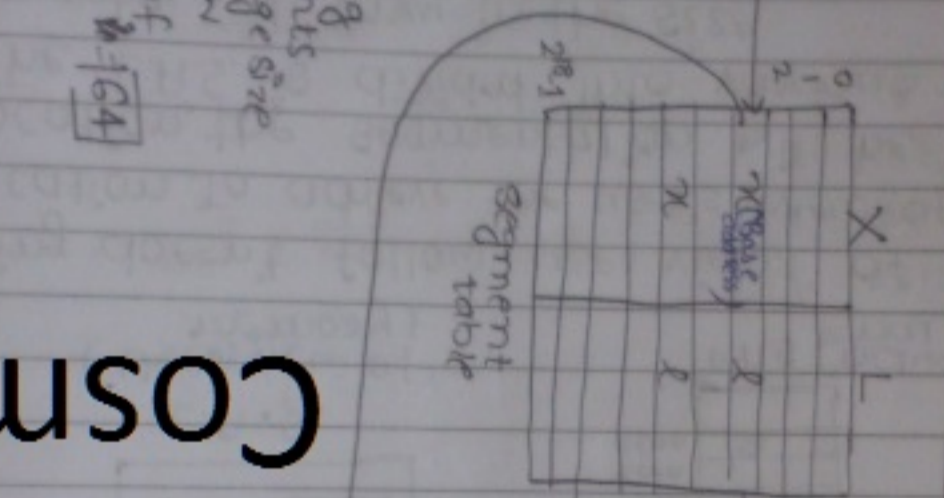
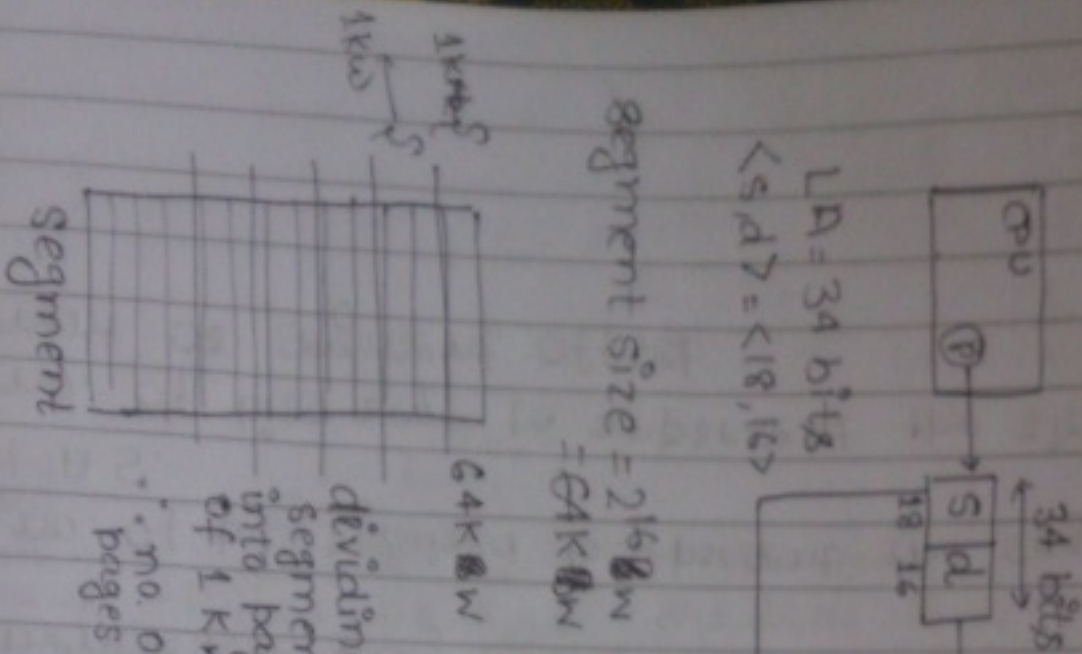
★ Paging doesn't follow user's view of memory allocation. To achieve the user's view of memory allocation, the segmentation will be implemented. The L.A.S. is divided into various segments, the segments will vary in the size.

s:- no. of bits required to represent the segments of L.A.S.

d:- no. of bits req. to represent the size of segment or segment offset.

Segmented Paging

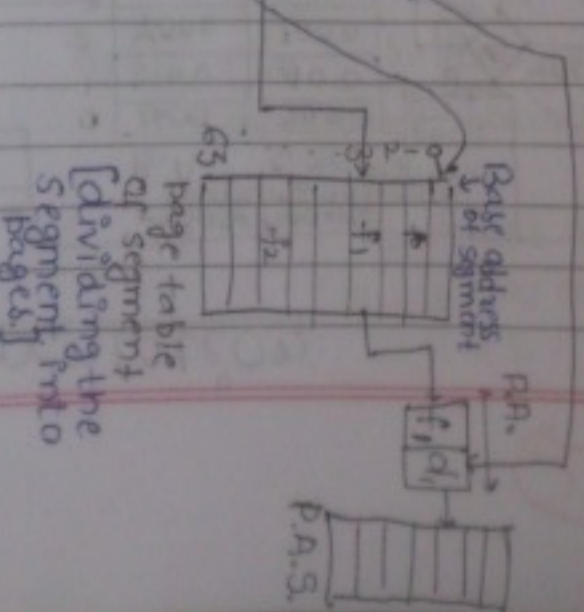
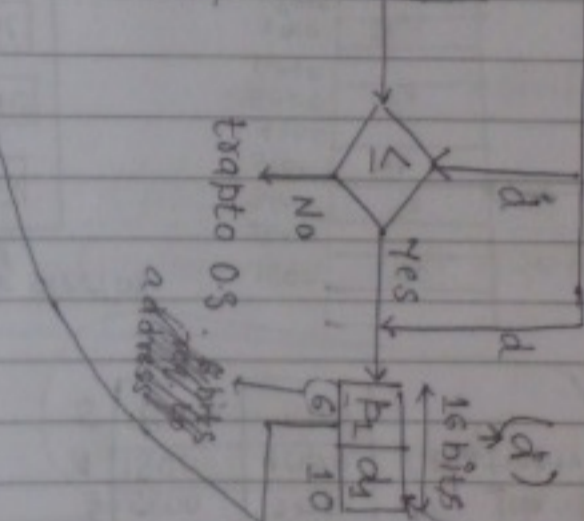
Paging on segment when segment size increases.



dividing segments into page size of 1 KB
 no. of pages = $\lceil \frac{64}{1} \rceil = 64$

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* every segment has its own page table.



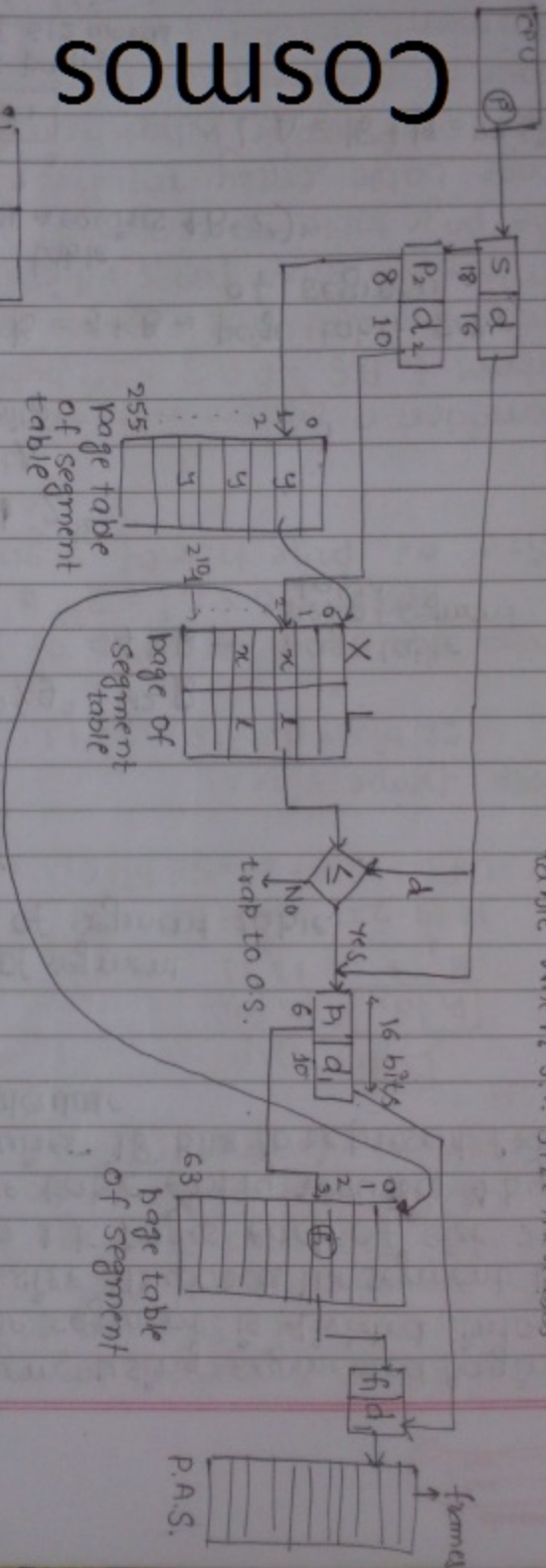
r - address of page table of respective segment
 p_i - no. of bits req. to represent the pages of segment
 Segment = 1 KB
 discrete

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y: address of page of segmented table
page size of segment table = 1Kw

Paging on Segment action

paging of segment when segment size increases & also paging on segment table when S.T. size increases

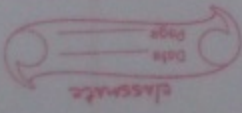


1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	
30	
31	
32	

page size of segment table = 1Kw
no. of pages of segment table = $\frac{2^{18}}{2^{10}} = 2^8 = 256$

P_2 : no. of bits req. to represent the pages of segment table
 d_1 : no. of bits req. to represent the page size of segment table

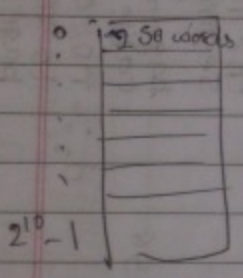
x : address of page table of respective segment
page size of segment = 1Kw



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Q. Consider a system using segmented paging architecture. The segment is divided into 1K pages each of size 512 words. The segment table is divided into 1K pages each of size 256 words. The page table entry requires 4 bytes, & frame no. requires 18 bits to represent frames of P.A.S., then calculate

- (i) length of L.A.
- (ii) length of P.A.
- (iii) page table size of segment
- (iv) page table size of segment table.



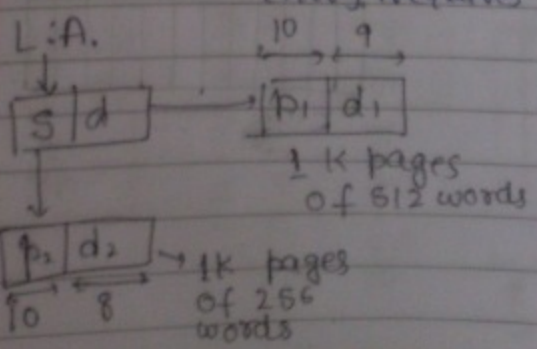
$$2^{10} \times 2^2 = 2^{12} \text{ B}$$

$$= 4 \text{ KB} \rightarrow \text{page table size of segment table}$$

- # of frames = 2^{18}
18 + 9 = 27 bits
- \therefore P.A. = 27 bits

$$2^{10} \times 2^2 = 2^{12} \text{ B} = 4 \text{ KB} \rightarrow \text{page table size of segment.}$$

(as page table entry requires $4 \text{ B} = 2^2$)

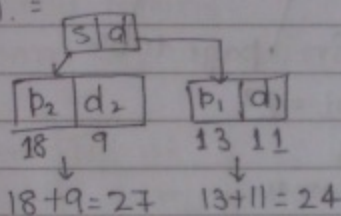


$$\therefore \text{L.A.} = 18 + 19 = 37 \text{ bits}$$

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Q. Consider a segmented paging arch. Where segment is divided into 8K pages each of size 2K words. The segment table is divided into 256 K pages each of size 512 words. The page table entry requires 64 bits of size & frame no. requires 22 bits to represent frames of P.A.S., then calculate

Ans. L.A. =



$$\therefore \text{L.A.} = 27 + 24 = 51 \text{ bits}$$

• ~~P.A.S.~~ frame size =

$$22 \text{ bits} + d, \text{ bits} = 22 + 11 = 33 \text{ bits}$$

now, size of page table of segment table =

$$8 \text{ K} \times 2^3 \text{ B} \times 2^{18} = 2^{21} \text{ B} = 2 \text{ MB}$$

$$\text{size of page table of segment} = 2^3 \text{ B} \times 2^{13} = 2^{16} \text{ B} = 64 \text{ KB}$$

Q. Consider a system with segmented paging arch. Where L.A.S. = P.A.S. = 2^{16} bytes, the L.A.S. is divided into 8 equal size segments, the segment is divided into equal size pages which are of powers of 2. The page tables are stored in the main mem. & page table entry requires 2B. The memory is byte addressable. What must be the size of the page of segment in bytes, so that page table of segment exactly fits in 1 page frame.

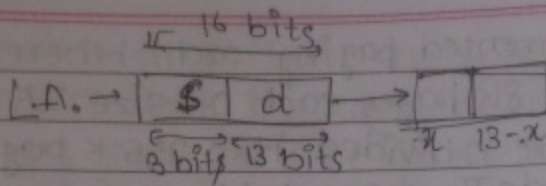
Ans. frame size = $\frac{2^{16}}{2^3} = 2^{13}$ bytes

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Date _____

Page _____



$$\frac{2^{16}}{2^3} = 2^{13} \text{ Bytes}$$

1 segment is divided in page size which is power of 2

$$\therefore 2^{13} \text{ B} = n \times 2^x \text{ B}$$

$$n = 2^{12} \text{ pages in page table}$$

Let page size of segment = 2^x

$$\text{frame size} = \frac{2^{16} \text{ Bytes}}{\text{no. of frames}}$$

page size of segment = frame size of P.A.S.

$$\therefore 2^x = 2^x$$

page table size of segment = page size of segment

$$\left(\frac{2^{13}}{2^x}\right) \times 2^x \text{ B} = 2^x$$

no. of entries in page table

$$2^{14} \text{ B} = 2^{2x} \text{ B}$$

$$\therefore x = 7$$

each entry of 2B each

$$\therefore 2^7 = 128 \text{ B}$$

Date

Cosmos

classmate

Date

Page

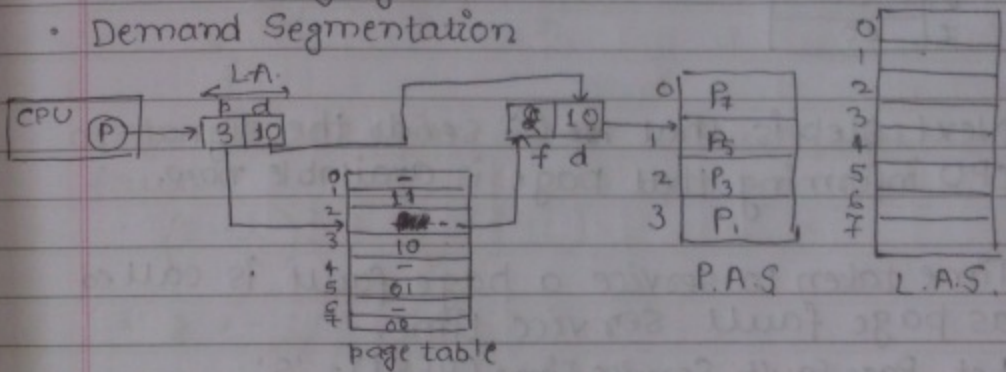
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Virtual Memory

Defⁿ:- Virtual Memory gives an illusion to the programmer that programs of larger size than actual physical memory can be executed.

It is implemented using:-

- Demand Paging
- Demand Segmentation



★ If we allocate all the pages of one process into physical mem., then less no. of processes can be allocated to RAM, hence decreasing the degree of multiprogramming.

Page fault:- The processor is trying to access the page which is not currently available in the main memory.

- When page fault occurs, then signal is sent to signalling processor requires a page currently present in the phys. P.A.S.
- Now, OS validates the ~~press~~ request of the process.
- Then, OS searches for the required page in L.A.S.
- When OS found the req. page, it brings the req. page from L.A.S. to P.A.S.
- Let suppose, processor req. P₄ page not currently in P.A.S., brings P₄ in place of P₃ (assumption) & changes the P.A.S. (we use page replacement algorithm for this).
- Now req. page is in P.A.S., we now update the page table.

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Date _____

Page _____

table.

New page table:-

0	-
1	11
2	-
3	10
4	010
5	01
6	-
7	00

Next step is that OS sends the signal to CPU informing that page is available now.

* Time taken to service a page fault is called as page fault service time.

Let Page Fault Service Time (PFST) = 'S'

P & Main mem. access Time (MMAT) = 'M'

& Page Fault Rate = 'P'

Effective memory access time =

$$(1-P)(2M) + P(M+S)$$

$$(1-P) \times M + P \times S$$

Only one M & not 2M, because we ignore the page table access time.

actually (S+3M)

M + M + M
 ↓ ↓ ↓
 page table access time main mem. access time page table access time (2nd time)

when these access time (1st time) hit ratio

Q. Consider a system with page fault rate of 99% & PFST is 10msec. & main mem. access time is 1msec, what is effec mem: access time

Ans. EMAT = $0.99 \times 1 + (0.01) \times 10$
 $= 0.99 + 0.1 = 1.09 \text{ msec.}$

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Date

Page

Q. Suppose if an instⁿ takes 'i' usec. & additional 'j' usec. if page fault occurs. If a page fault occurs on an avg. for every kth instⁿ, then what is the effec. instⁿ access time?

Ans.

$$\begin{aligned} & \left(\frac{1-k}{n} \right) i + \frac{k}{n} (j+i) \\ &= \frac{1-k}{n} i + \frac{k}{n} j + \frac{k}{n} i \\ &= \frac{1}{n} + \end{aligned}$$

Page fault \rightarrow for every k instⁿ, there is a page fault.

$$\begin{aligned} \therefore & \left(1 - \frac{1}{k} \right) i + \frac{1}{k} (j+i) \\ &= i - \frac{i}{k} + \frac{j}{k} + \frac{i}{k} \\ &= i + \frac{j}{k} \end{aligned}$$

Q. Let the page fault service time be 10msec & mem. access time is 20ns. If 1 page fault is generated for every 10^6 mem. accesses, what is the effec. access time of mem.

Ans.

$$\begin{aligned} & (1 - 10^{-6}) \times 20 \text{ ns} + 10^{-6} \times 10 \times 10^6 \\ &= 20 \text{ ns} + 10 \text{ ns} \\ &= \boxed{30 \text{ ns}} \end{aligned}$$

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Date _____

Page _____

Q. Consider a demand page env. where it takes 8 msec to service a page fault, if either an empty frame is available or replaced page is not to be modified & it takes 20 msec. if replaced page is modified. Assuming main mem. access time = 1 msec. & further assume the page to be replaced is modified 70% of the time, what is the max. acceptable page fault rate to get effec. mem. access time not more than 2 msec.

Ans. $0.7 \times p \times 20 + 0.3 \times p \times 8 + (1-p) \times 1 < 2$
 $14p + 2.4p + 1 - p < 2$
 $15.4p < 1$
 $p < \frac{1}{15.4}$ (when main mem. access time is neglected when page fault occurs)
 $p < 0.06493$

or

$0.7 \times p \times 21 + 0.3 \times p \times 9 + (1-p) \times 1 < 2$
 $14.7p + 2.7p + 1 - p < 2$ (when main mem. access time is not neglected when page fault occurs)
 $16.4p < 1$
 $p < 0.06097$

★ Page modified means:-
when page is modified in P.A.S. & not in L.A.S., then the page is first saved in L.A.S. & then replaced.

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Date _____

Page _____

Q. Consider a system where page fault service time = 200 msec. & main mem. access time = 10 msec., the TLB is added to improve the performance & 80% references are available in TLB & that of remaining 10% causes page faults, the TLB access time is negligible, what is the effec. memory, access time?

Ans.
$$\begin{aligned} & \xrightarrow{\text{TLB hit}} 0.8 \times 10 + \cancel{0.2 \times} \xrightarrow{\text{TLB Miss}} 0.2 \times (10(0.9) + 0.1 \times 200) \\ & = 8 + 0.2(9 + 20) \quad \text{out of the} \\ & = 8 + 0.2(29) \quad \text{20\% TLB Miss,} \\ & = 8 + 5.8 \quad \text{10\% is causing} \\ & = \cancel{13.8} \quad \text{page fault.} \end{aligned}$$

$$\begin{aligned} & \cancel{0.8 \times 10} + \cancel{0.2} (\cancel{0.1 \times 10} + \cancel{0.1 \times 200}) \\ & = \cancel{8} + \cancel{0.2} (\cancel{1} + \cancel{20}) \\ & = \cancel{8} + \cancel{4.2} \\ & = \cancel{12.2} \end{aligned}$$

Page Replacement Algorithm :-

Reference String :-

7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 2, 1, 2, 0, 1, 7, 0, 1

↳ page numbers
referenced by
the process

Assumption :- The frames allocated to the process = 4.

*:- page fault occurs when: #

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FIFO (First In First Out)

7	0	1	2	0	3	0	4	2	3	0	3	2	1	2	0	1	7	0	1
			2	2	2	2	2	2	2	2	2	2	1	1	1	1	1	1	1
		1	1	1	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	4	4	4	4	4	4	4	4	4	4	4	7	7	7
7	7	7	7	7	3	3	3	3	3	3	3	3	2	2	2	2	2	2	2
*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*

max # of frames = 3

7	0	1	2	0	3	0	4	2	3	0	3	2	1	2	0	1	7	0	1
		1	1	1	0	0	0	3	3	3	3	2	2	2	2	2	1	1	1
	0	0	0	0	3	3	3	2	2	2	2	1	1	1	1	0	0	0	0
7	7	7	2	2	2	2	4	4	4	0	0	0	0	0	0	0	7	7	7
*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*

Now, reference string:-

1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

of frames = 3

1	2	3	4	1	2	5	1	2	3	4	5
		3	3	3	2	2	2	2	4	4	
	2	2	2	1	1	1	1	3	3	3	
1	1	1	4	4	4	5	5	5	5	5	
*	*	*	*	*	*	*	*	*	*	*	*

of frames = 4

1	2	3	4	1	2	5	1	2	3	4	5
		4	4	4	4	4	3	3	3		
	3	3	3	3	3	3	2	2	2	2	
2	2	2	2	2	2	1	1	1	1	5	
1	1	1	1	1	1	5	5	5	5	4	4
*	*	*	*	*	*	*	*	*	*	*	*

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Date _____

Page _____

⚡ Belady's Anamoly:-

If the no. of frames allocated to the process increases the page fault increases.

Optimal Page Replacement:-

In the event of page fault, replace the page which is not used for longest duration of time in future.

of frames = 4.

	7	0	1	2	0	3	0	4	2	3	0	3	2	1	2	0	1	7	0	1
4th				2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2
3rd			1	1	1	1	4	4	4	4	4	4	4	4	4	4	7	7	7	7
2nd		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1st	7	7	7	7	7	3	3	3	3	3	3	3	3	2	1	1	1	1	1	1
↑	*	*	*	*		*							*				*			
position																				

7 is used not used for longest duration of time in future from 7, 0, 1, 2

here both 3 & 4 not used in future, now from 3 & 4, 3 comes

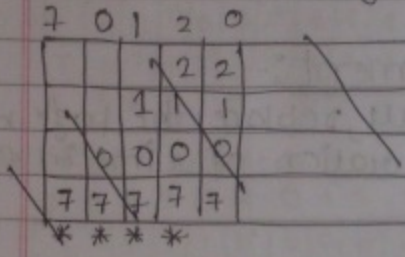
1st in FIFO because last replaced is from 3rd position, ∴ 3 → at 1st pos & 4 → at 3rd pos, we have to swap from 4th pos. (1 plus from last replaced pos.)

Same reason as of this

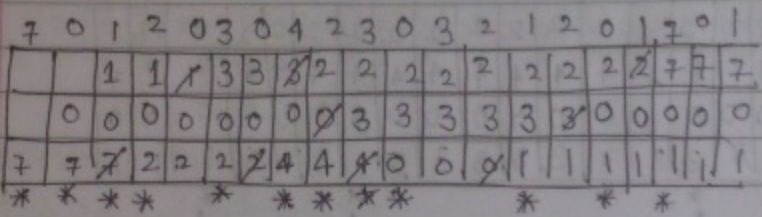
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Least Recently Used:

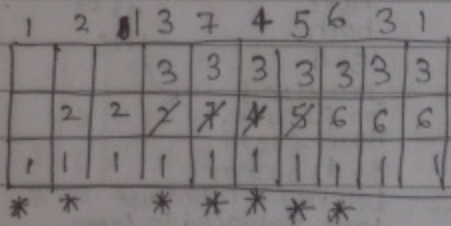
In the event of page fault, replace the page which is ~~the~~ least recently used.



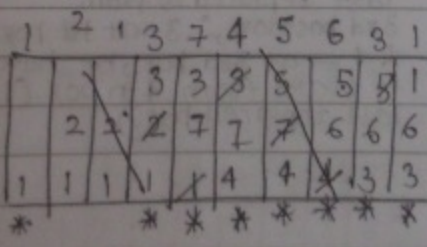
of frames = 3



Page 59
Q29.



Q30.



Cosmos

page 33

Most Recently Used (MRU):-

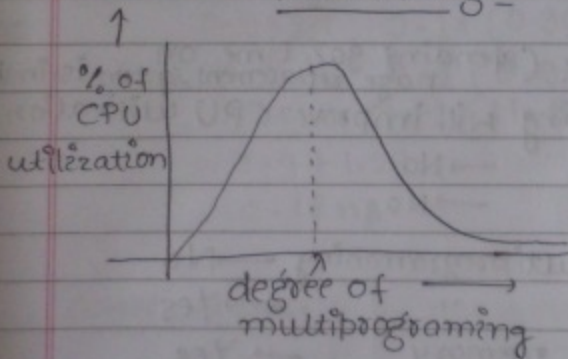
frames=4

7	0	1	2	0	3	0	4	2	3	0	3	2	1	2	0	1	7	0	1	
			2	2	2	2	2	2	2	2	2	2	2	2	2	2	0	0	0	0
			1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	
*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	

frames=3

7	0	1	2	0	3	0	4	2	3	0	3	2	1	2	0	1	7	0	1
			2	2	2	2	2	2	2	2	2	2	2	2	2	2	0	0	0
			1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7
*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*

Thrashing



let free frames = 300

& no. of processes = 100,

then every process gets 3 frames each (with max. deg. of multiprogramming)

but if we have no. of processes = 300, then every process gets only 1 frame & page faults occur more frequently & most of the time is spent

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Date _____
Page _____

- ① Initially when the degree of multiprogramming $\leq \lambda$, the CPU utilization increases.
- ② If degree of multiprogramming is drastically increased after λ , then CPU utilization goes on decreasing.
- ③ This situation is called Thrashing.
- ④ In thrashing, the system will spend more time only on the page replacement.

Causes Of Thrashing:-

- ① High degree of multiprogramming.
- ② Lack of frames.

Q. Consider a system with the below statistics Observed, %

→ CPU utilization → 10%

→ paging on disk → 90%. (spending 90% time on page replacement, system is in thrashing)

Which of the following will improve CPU utilization.

- (a) Install faster CPU → No
- (b) Install bigger Disk → No
- (c) Increase degree of multiprogramming → No
- (d) Decrease " " " → Yes
- (e) Install more main memory → Yes
- (f) Decrease page size → No (Page fault increases)
- (g) Increase page size → Yes likely

because no. of frames allocated to the process are constant so decreasing page size causes the page fault to increase.

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Q. The processor uses two-level page table for Virtual to Physical Address Translation. Page tables of both the levels are stored in the main mem. L.A = P.A. = 32 bits. The memory is byte-addressable. For Virtual to Physical Address translation the 10 most significant bits of V.A. are used as index into 1st level page table, while the next 10 bits are used as index into 2nd level page table. The 12 least significant bits of V.A. are used as offset within the page. Assume that page table entries in both the levels of page table are 4B wide. Further, the processor has TLB with hit ratio of 96%. The TLB caches recently used virtual page nos. & corresponding Physical page nos. The processor also has a physically addressed cache with hit ratio of 90%, the main mem. access time = 10ns, cache access time = 1ns, TLB access time = 1ns. What is effec. mem. access time?

Ans My answers:-

$$0.9 \times 1 + 0.1 \times (0.96 \times (1 + 10) + 0.04 \times (20 + 10))$$

$$= 0.9 + 0.1 (0.96 \times 11 + 0.04 \times 32)$$

$$= 0.9 + 0.1 (11.52 + 1.28)$$

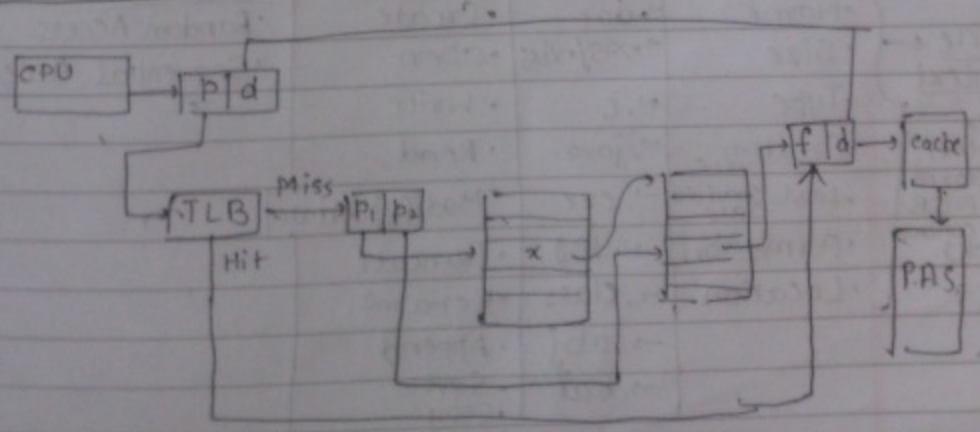
$$= 0.9 + 1.28$$

$$= 2.18 \text{ ns}$$

2.1
2.9
1.8

→ Wrong
(correct only when cache is logically addressed)

Correct Answer:-



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$$0.96(1+10) + 0.04 \times 0.9x$$

$$0.96(1 + 0.9x(1) + 0.1x(1+10)) + 0.04(1 + 10 + 10 + 0.9x(1) + 0.1x(1+10))$$

$$= 0.96(1 + 0.9 + 1.1) + 0.04(1 + 20 + 0.9 + 1.1)$$

$$= 0.96(3) + 0.04(23)$$

$$= 2.88 + 0.92$$

$$= 3.8 \text{ ms}$$

Page no.

39 Q40.

File & Device Management



- Hard Disk
- Tape

File :- Collection of logically related entities.

Attributes:-	Types:-	Operations:-	Access Methods:-
• Name	→ .doc	• Create	• Random Access
• Size	→ .xls/.xlsx	• Open	• Sequential Access
• Type	→ .c	• Write	
• Creation Date	→ .java	• Read	
• Last Modified Date	→ .exe	• Modify/Update	
• Permission	→ .jpg	• Truncate	
• Location	→ .class	• Rename	
	→ .obj	• Append	
	→ .bat	• Save	
		• Copy	
		• Close	

File Context
File context is stored in F.C.B. (File control block).

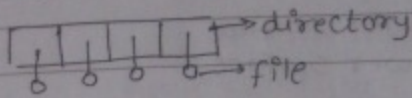
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Date _____
Page _____

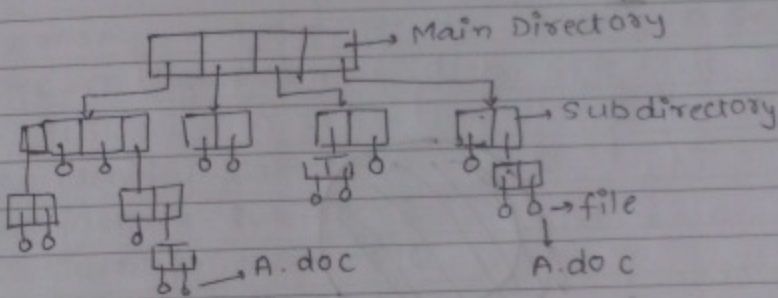
Directory Structure :-

(i) Single Level Directory :-



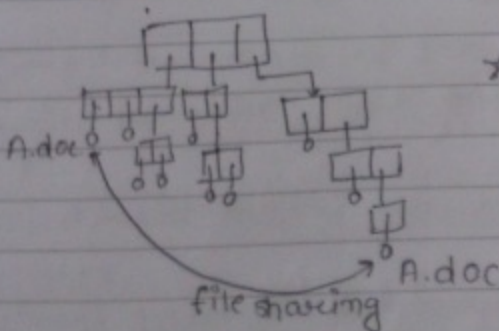
- We can't have two files with same name.
- Searching time of file will increase.

(ii) Tree Level Directory :-



- Easy Classification
- Less Search Time
- If we change something in A.doc at one place, we explicitly need to perform same changes at other place.

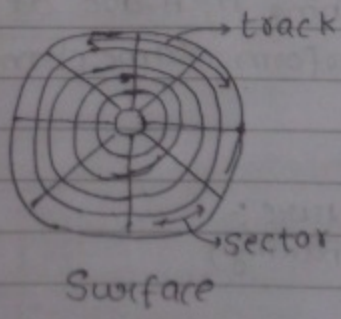
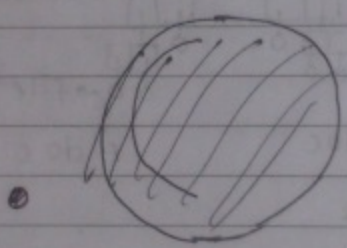
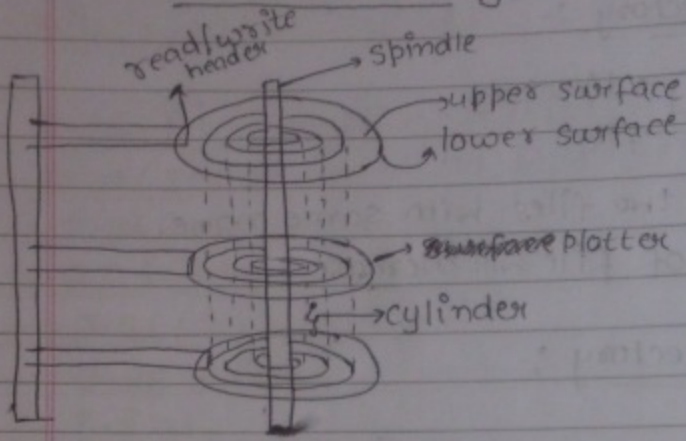
(iii) Acyclic directory Structure :-
(allows the file sharing)



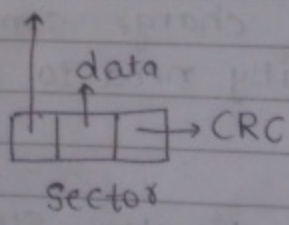
★ In this structure, if we change A.doc at one place, then it will be modified same at other place itself.

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Disk Scheduling -



header or pre-amble



Cosmos

Q. Consider a disk with 16 platters, each platter is divided into 2 surfaces, every surface has 1K tracks & every track has 512 sectors & every sector can store 2 KB data, what is the capacity of disk?

Ans. $16 \times 2 \times 2^{10} \times 2^9 \times 2 \times 2^{10} \text{ B}$
 $= 2^5 \times 2^{10} \times 2^9 \times 2^{11} \text{ B}$
 $= 2^{35} \text{ B}$
 $= 32 \text{ GB}$

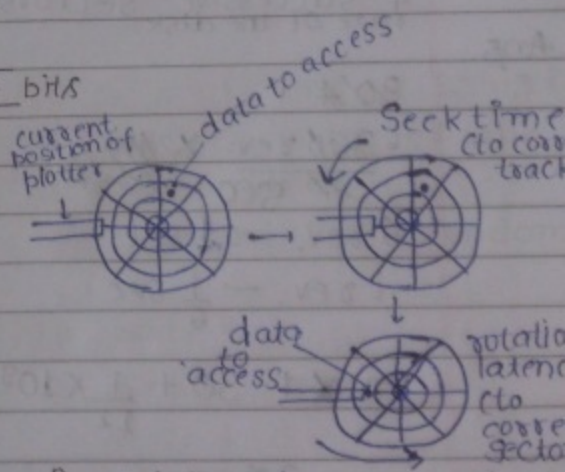
Q. How many bits are req. to identify a sector in the above configuration of disk

- Ans. 24 bits.
- to identify platter $\rightarrow 4$
 - to identify surface $\rightarrow 1$
 - to identify track $\rightarrow 10$
 - to identify sector $\rightarrow 9$

24 bits

Disk I/O Operation :-

- \rightarrow Seek Time
 - \rightarrow Rotational Latency
 - \rightarrow Transfer time
- 4 \rightarrow Transfer rate



- Seek Time :- The amount of time taken to move the read/write head from its current position to desired track.
- The read/write headers can only can't be outside the platter.
- Rotational Latency :- The amount of time taken to rotate the track when the read/write head comes

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Date

Page

to exact position (exact sector within a track).
It is considered as $\frac{1}{2}$ x rotation time.

Transfer Time :- The amount of time taken to transfer the required data. The transfer time depends on the total size of the track & rotational rate of the disk.

Transfer Rate :- The no. of bytes transferred for unit time is called as transfer rate.

Q. If a disk system has an avg. seek time of 30 ns & rotational rate of 360 rpm. Each track of the disk has 512 sectors each of size 512 B. What is the time taken to read 4 successive sectors & also compute data transfer rate of the disk.

Ans.

~~30 ns~~

$$\frac{6360 \text{ rev.}}{60 \text{ sec}} \times \frac{1 \text{ min}}{60 \text{ sec}}$$

$$6 \text{ rev.} \rightarrow 1 \text{ sec.}$$

$$1 \text{ rev.} \rightarrow \frac{1}{6} \text{ sec.}$$

$$512 \text{ sectors} \rightarrow \frac{1}{6} \text{ sec.}$$

$$1 \text{ sector} \rightarrow \frac{1}{6 \times 512} \text{ Sec}$$

$$4 \text{ sectors} \rightarrow \frac{1}{3 \times 2^9} \times 4 = \frac{4}{3 \times 2^9}$$

$$30 \times 10^{-9} + 30 + \frac{1 \times 10^9}{12} + \frac{4}{3 \times 2^9}$$

~~30 ns~~

$$= \text{negligible } 30 \times 10^{-9} + \frac{1}{12} + \frac{4}{3 \times 2^9}$$

$$\approx 0.0833 \text{ sec.}$$

negligible

Transfer rate

$$\frac{0.0833 \text{ sec.}}{18} \rightarrow \frac{1}{18} \times 1 \text{ B}$$

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Date _____
Page _____

Q. An application loads 100 libraries at startup. Load each library requires exactly 1 disk access. The seek time of the disk to a random location is 10 msec, the rotational speed of disk is 6000 rpm. If all 100 libraries are loaded from random location on disk, how long does it take to load all libraries (the time to transfer data from disk block once head has been positioned at the start of the block may be neglected)?

Ans.

$$100 \left(10 \times 10^{-3} + \frac{1}{2 \times 100} \right)$$

Transfer time is neglected.

$$6000 \text{ rev} \rightarrow 60$$

$$1 \text{ rev} \rightarrow \frac{1}{100}$$

$$100 \left(10^{-2} + \frac{1}{2 \times 100} \right)$$

$$1 + 0.5 = \boxed{1.5 \text{ sec.}}$$

Q. Consider the Disk with below specifications:-

(a) No. of surfaces = 8

(b) Inner diameter = 4 cm

(c) Outer diameter = 12 cm

(d) Inner track distance \rightarrow 0.2 mm [distance b/w any

(e) No. of sectors per track \rightarrow 20 two consecutive concentric tracks

(f) Sector size \rightarrow 4 KB

(g) Rotational Rate \rightarrow 3600 rpm

(a) What is the capacity of disk?

(i) 512 MB (ii) 128 MB (iii) 64 MB (iv) 14 B

(b) What is data transfer?

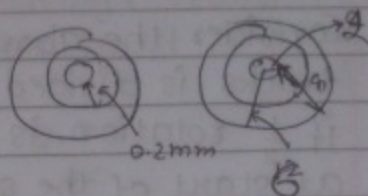
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Date _____

Page _____

a) $8 \times 900 \times 20 \times 4 \text{ KB}$
 $8 \times 8 \times 10^3 \text{ KB}$
 $128 \times 10^3 \text{ KB}$
 128 MB



of tracks = $\frac{4 \text{ cm} - 1 \text{ cm}}{0.2 \text{ mm}} = \frac{3 \text{ cm}}{0.2 \text{ mm}} = \frac{3000}{2} = 1500$

$3600 \text{ rev.} \rightarrow 60 \text{ sec.}$

$1 \text{ rev.} \rightarrow \frac{1}{60} \text{ sec.}$

$1 \text{ sec.} \rightarrow 60 \text{ rev.}$

$1 \text{ sec.} \rightarrow 60 \times 20 \text{ sectors}$

$\rightarrow 60 \times 20 \times 4 \text{ KB}$

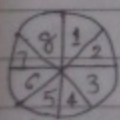
$\rightarrow 1200 \times 4 \text{ KB}$

$\rightarrow 1.2 \times 4 \text{ MB}$

$\rightarrow 4.8 \text{ MB}$

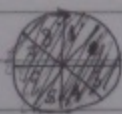
4.8 MBPS

Disk Interleaving



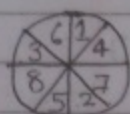
No interleaving disk

1 rotation



Single interleaving disk

2 rotations req. to read all 8 sectors of the disk



Double interleaving disk

3 rotations req. to read all 8 sectors of the disk.

(but exactly 2.75 rotations)

★ If there is slow

★ If it takes more time to read the data

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Date _____

Page _____

Q. Consider the above double interleaving disk whose tracks is divided into 8 sectors each of size 2KB. If $\frac{1}{2}$ rotation is req. to place read/write head at start of the sector, seek time = 30 ms & rotational rate is 3600 rpm.

- (a) How much time is req. to read all 8 sectors
 (b) What is the data transfer rate.

Ans. $3600 \text{ rev.} \rightarrow 60 \text{ sec.}$ $8 \text{ sectors} \rightarrow \frac{1}{60} \text{ sec}$
 $1 \text{ rev.} \rightarrow \frac{1}{60} \text{ sec.}$ 1 sec

~~$\frac{1}{120} \times 3600 \times 3 \times 10^{-2} \text{ s} + 2.75 \times \frac{1}{60} \text{ sec.} + 8 \times \frac{1}{60} \times 2.75$~~

$= 0.03 + 0.0229 + 0.0167$
 $= 0.069 \text{ sec.}$ $\times \rightarrow \text{Wrong.}$

(b) 1 sector $\rightarrow \checkmark$

$2.75 \text{ rev.} \rightarrow 8 \text{ sectors}$

$2.75 \times \frac{1}{60} \text{ sec.} \rightarrow 216 \text{ KB}$

$349.1 \text{ KBPS} \checkmark \rightarrow \text{correct.}$

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Date _____

Page _____

3.17's
 nswor:- Seek time + Rotational Latency + Transfer time
 (a) $30 \text{ ms} + 0.5 \times \text{Rotation time} + 2.75 \times \text{rotation time}$

$$= 30 \text{ ms} + 0.5 \times \frac{1}{60} + \frac{2.75}{60}$$

$$= 30 \text{ ms} + 8.33 \text{ ms} + 45.83 \text{ ms}$$

$$\approx 84 \text{ ms}$$

(b) $\frac{1}{60} \text{ rev. } \frac{1}{60} \text{ sec.}$

~~3.17's~~

